



X-ray physics relevant for MA-XRF

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Andreas Karydas, ICTP, 24 September 2017

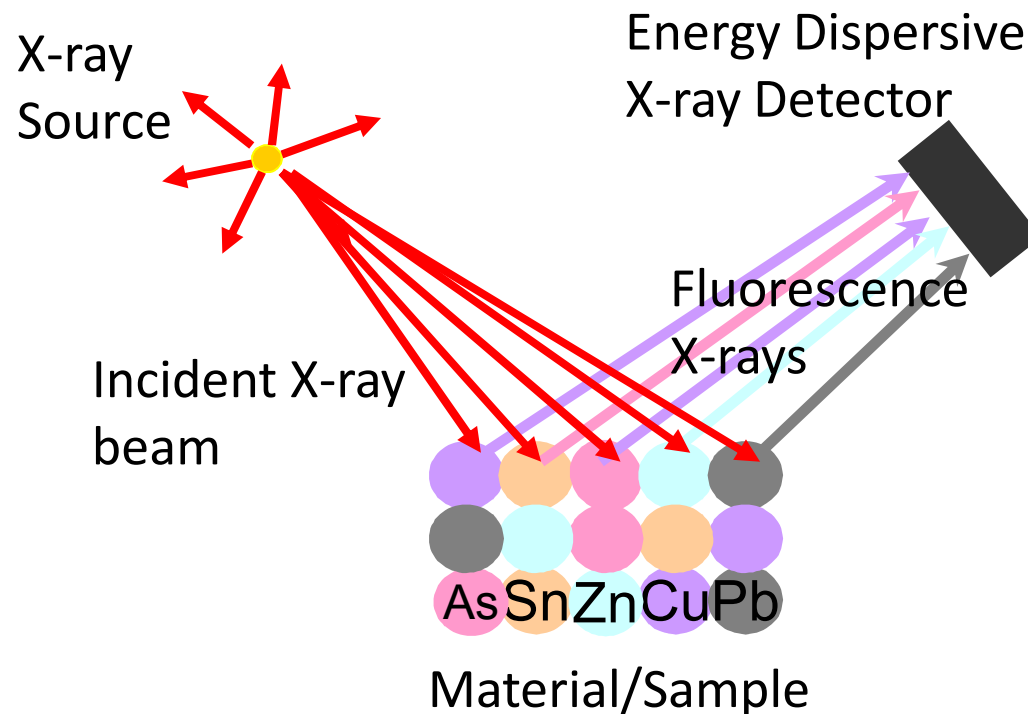


Outline

- Fundamental interactions: X-rays and matter
- Qualitative and Quantitative XRF Analysis:
 - Basic principles
- Second order phenomena in Q-XRF and MAXRF
- XRF Instrumentation with relevance to imaging:
 - X-rays sources
 - X-ray Optics
 - X-ray detectors
- MAXRF spectrometers: Figures of merit
- Conclusions



(ED) XRF principle of operation

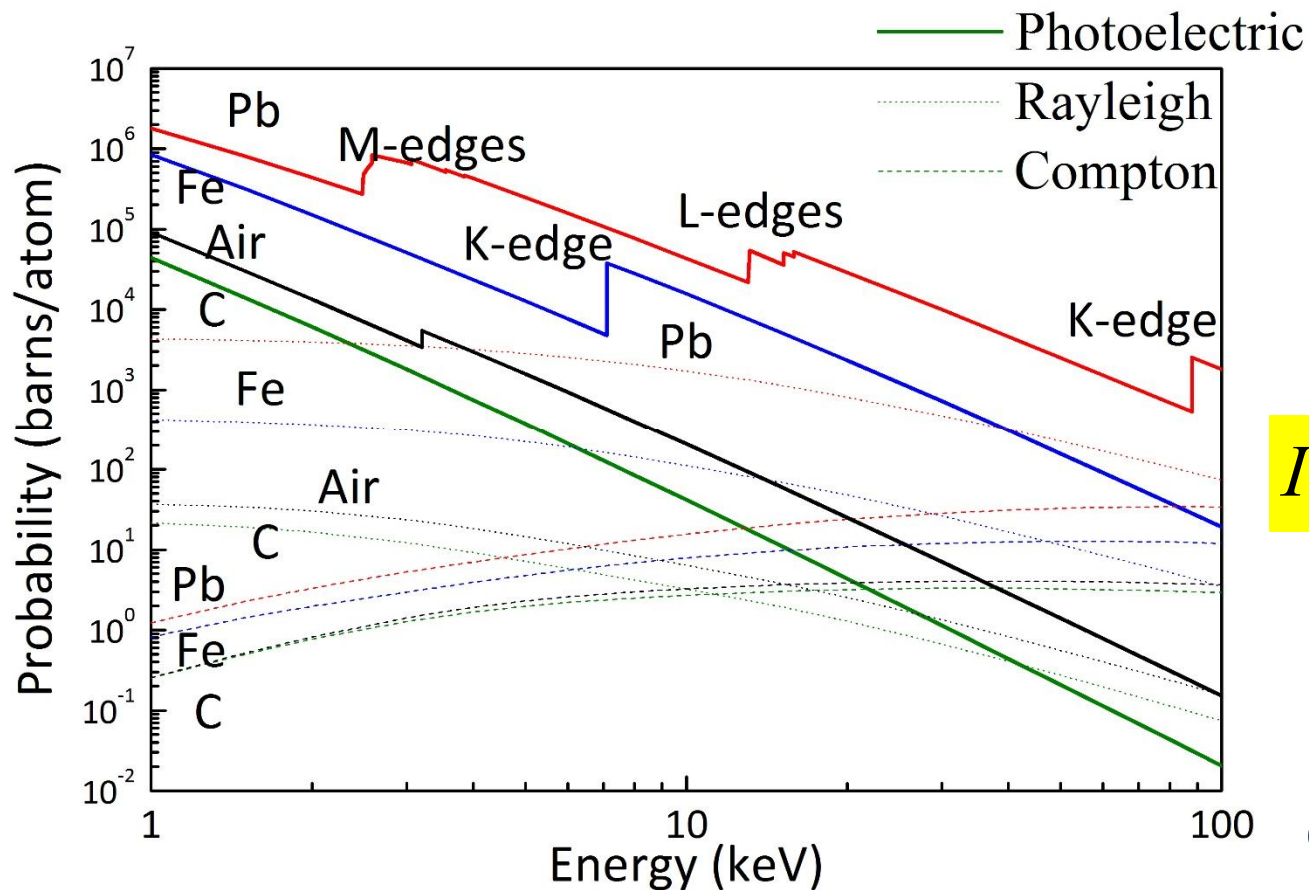


XRF is an analytical technique based on the spectroscopy of the fluorescence (“characteristic”) x-ray radiation emitted from the material/sample when it is irradiated by x-rays.

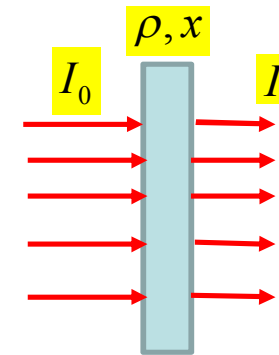
- **Spectroscopy** – measurement of a signal (number of x-rays) versus energy; formation of a **spectrum**
- **Fluorescence/characteristic x-ray radiation** emitted from **the elements** contained in the material/sample analyzed
- **X-rays** – used for both excitation and detection



X-rays interactions with matter



Beer-Lambert law



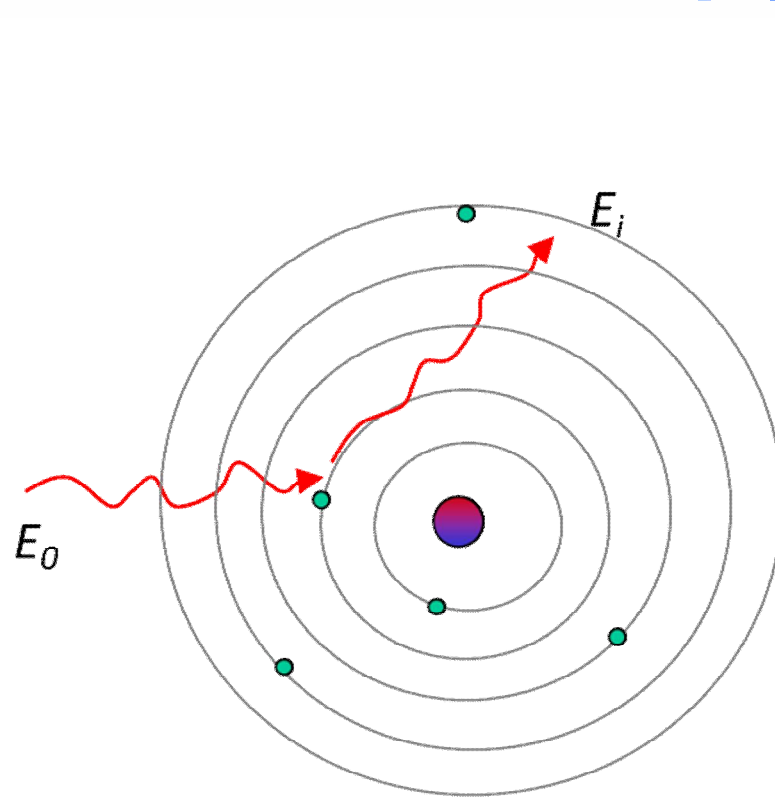
$$I = I_0 \cdot e^{-(\tau + \sigma_R + \sigma_C) \cdot \rho \cdot x}$$

Ratio photo./scat.
 \approx **1000 - 10000**

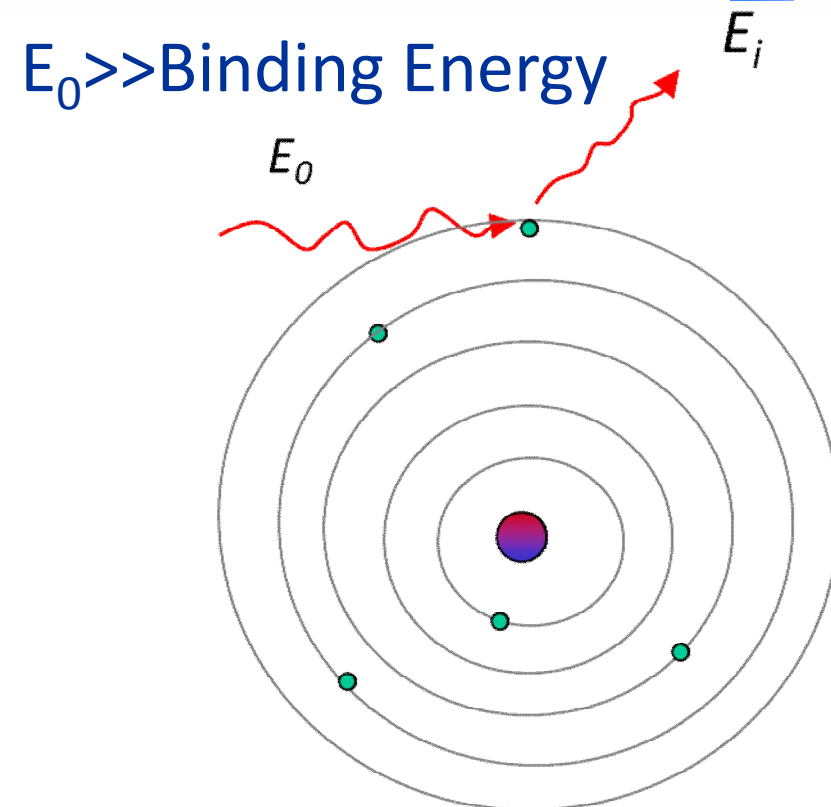
Photoelectric is the dominant process



X-ray Scattering Interactions with atoms



$E_i = E_0$: Coherent (Rayleigh),
it occurs mostly with inner
atomic electrons



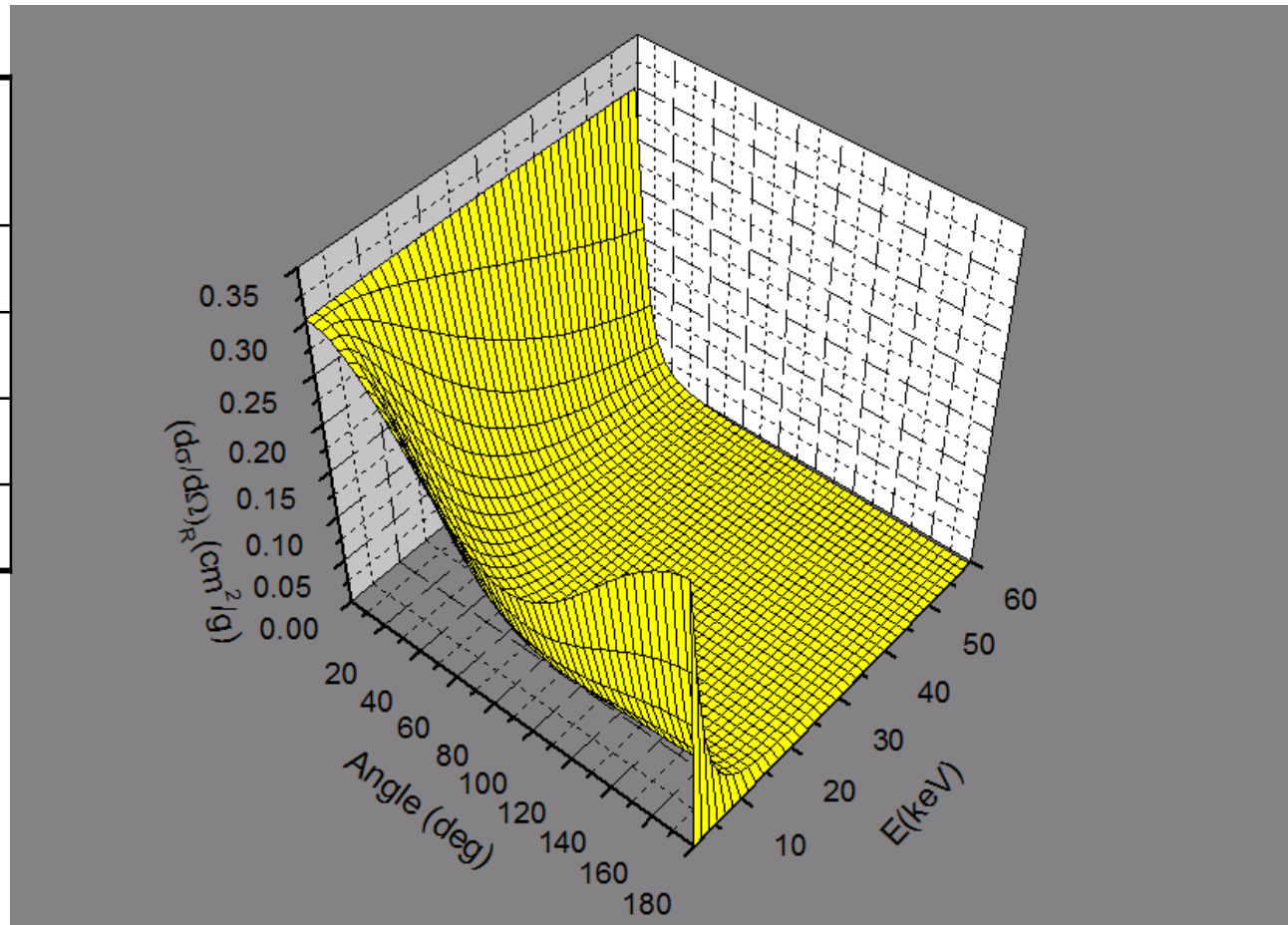
$E_i < E_0$: Incoherent
(Compton), it occurs
mostly with outer, less
bound electrons



X-ray scattering with matter (1)

1) Rayleigh/Elastic/Coherent scattering

Compound	(%)
Al ₂ O ₃	16
SiO ₂	57
CaO	13
Fe ₂ O ₃	14



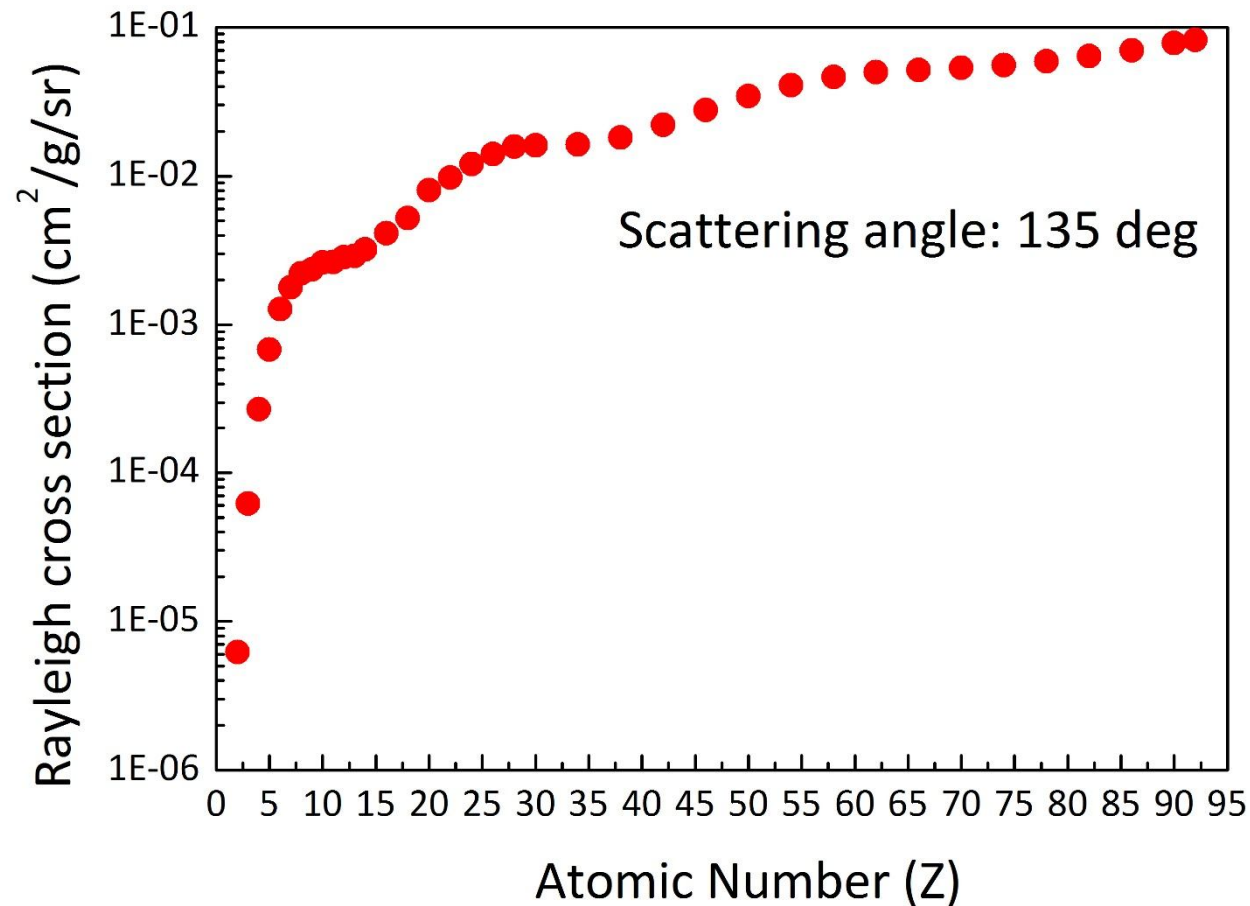
Probability: Increases with 3rd-4th power of Z



X-ray scattering with matter (1)

1) Rayleigh/Elastic/Coherent scattering

Compound	(%)
Al ₂ O ₃	16
SiO ₂	57
CaO	13
Fe ₂ O ₃	14

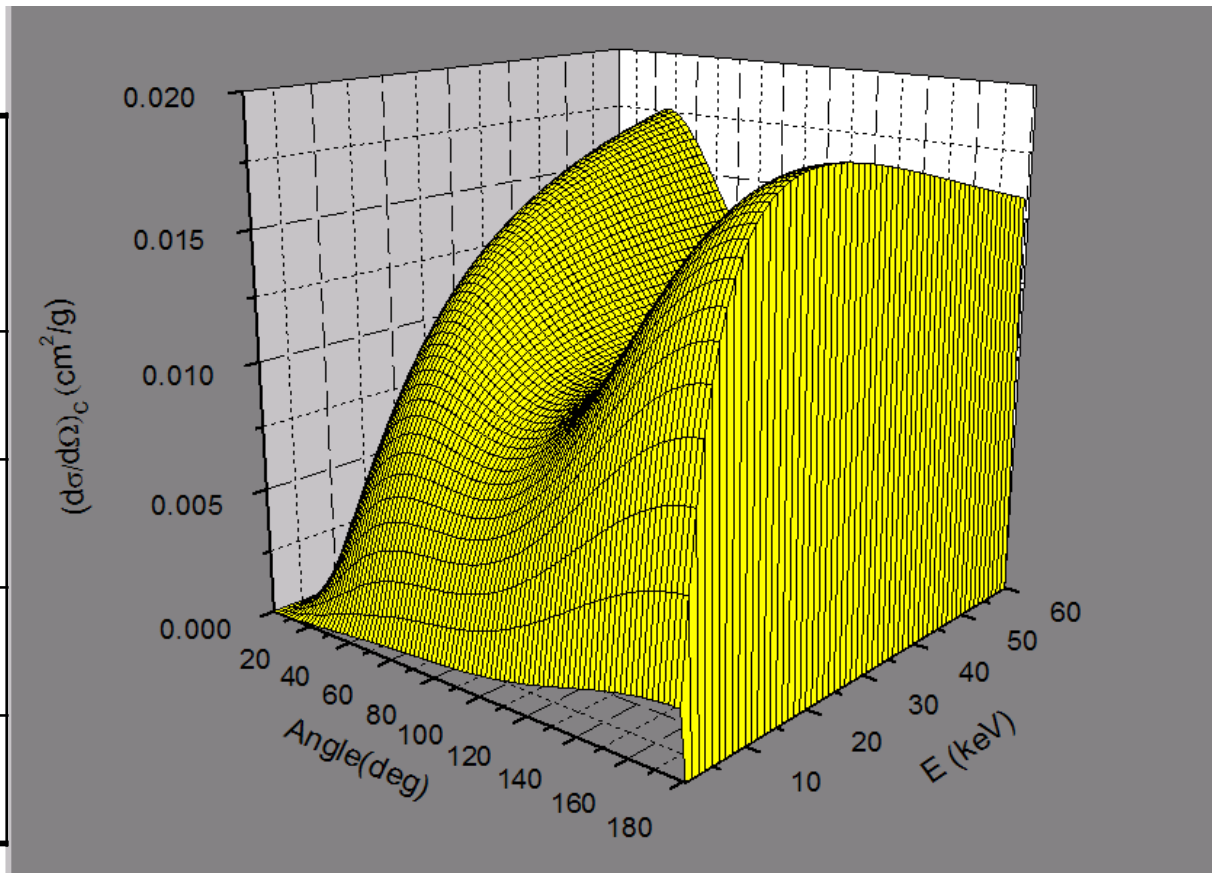




X-ray scattering with matter (2)

Compton/Inelastic/Incoherent scattering

Compound	(%)
Al ₂ O ₃	16
SiO ₂	57
CaO	13
Fe ₂ O ₃	14



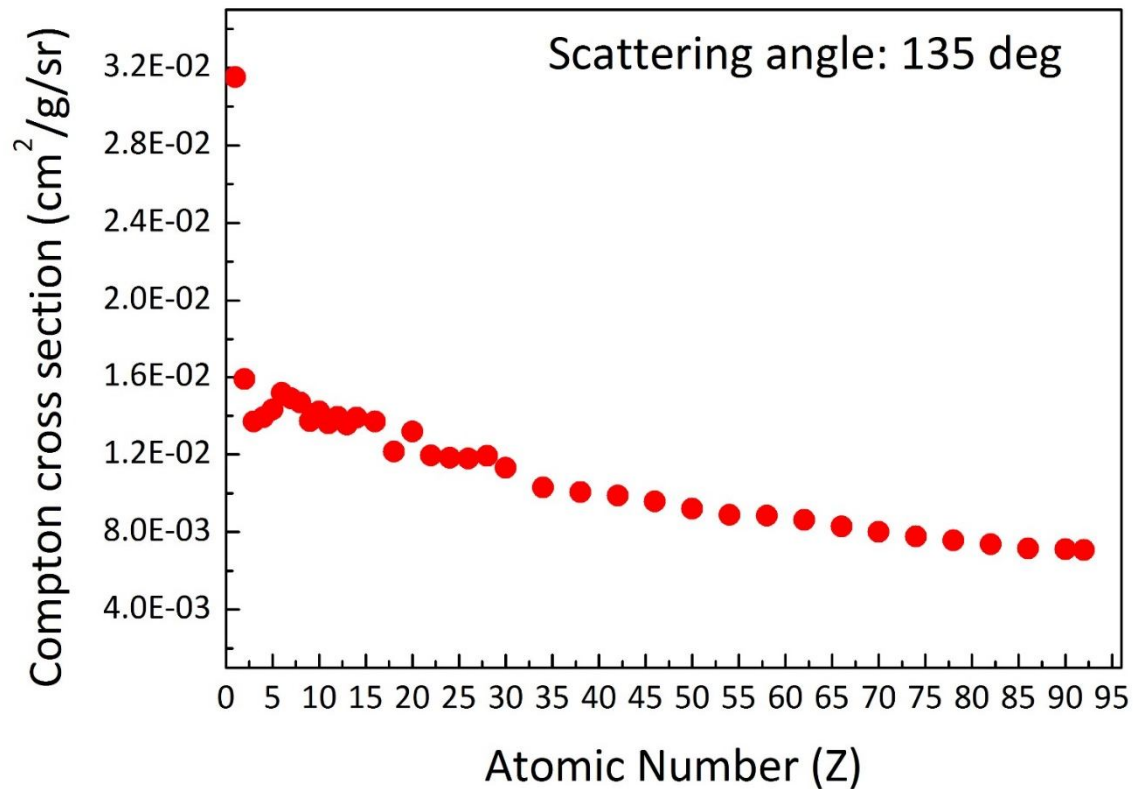
$E_i < E_0$: Incoherent (Compton), mostly with outer, less bound electrons



X-ray scattering with matter (2)

Compton/Inelastic/Incoherent scattering

Compound	(%)
Al ₂ O ₃	16
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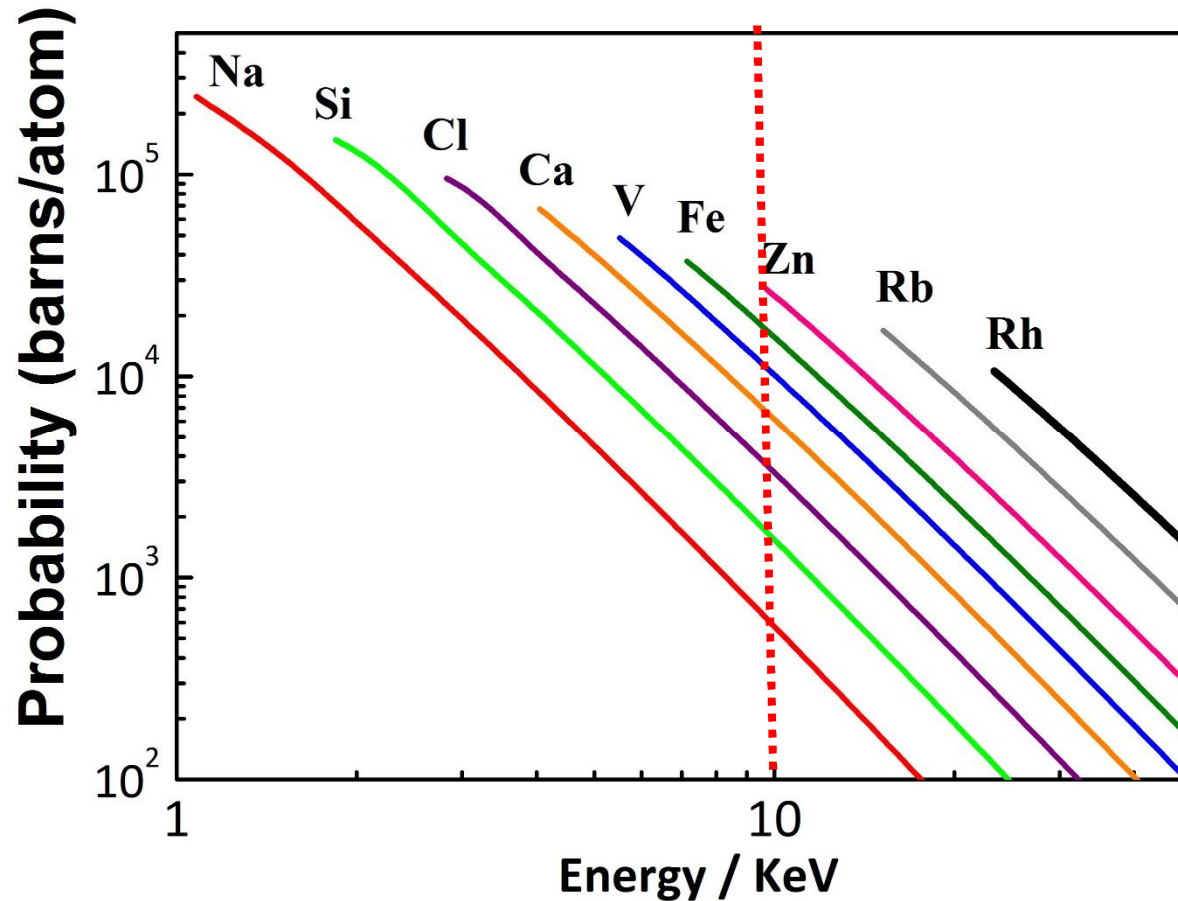


$E_i < E_0$: Incoherent (Compton), mostly with outer, less bound electrons



Photoelectric cross sections: Probabilities

K-shell Photoelectric cross sections

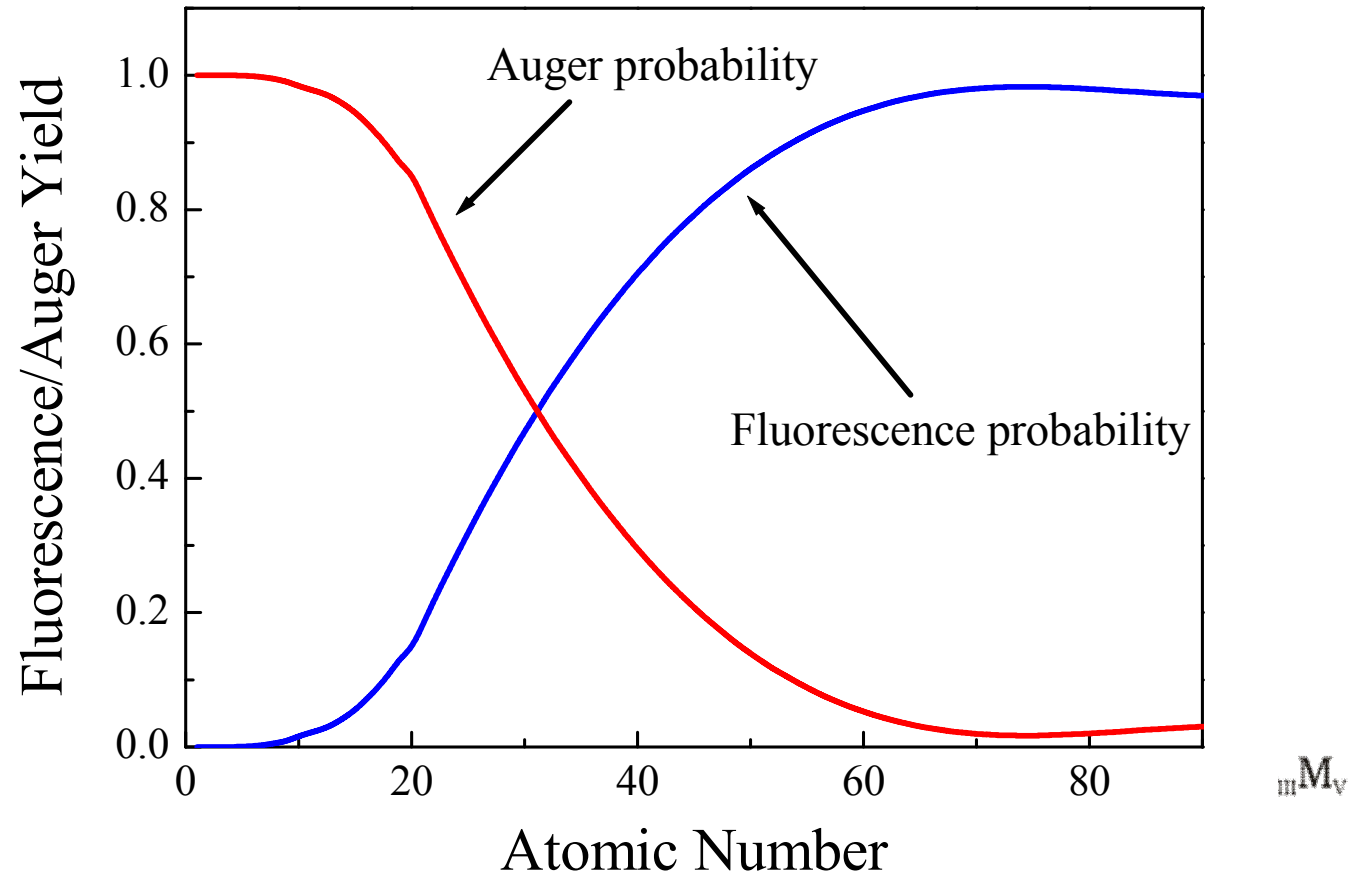


Photoelectric cross section:

$$\tau \sim E^{-3.5}$$
$$\tau \sim Z^3 \text{ to } 4$$



De-excitation: Fluorescence/Auger yield

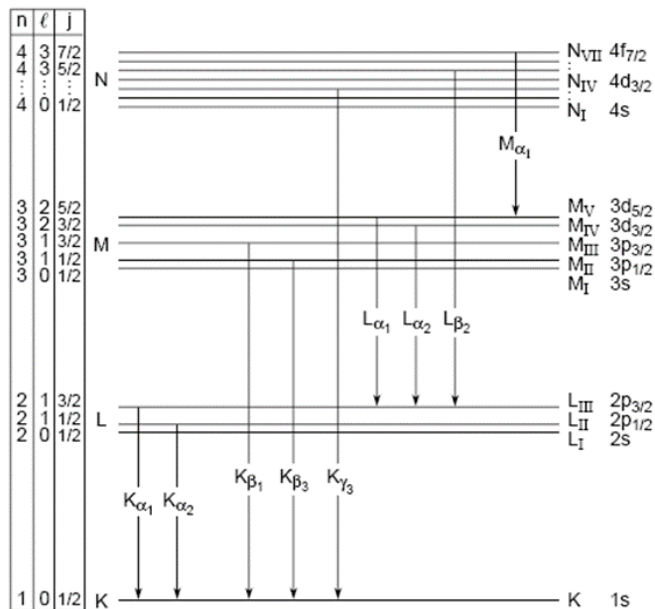


Fluorescence Yield: Only 5 holes over 100 are filled through the emission of characteristic radiation for Silicon (Z=14) atoms
Very small for low Z elements!!!



Emission of element 'characteristic' x-rays

The emission of characteristic X-ray lines follows allowed electronic transitions between specific subshells



Siegbahn/IUPAC

notation:

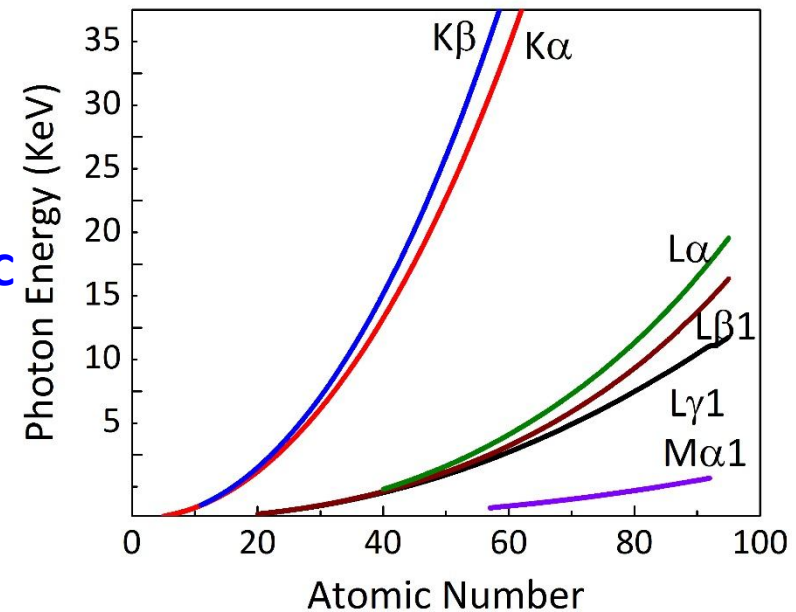
Kα: K-L2+K-L3

Kβ: K-M2+K-M3

Lα: L3-M4+L3-M5

Lβ₁: L2-M4

Lβ₂: L3-N5



X-ray spectroscopy within the energy range 1-30keV offers in principle the possibility to detect all the periodic table elements through their K, L or even M series of characteristic X-ray lines

Moseley law: $E_{ij} = k_{ij} \cdot (Z - \sigma_i)^2$

L_{III} to K shell: $E_{K\alpha 1} = U_K - U_{LIII}$

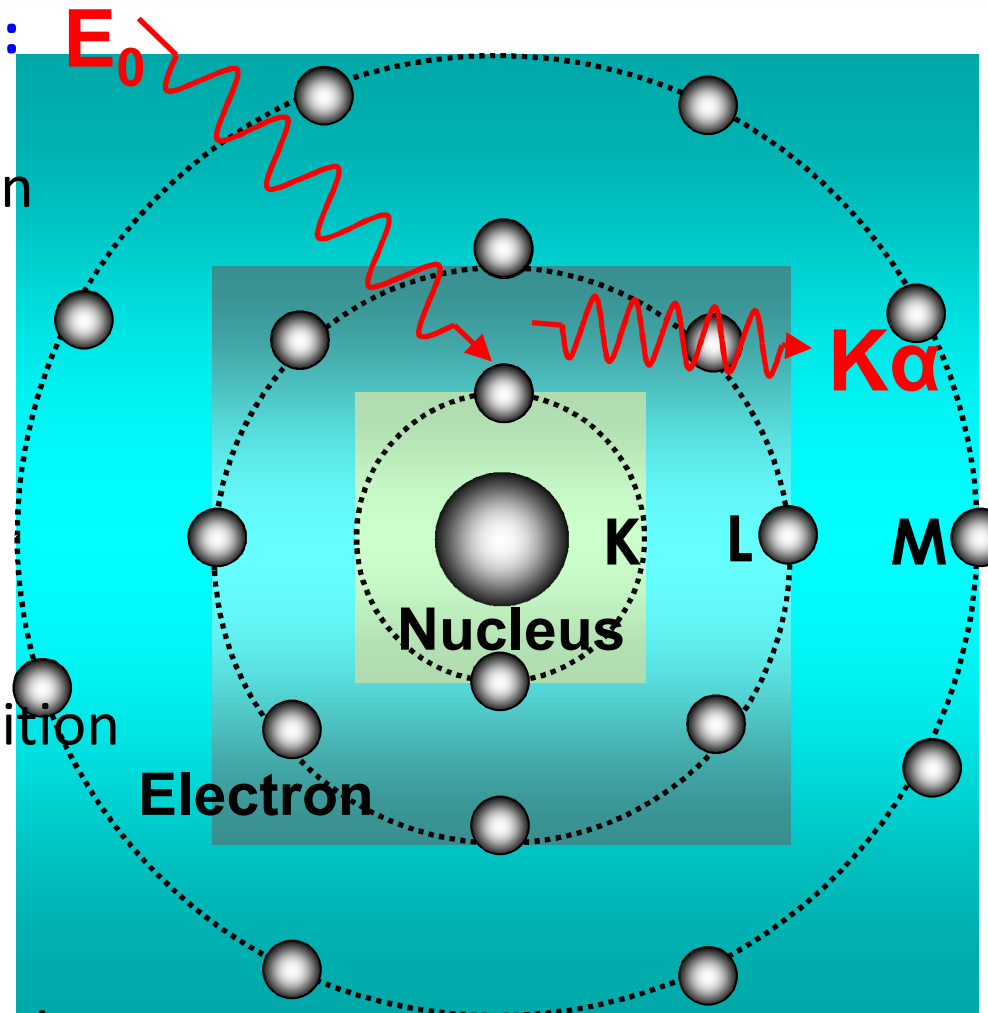
Unique set of emission energies for each element

Working principle: X-Ray Fluorescence Analysis

Working principle:

1) Photo-ionization of atomic bound electrons (K, L, M) /Photoelectric absorption

2) Electronic transition and emission of element 'characteristic' fluorescence radiation



Incident photon Energy E_0 should be adequate to ionize the atomic bound electrons
 \geq
Inner shell binding energy

Fluorescence cross sections: Selective excitation

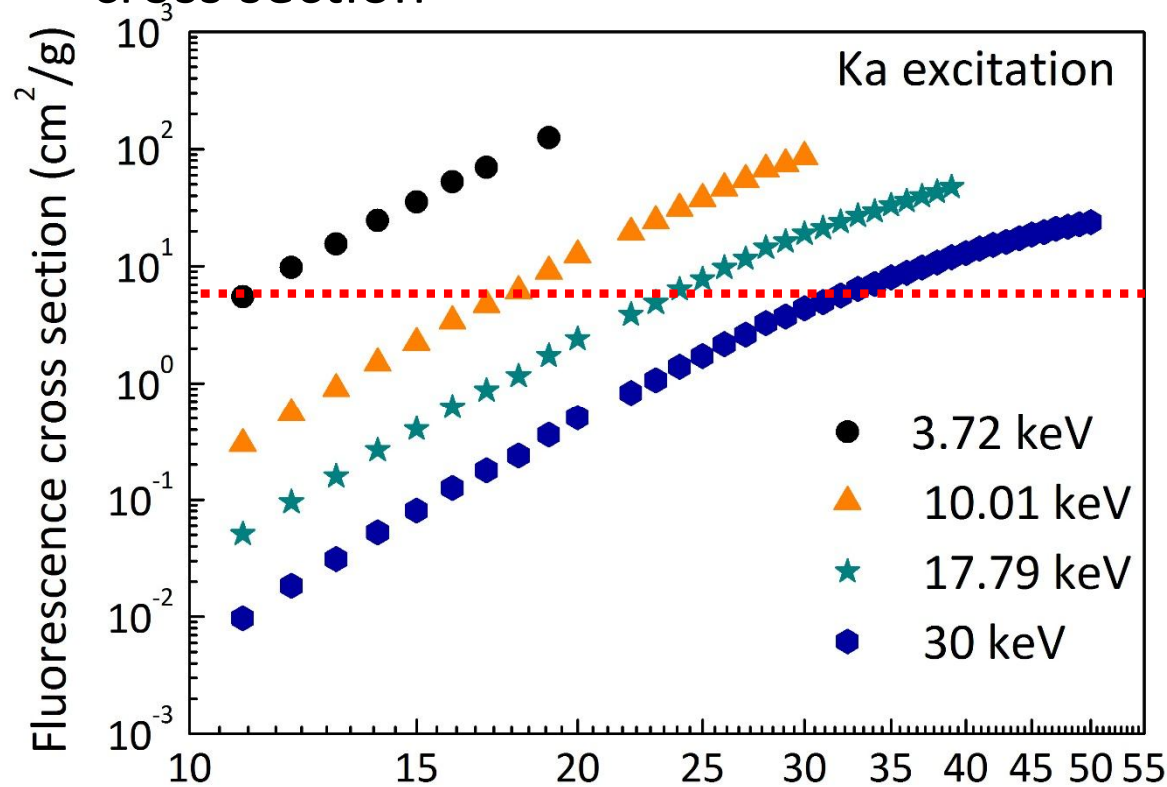
XRF K-shell fluorescence cross section $\sigma_{KX}(E_o)$

$$\sigma_{KX}(E_o) = \tau_K(E_o) \cdot \omega_K \cdot F_{KX}$$

Transition probability for K α emission

K-shell photoelectric cross section

K-shell fluorescence yield



Optimizing the energy of the exciting beam for maximizing the produced characteristic X-ray intensity

Primary Fluorescence intensity: Assumptions

Spectrochimica Acta, 1955, Vol 7, pp 283 to 306. Pergamon Press Ltd., London

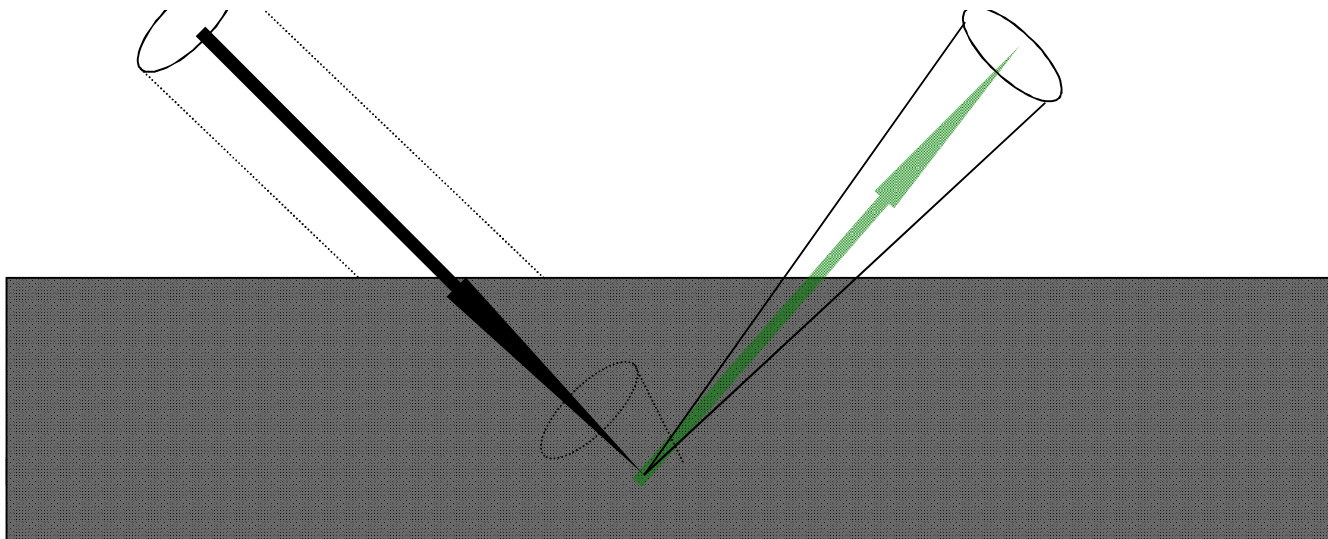
JAPANESE JOURNAL OF APPLIED PHYSICS

VOL. 5, No. 10, OCTOBER, 1966

Theoretical Calculation of Fluorescent X-Ray Intensities in Fluorescent X-Ray Spectrochemical Analysis.

Toshio SHIRAIWA and Nobukatsu FUJINO
*Physics Section, Central Research Laboratories,
Sumitomo Metal Industries, Amagasaki, Hyogo.*

(Received April 15, 1966)



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Primary XRF intensity

Number of incident Photons/s

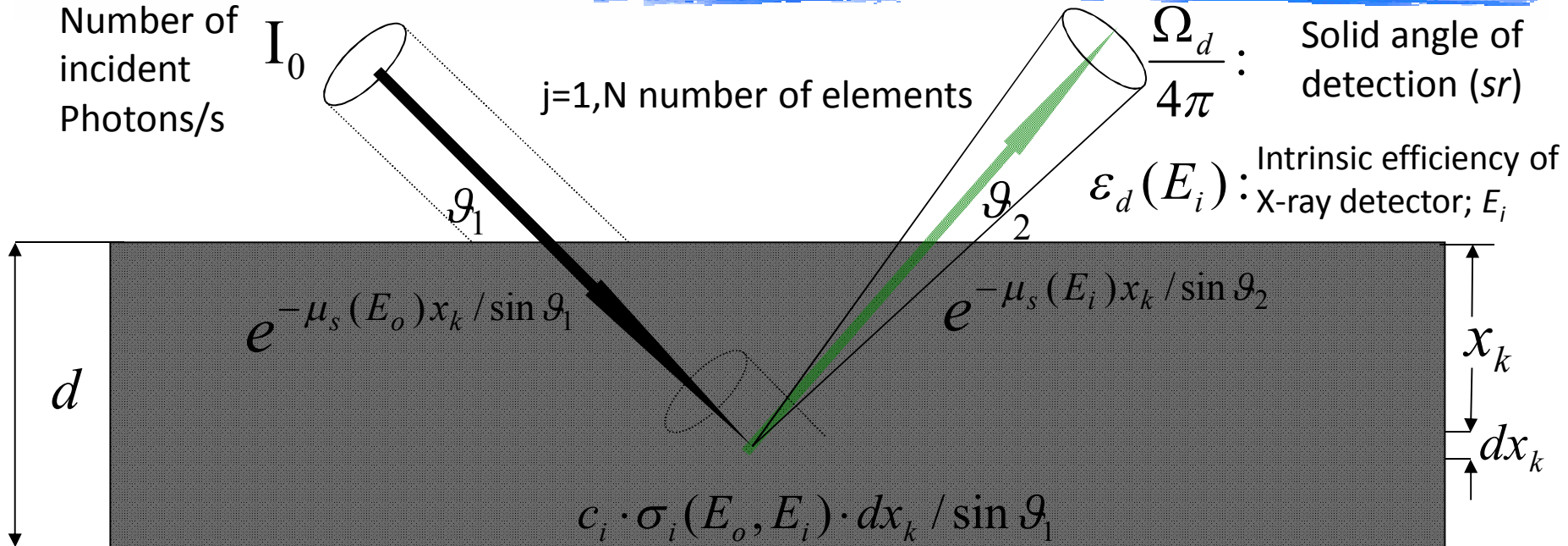
I_0

$j=1, N$ number of elements

$\frac{\Omega_d}{4\pi}$

Solid angle of detection (sr)

$\varepsilon_d(E_i)$: Intrinsic efficiency of X-ray detector; E_i



(Concentration of i element) X (Fluorescence cross section; cm^2/g) X (areal density; g/cm^2)

$\mu_s(E_o)$: Sample mass attenuation coefficient for energy $E_o \equiv \sum_{j=1, N} c_j \mu_j(E_o)$

$$dI_i(E_i) = I_o \cdot e^{-\mu_s(E_o) \cdot x_k / \sin \theta_1} \cdot c_i \cdot \sigma_i(E_o, E_i) \cdot \frac{dx_k}{\sin \theta_1} \cdot e^{-\mu_s(E_i) \cdot x_k / \sin \theta_2} \cdot \frac{\Omega_d}{4 \cdot \pi} \cdot \varepsilon_d(E_i)$$

$$\mu_T(E_o, E_i) \equiv \mu_s(E_o) / \sin \theta_1 + \mu_s(E_i) / \sin \theta_2$$



Primary XRF intensity: Approximations

$$I_i(E_i) = c_i \cdot \frac{1}{\sin \vartheta_1} \cdot \frac{\Omega_d}{4 \cdot \pi} \cdot \varepsilon_d(E_i) \int_{U_{Xi}}^{E_o} I(E) \cdot \sigma_i(E, E_i) \cdot \frac{1 - e^{-\mu_T(E, E_i) \cdot d}}{\mu_T(E, E_i)} \cdot dE$$

Sensitivity $S_i(E_o, E_i)$

$$\mu_T(E_o, E_i) \cdot d \gg 1$$

$$I_i(E_i) = S_i(E_o, E_i) \cdot c_i \cdot \frac{1}{\mu_T(E_o, E_i)}$$

Thick target approximation

$$\mu_T(E_o, E_i) \cdot d \ll 1$$

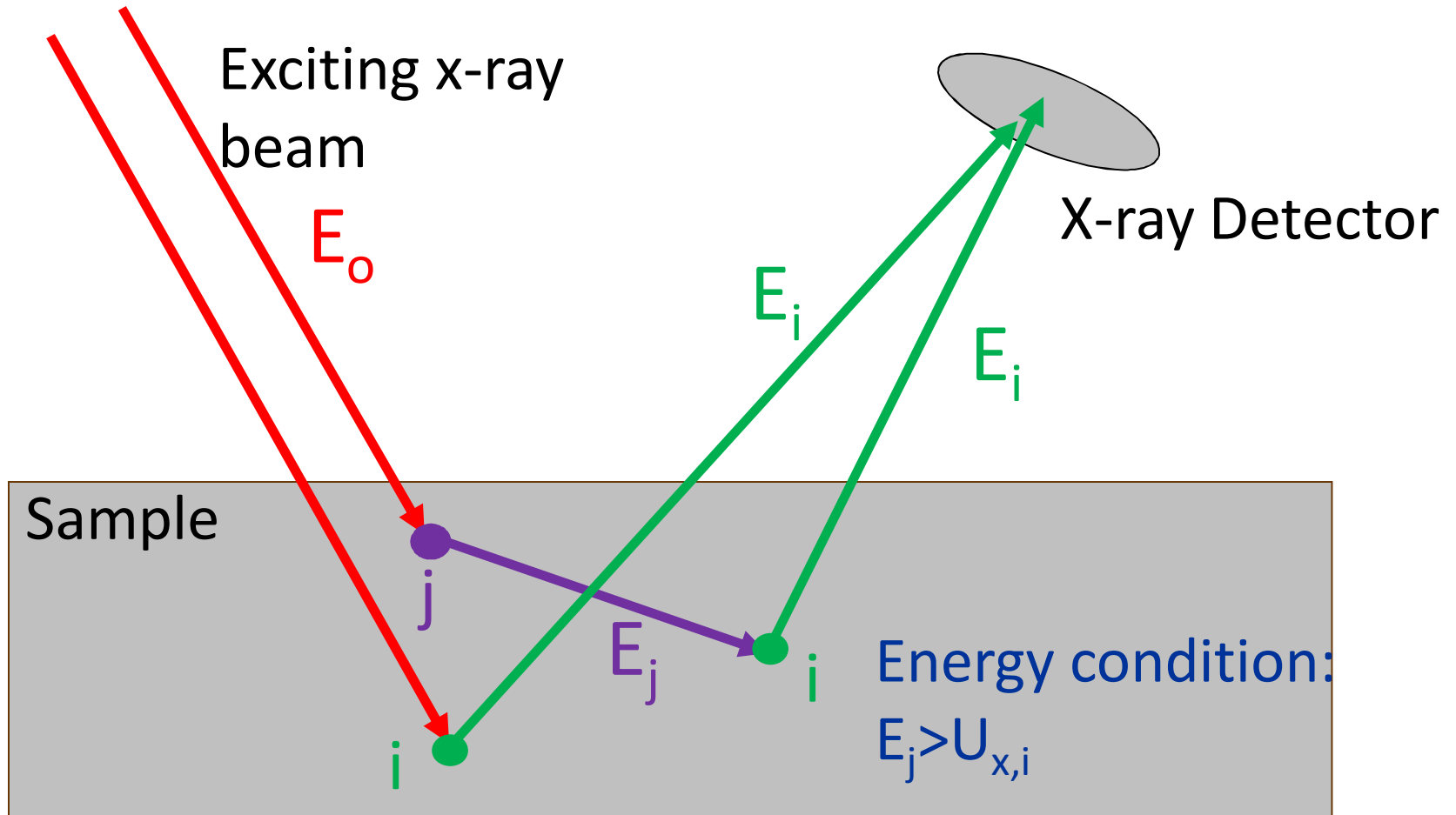
$$I_i(E_i) = S_i(E_o, E_i) \cdot c_i \cdot d$$

Thin target approximation

- **Sensitivity calibration:** certified pure element/compound targets
- **Solid angle/Intensity calibration:** Energy distribution, detector efficiency known, well certified pure element/compound targets
- **Standard-less XRF:** Calibrated apertures, distances, detector response function versus energy, incident beam intensity

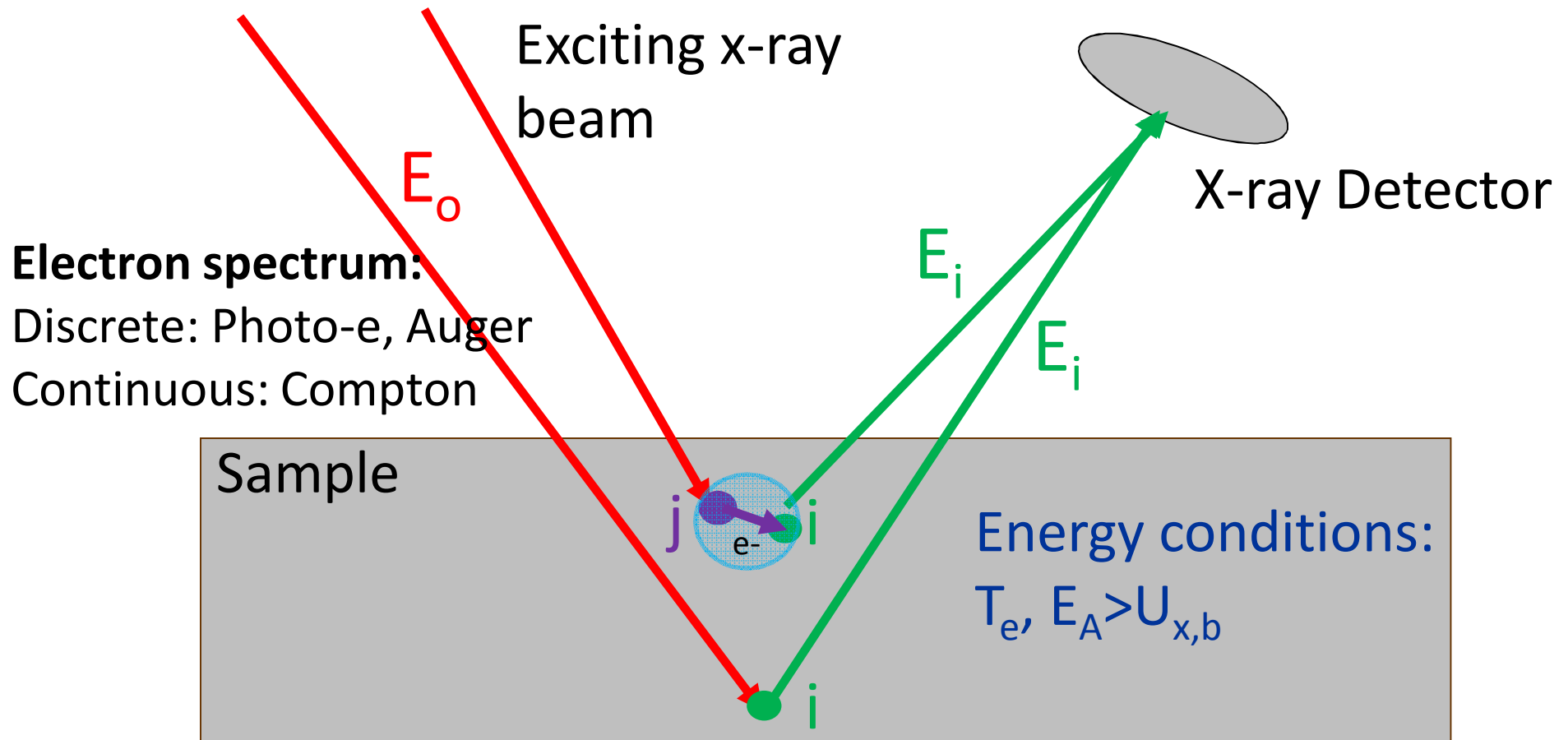


Secondary Fluorescence Enhancement



Element j characteristic x-ray(s) can excite element i characteristic x-rays within the sample volume

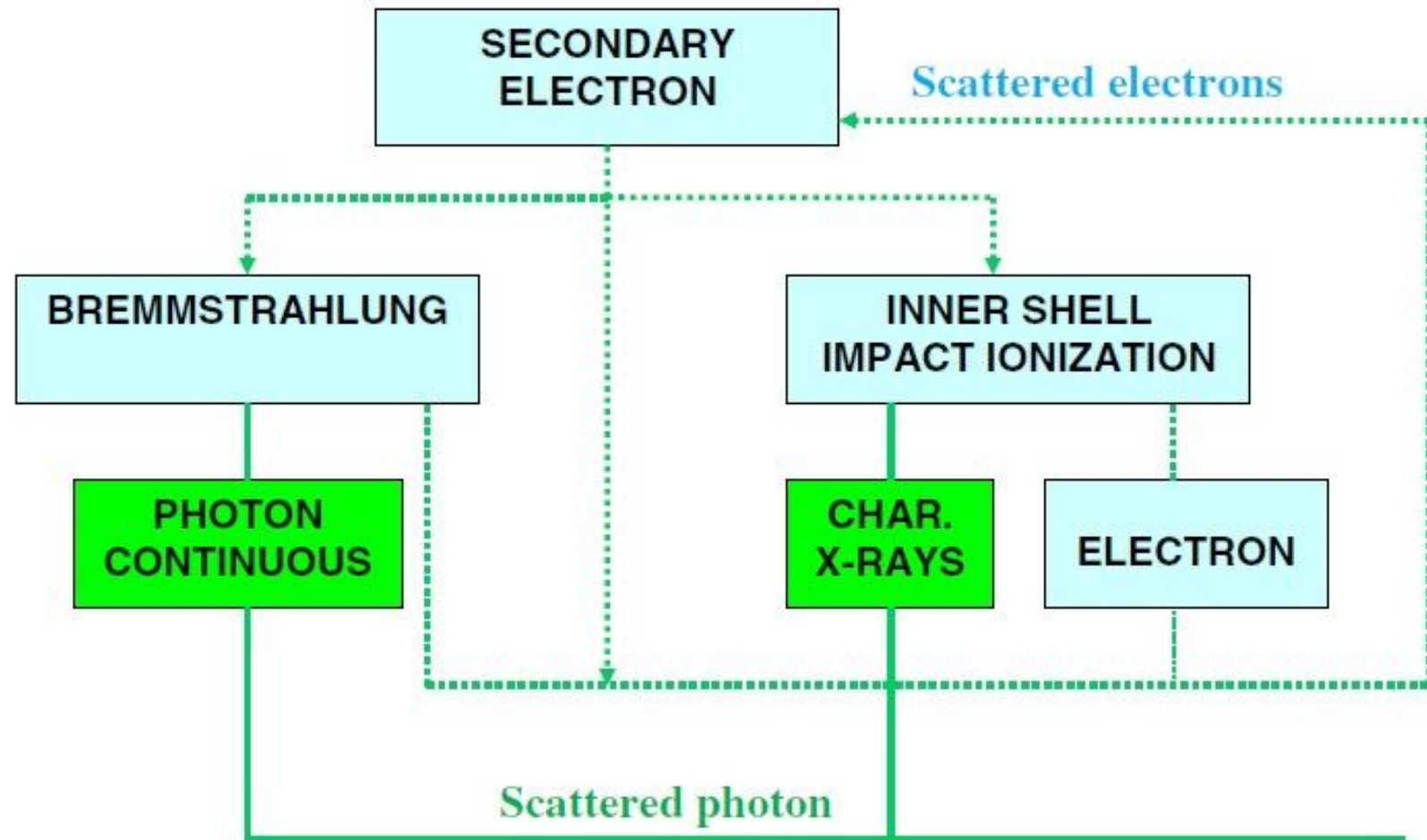
Photo-/Auger/Compton e^- Indirect XRF intensity



Ejected electrons from the atoms of element j can ionize an inner shell of element i

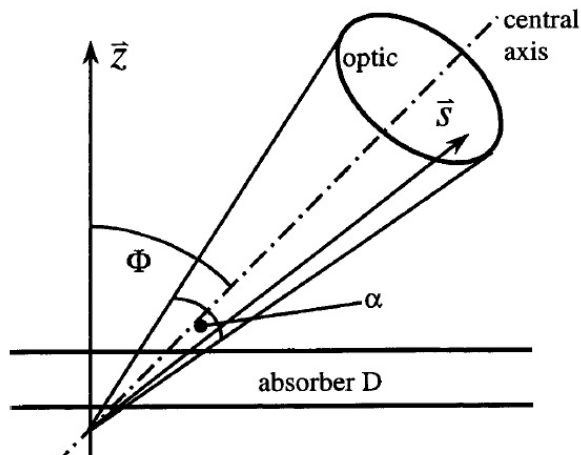
N. Kawahara in Handbook of Practical X-Ray Fluorescence Analysis, J. Fernandez et al., X-Ray Spectrometry 2013, 42, 189–196, K. Stoev, J. Phys. D: Appl. Phys. 25 (1992) 131-138

Chain of XRF related Fundamental interactions

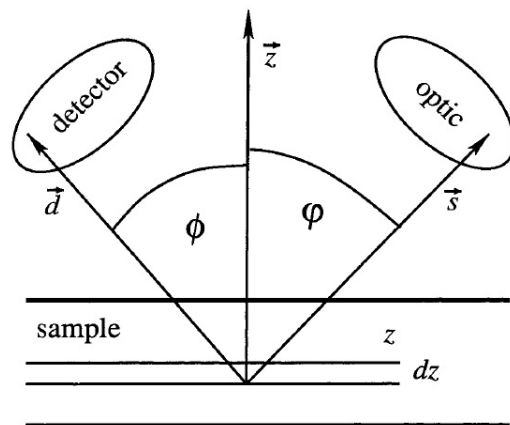


J. Fernandez et al., X-Ray Spectrom. 2013, 42, 189–196

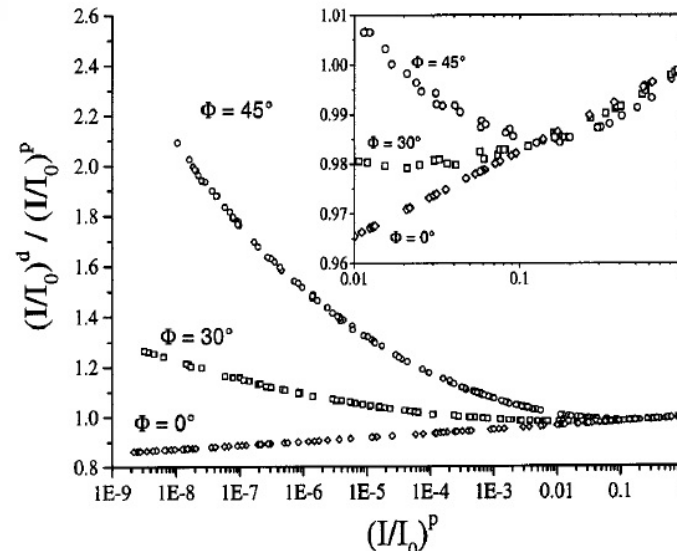
XRF intensities for non-parallel x-ray beams



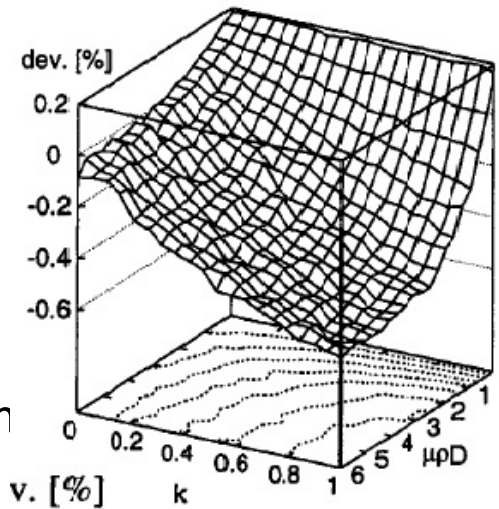
$$\left(\frac{I}{I_0}\right)^d = \int p(\vec{s}) \exp\left(\frac{-\mu\rho D}{s_z}\right) d\vec{s}$$



Beam divergences is taken into account by 'equivalent angles' calculated with Monte Carlo integration



The divergent angle is 20° and the trajectories are distributed isotropically



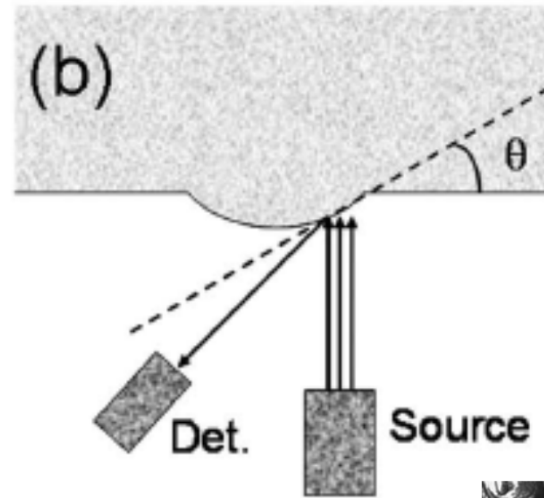
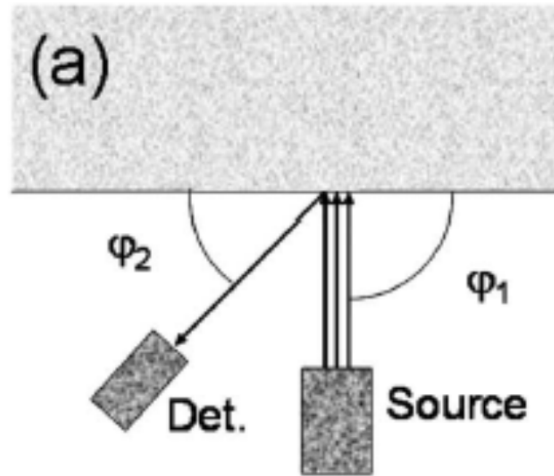
Polycapillary lens: divergent angle of 10°, perpendicular to the sample surface. Detector angle: 20°.

W. Malzer, B. Kanngiesser, X-Ray Spectrom. 2003; 32: 106–112

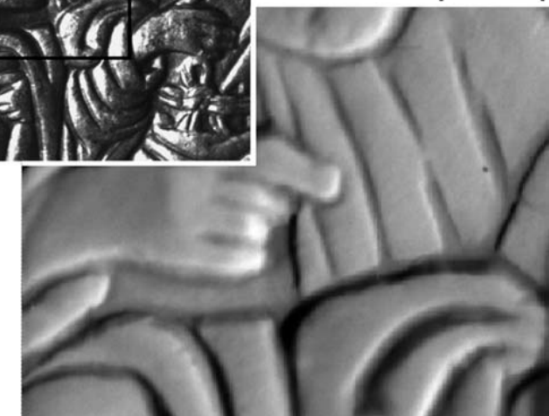
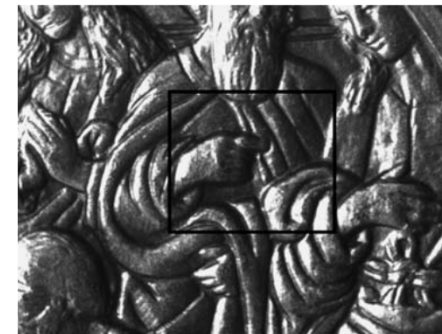
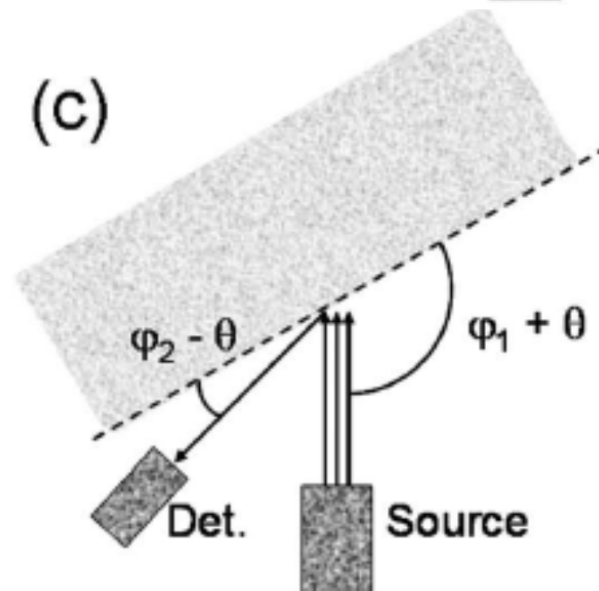
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Surface Topography in XRF intensities

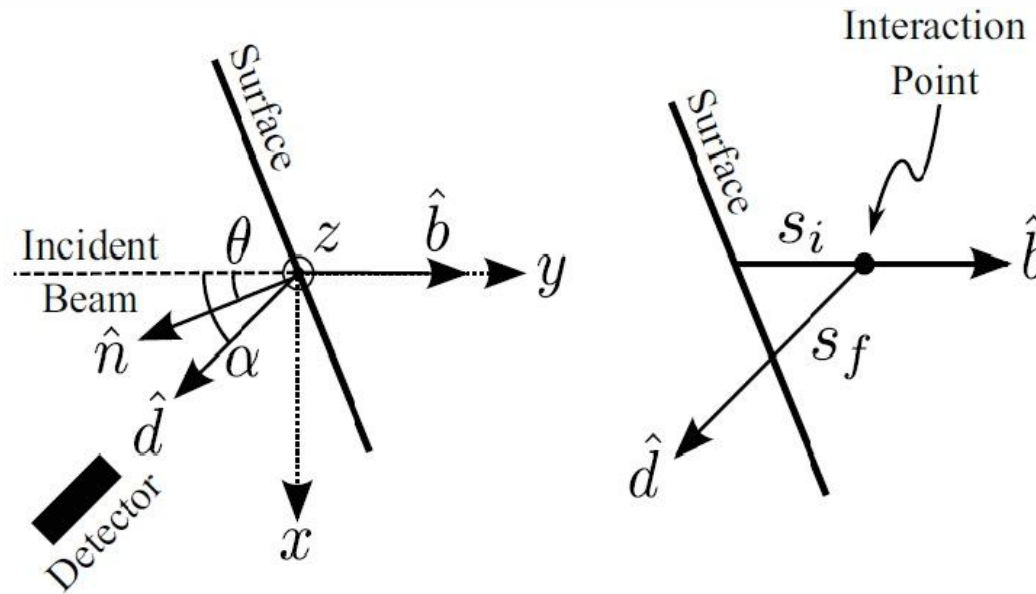


T. Trojek, *J. Anal. At. Spectrom.*, 2011, 26, 1253





Surface Topography in XRF intensities



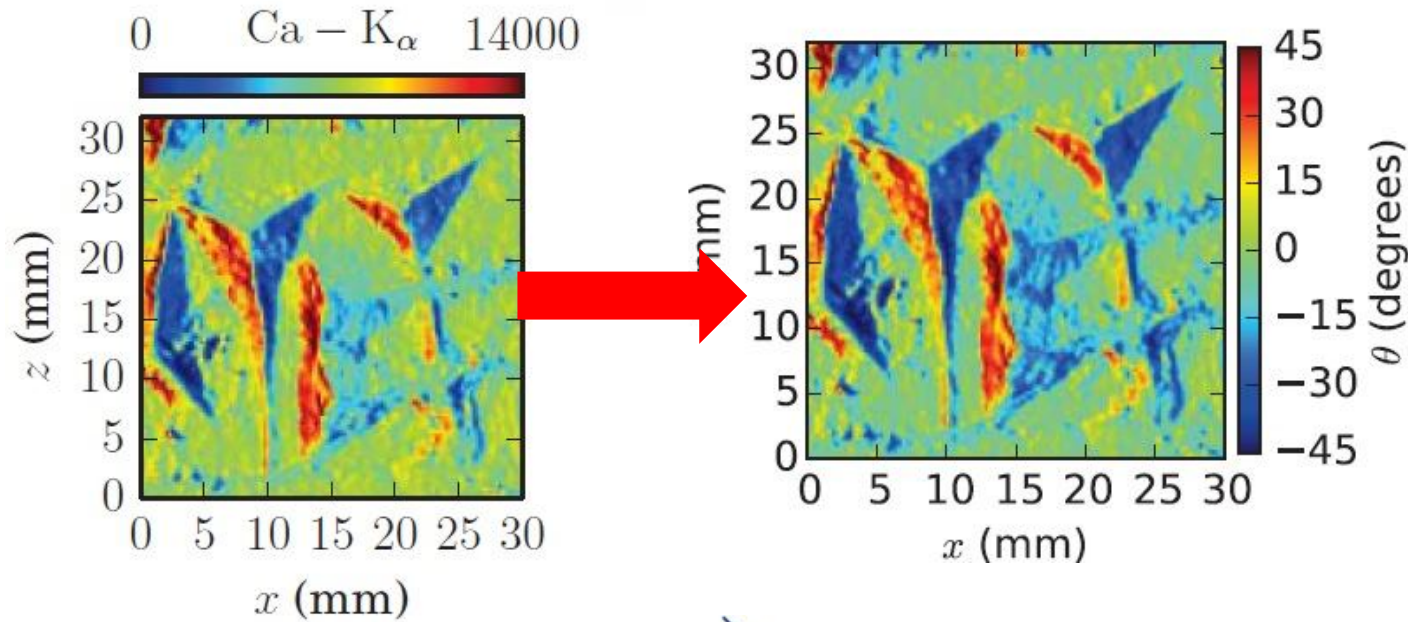
$$I(\theta) \propto \frac{1}{1 + \left(\frac{\mu_f}{\mu_i}\right) (\cos a + \tan \theta \sin a)^{-1}}$$

E. C. Geil and R. E. Thorne,
J. Synchrotron Rad. (2014), 21,
 1358

ϑ , α are the rotation angles of the surface=be normal and detector axis, respectively, around the perpendicular z axis defined with respect to y-axis; $\vartheta = 0$ for a surface parallel to the xz plane

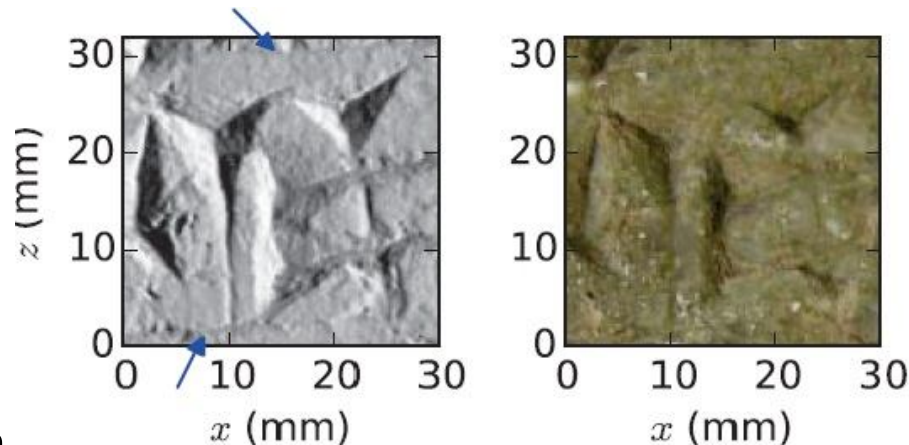


Surface Topography in XRF intensities



Map of surface angle θ computed from the Ca - K_{α} fluorescence

Rendering of the scanned area and shaded as if obliquely illuminated from the right side by a light source.



Photograph of the scanned area, adjusted to enhance contrast and brightness.



Surface Topography in XRF imaging

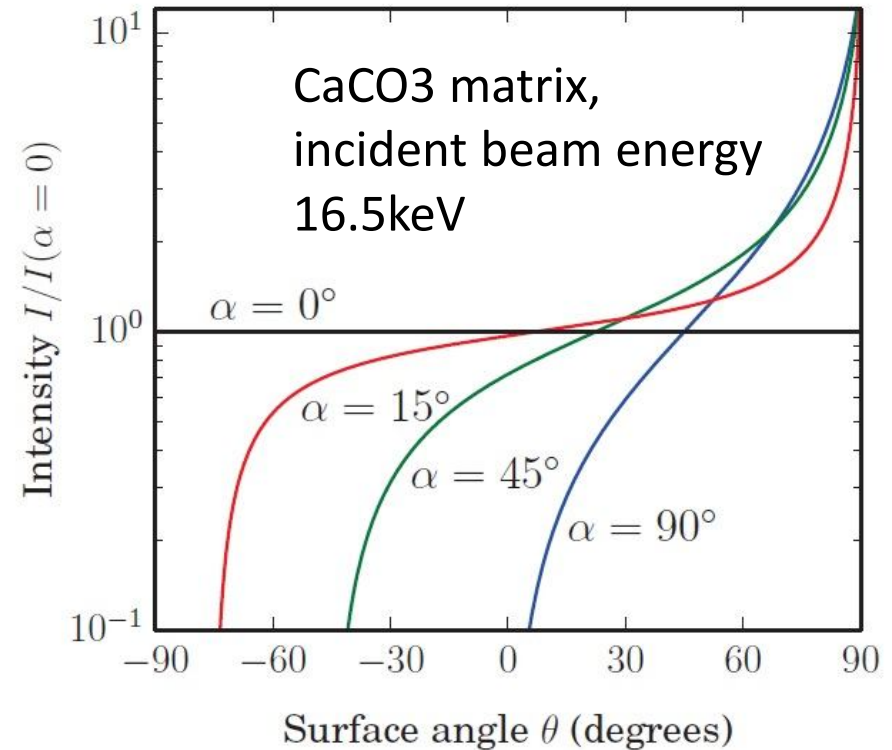
Hint -1 :

For samples with appreciable surface relief, an optimum approach is to orient the sample's 'mean' normal along the beam direction ($\theta = 0$)

Hint 2: The angle effect vanishes as the detector position approaches the incident beam (smaller α), and it is maximal when the detector is perpendicular to the beam

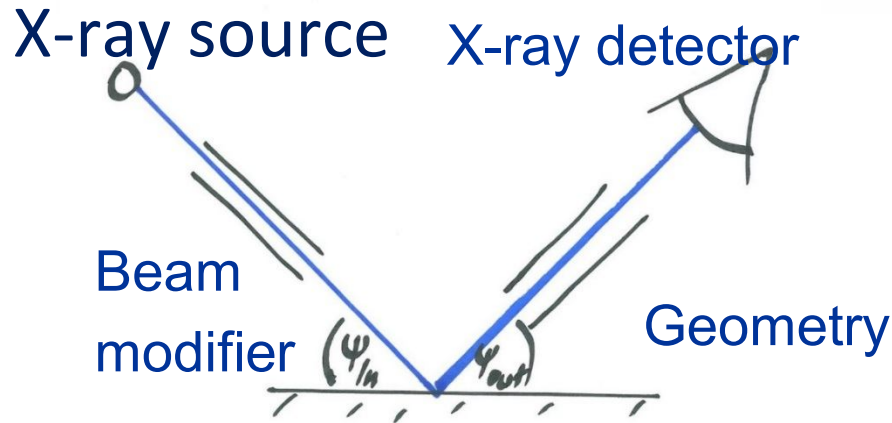
Hint -3

The stage should be aligned across the perpendicular to the incident beam plane, otherwise it will produce distortion of the images (the interaction point will vary not symmetrically)

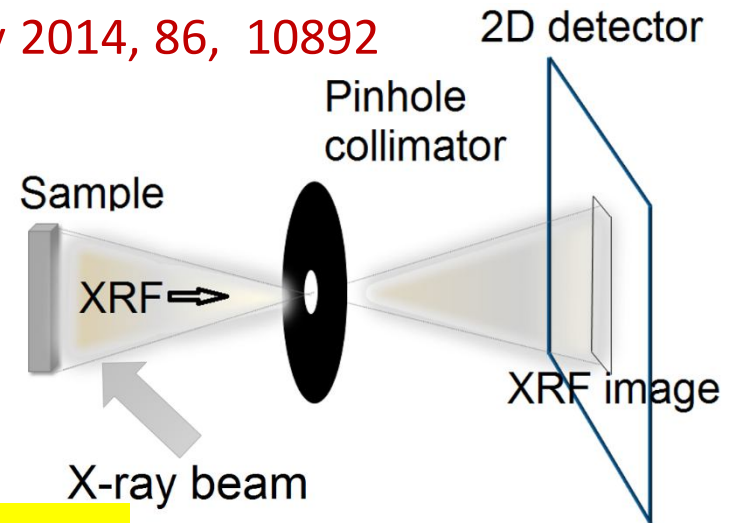




MAXRF- FFXRF spectrometers



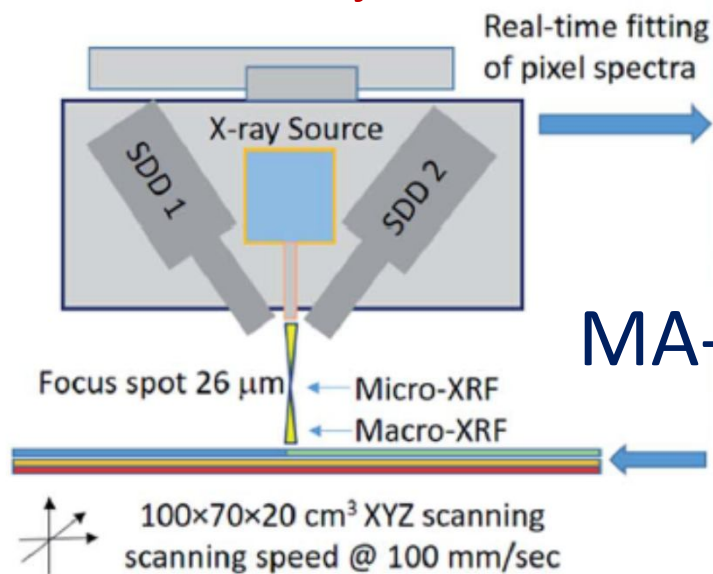
F.F. P. Romano et al., *Analytical Chemistry* 2014, 86, 10892



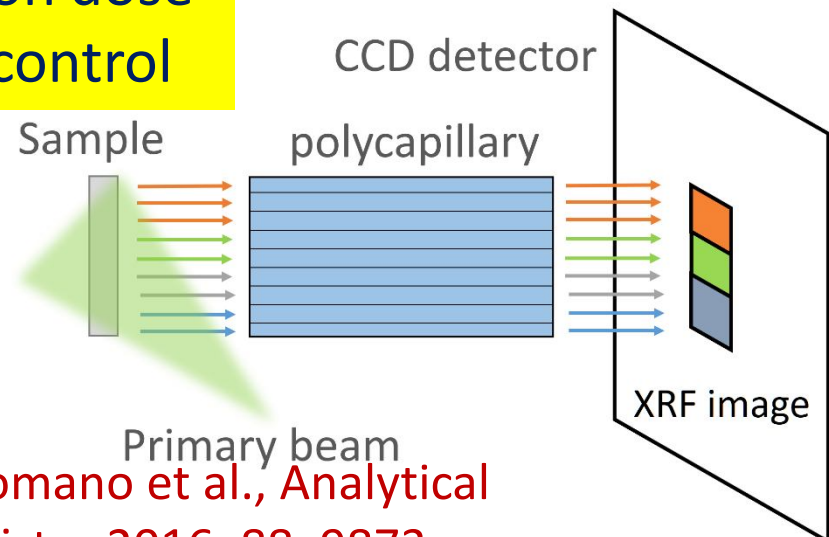
FF-XRF

F.P. Romano et. al. *JAAS* 2017
DOI: 10.1039/c6ja00439c

- Radiation dose
- Motor control



MA-XRF



F.P. Romano et al., *Analytical Chemistry* 2016, 88, 9873

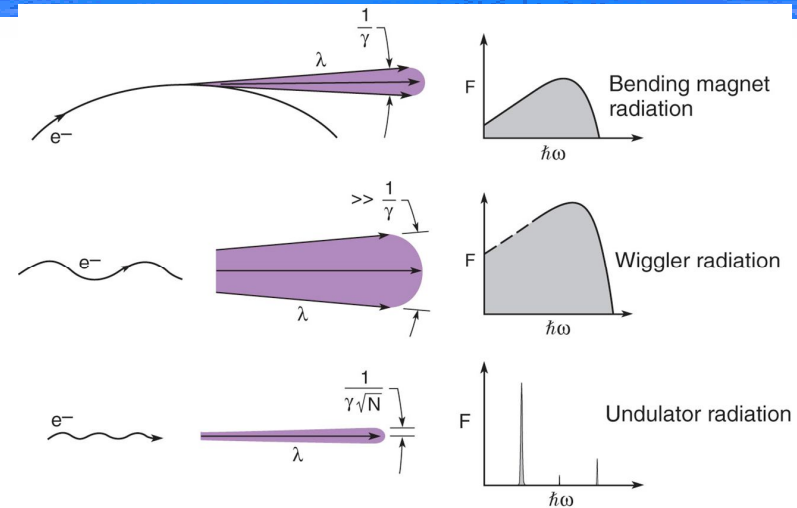
Andreas Karydas, *ICTP*, 24 September 2017



X-ray sources

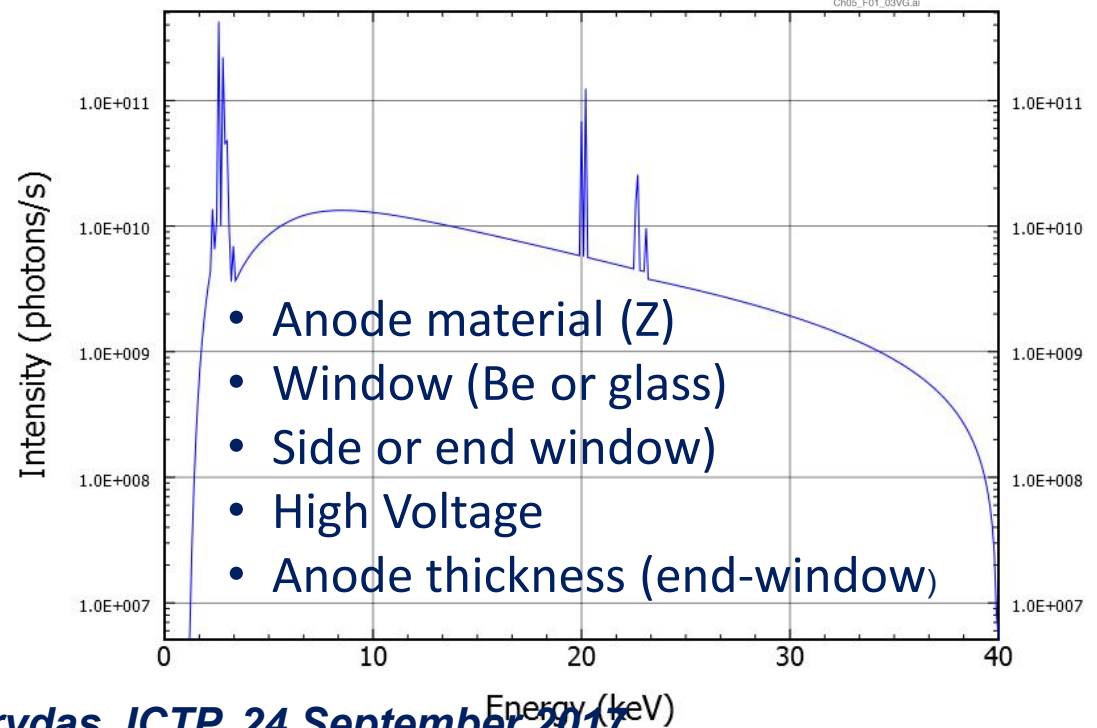
Synchrotron radiation

High brilliance, polarization:
Micro/Nano-XRF ($< 1\mu\text{m}$)



X-ray tubes

- High power ($\sim \text{kW}$) diffraction x-ray tubes
- Micro focus ($\sim 50\text{-}100\mu\text{m}$) anode size - Brilliance optimised (30-50 W (air cooled))
- Miniature X-ray tubes – geometry optimized (2W-12W, 50kV)





Low/High power X-Ray Sources

Oxford Model: XTF5011



Anode materials: Rh, Ag, Mo
Focus spot size 50-150 μm
Exposure < 0.5 mR/hr



Moxtek end/side window tubes, 10W, 50kV

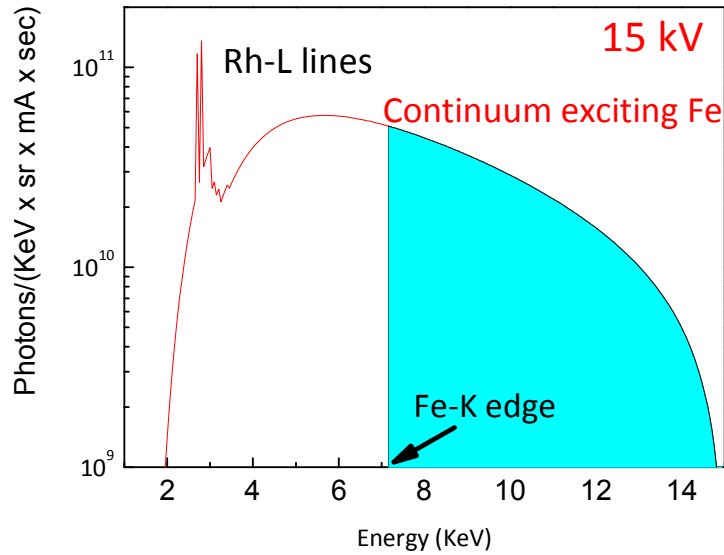


Newton M47, 50kV 10W X-ray Source, 400 grs

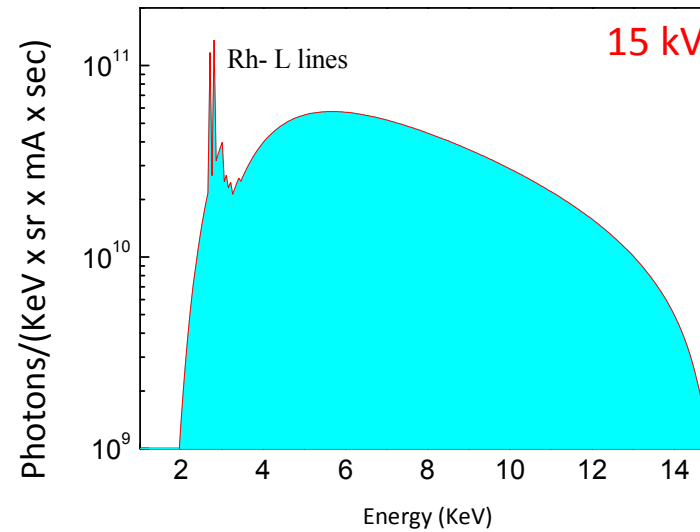


Quantification in Tube excited XRF analysis

Fe excitation

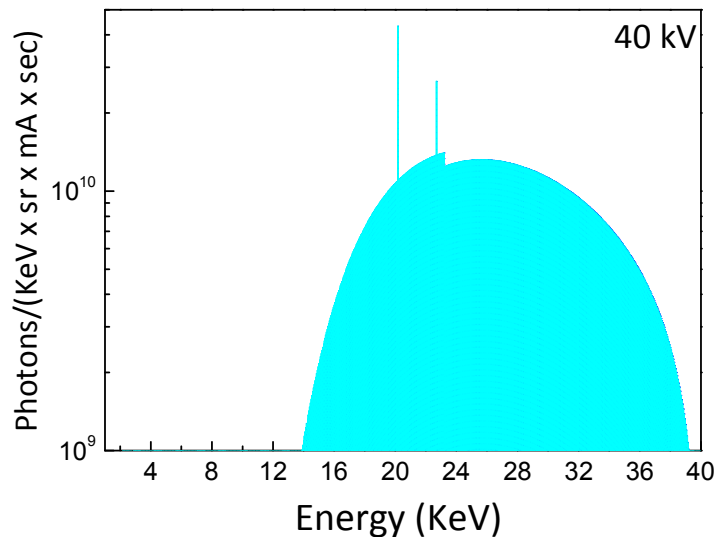


Si excitation

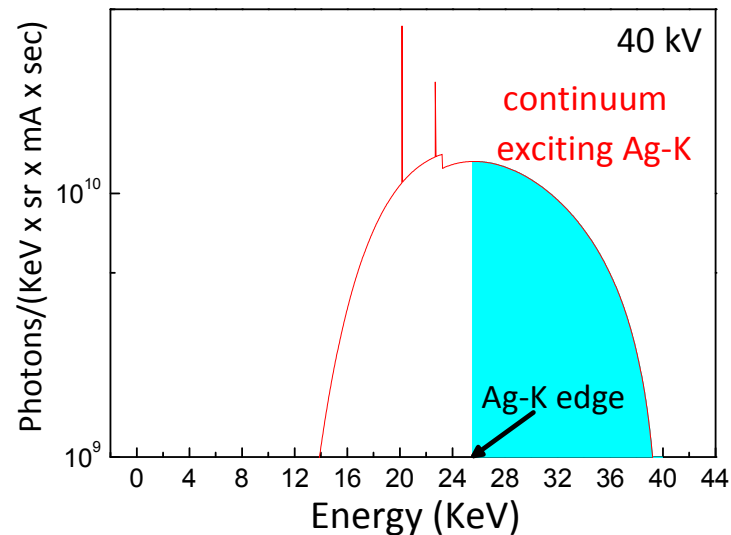


15 kV
Unfiltered

Cu excitation



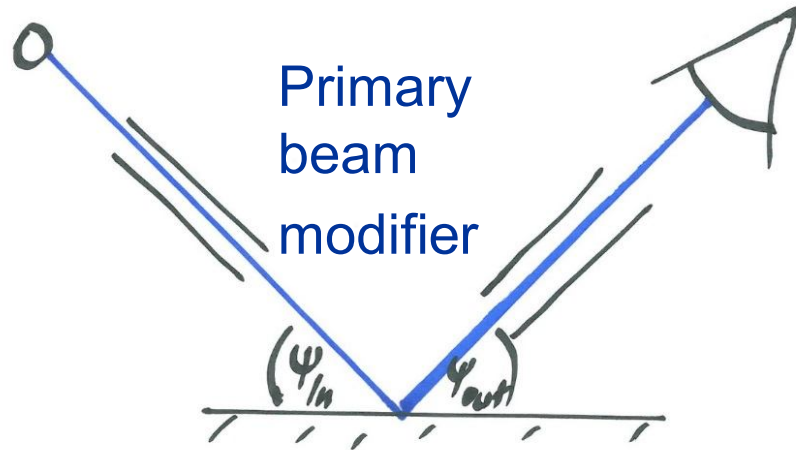
Ag excitation



40 kV
Filtered



Beam Modifier



To improve:

- Monochromaticity or P/B ratio
- Beam spot size spatial resolution
- Polarization state of incoming radiation
- To eliminate the presence of **diffraction peaks**

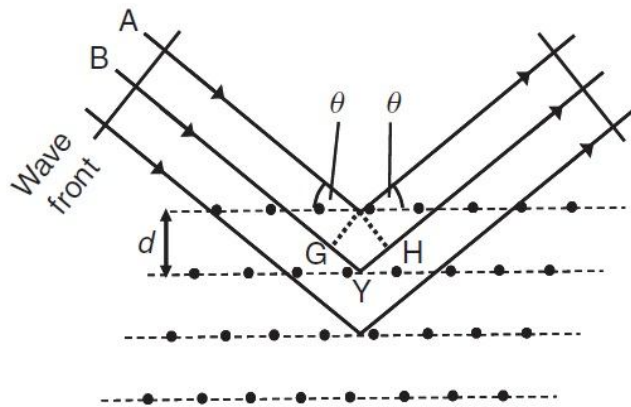
The modifier device (for either beam divergence, focusing, spectral distribution) can be:

- Collimator**
- Filter**
- Monochomator**
 - Secondary target
 - Multilayer/crystals
- Optics**
 - Focusing crystals
 - Capillary lenses



Filtered vs Unfiltered excitation: An example

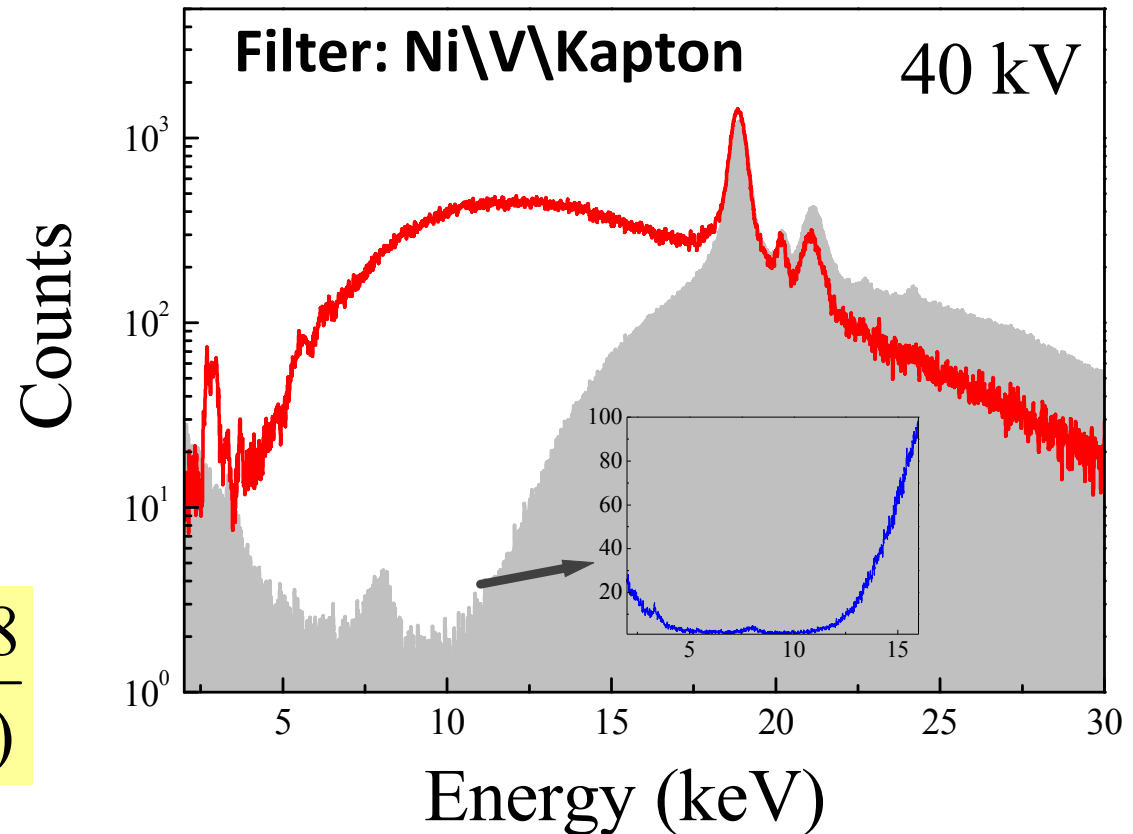
Rh anode tube, 40 kV, low atomic number scatterer



$$n\lambda = 2 \cdot d \cdot \sin \theta$$

$$E = \frac{hc}{\lambda}, E(\text{keV}) = \frac{1.2398}{\lambda(\text{nm})}$$

Bragg's Law



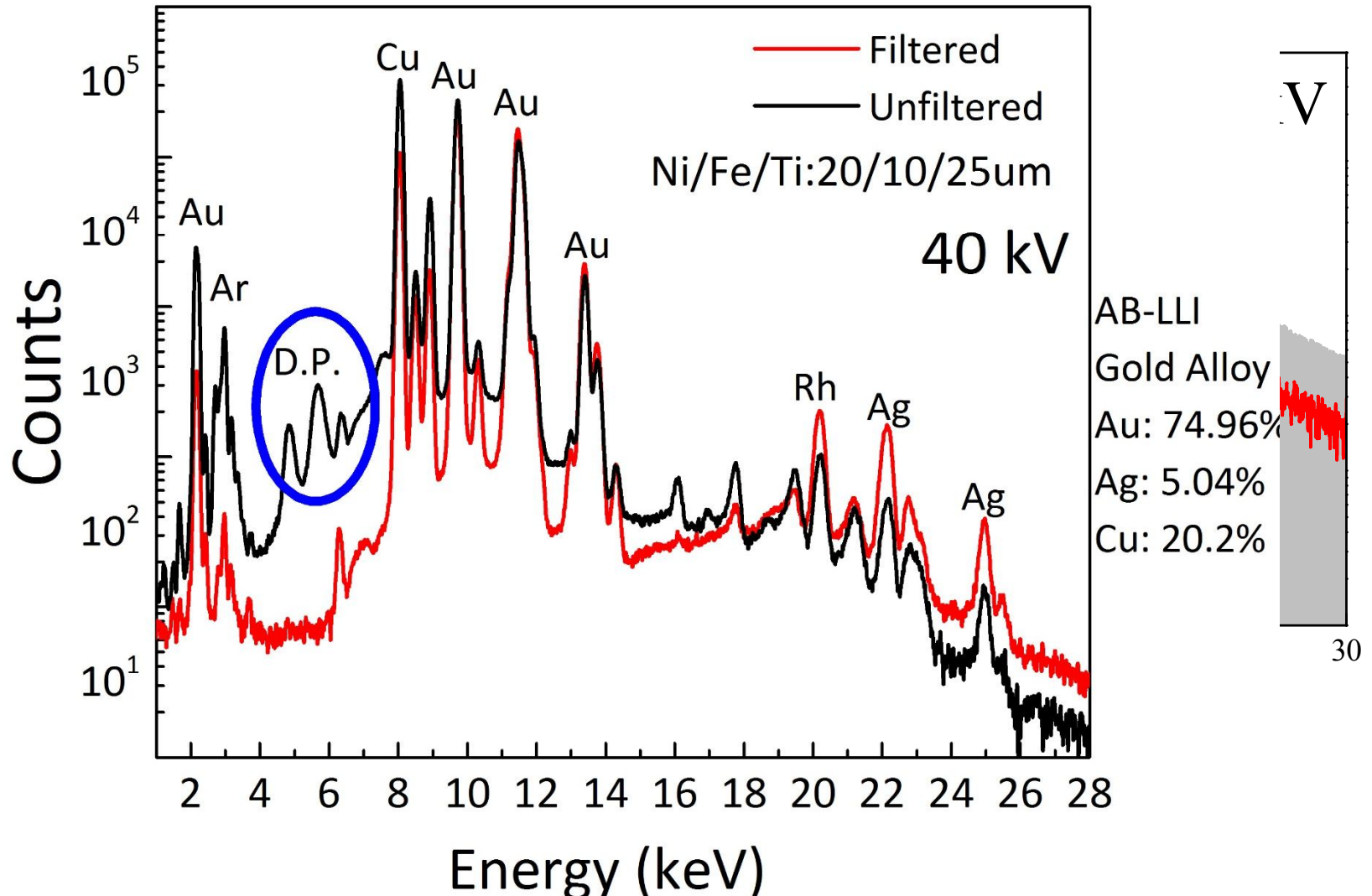
@ 19 keV: 3-4 times

@ 5-15 keV: 10-100 times



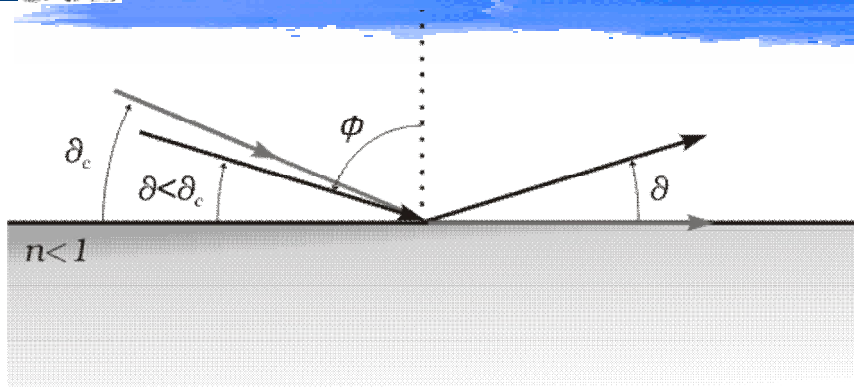
Filtered vs Unfiltered excitation: An example

LANDIS MA-XRF spectrometer





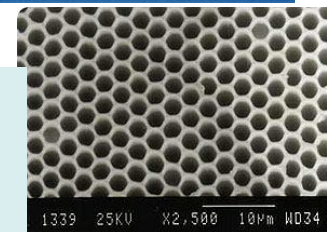
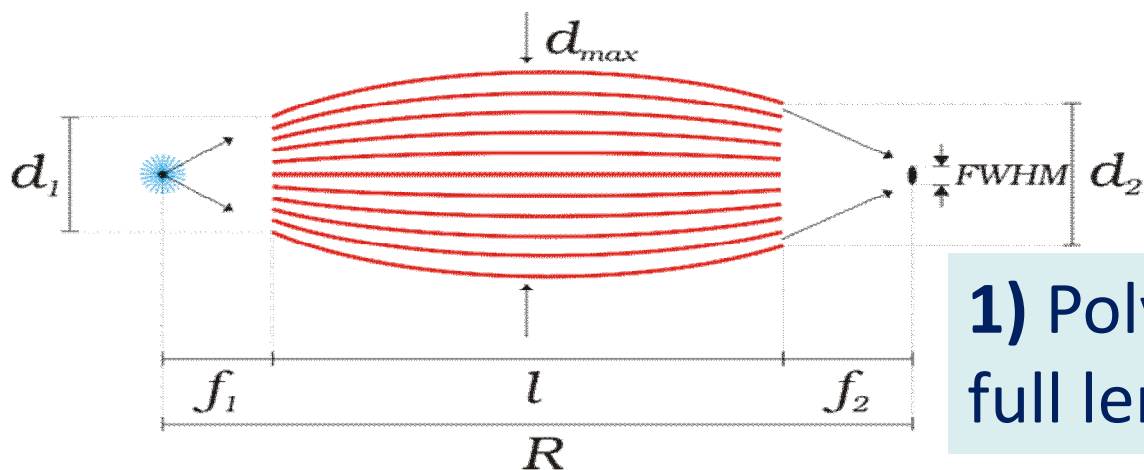
X-ray Optics in MA-XRF analysis



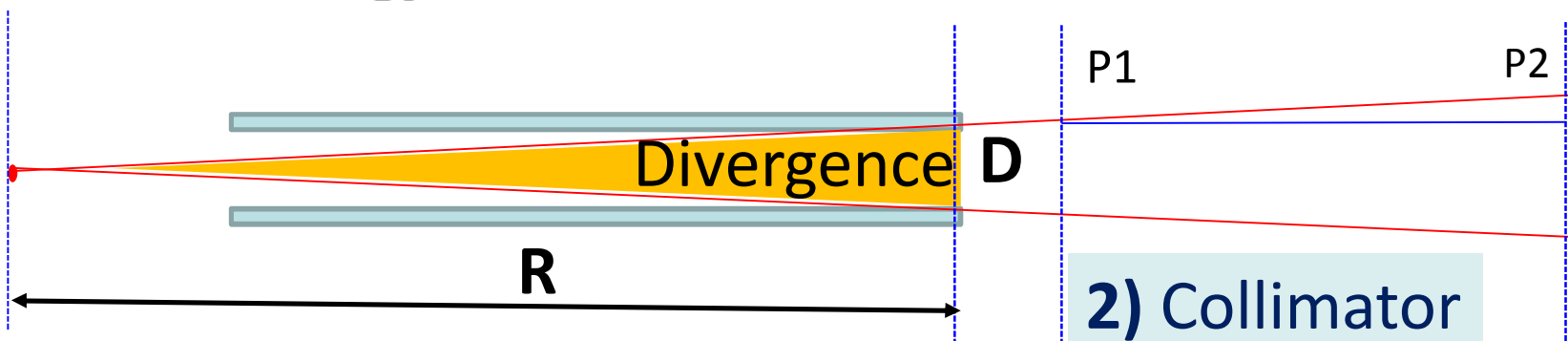
$$n \approx 1 - \delta$$

$$\vartheta_{crit} = \sqrt{2\delta}$$

$$\vartheta_{crit}(\text{degrees}) \approx \frac{1.651}{E(\text{keV})} \sqrt{\frac{Z}{A} \rho \left(\frac{\text{g}}{\text{cm}^3}\right)}$$



1) Polycapillary full lens

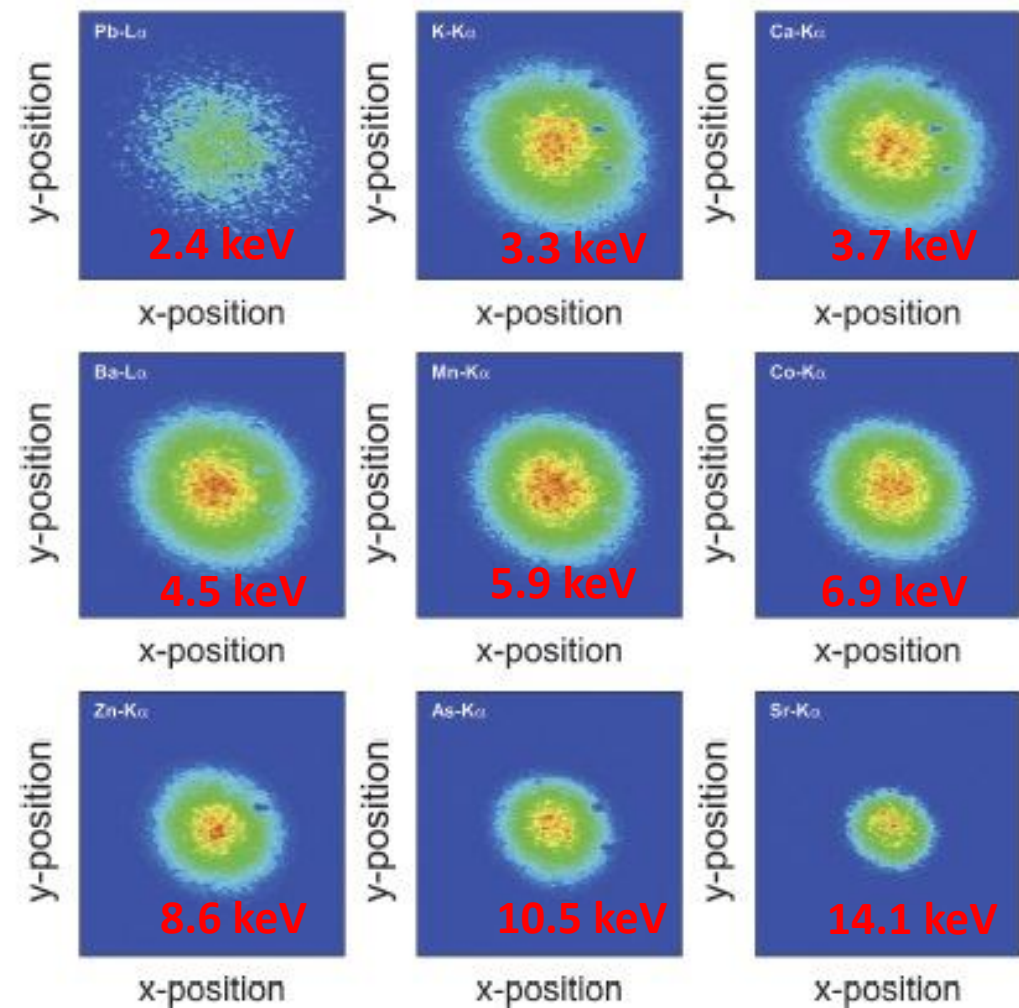
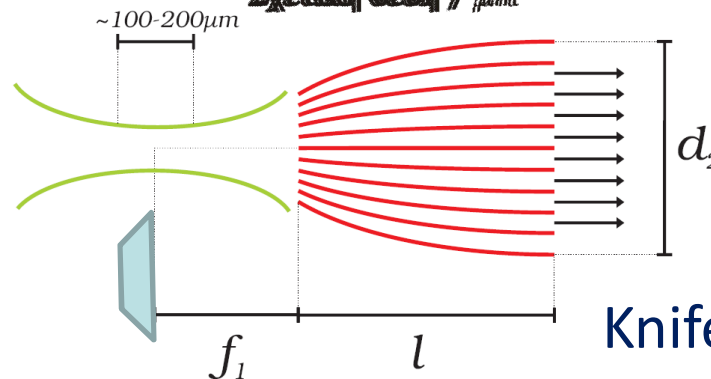
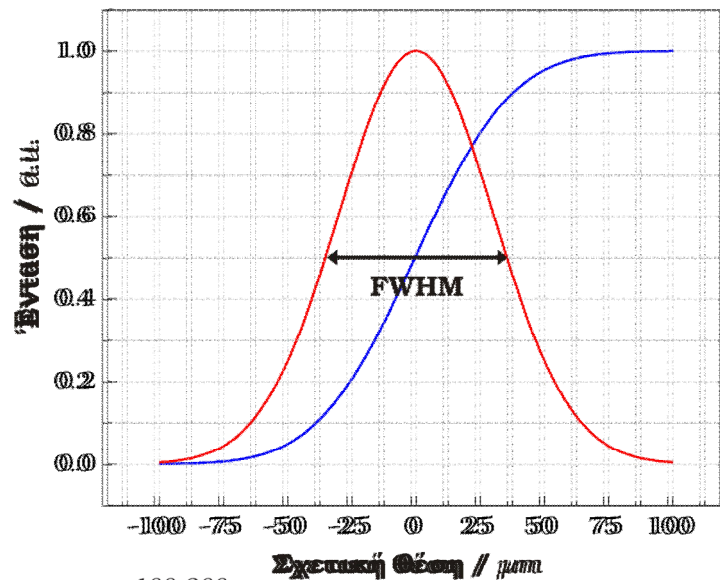


2) Collimator



Characteristics of Polycapillary X-ray lenses

- Spot size –FWHM (E)
- Gain Factor – G(E)
- Focal distance



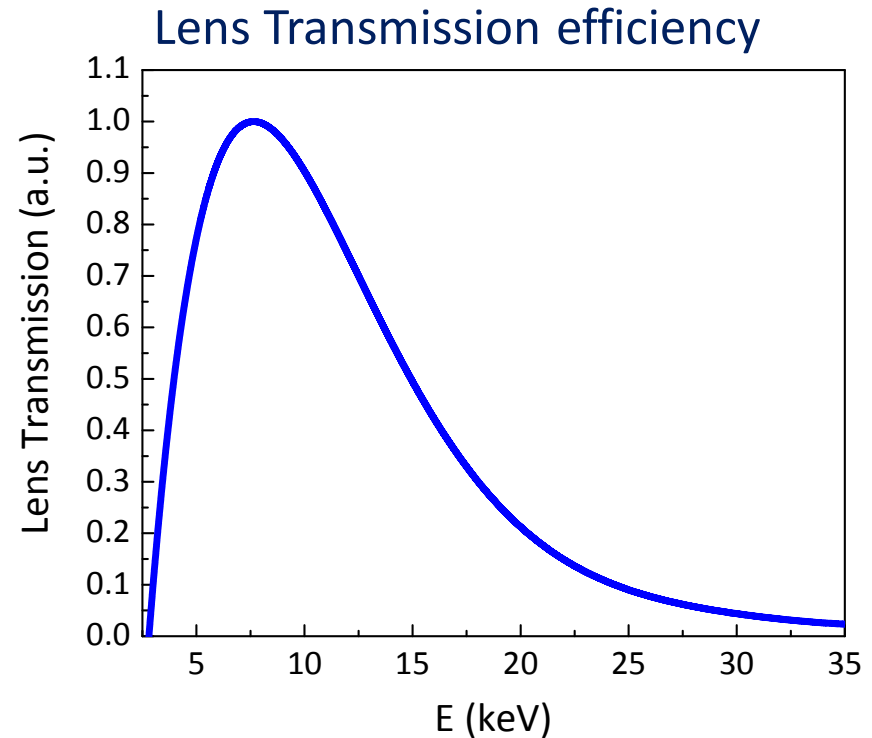
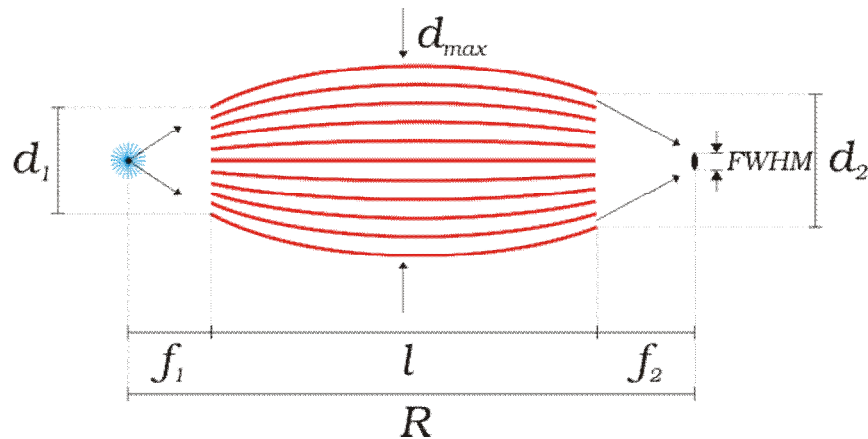
T. Wolff et al, JAAS, 2009 24 669

Knife edge scan



Characteristics of Polycapillary X-ray lenses

- Spot size –FWHM (E)
- **Gain Factor – G(E)**
- Focal distance



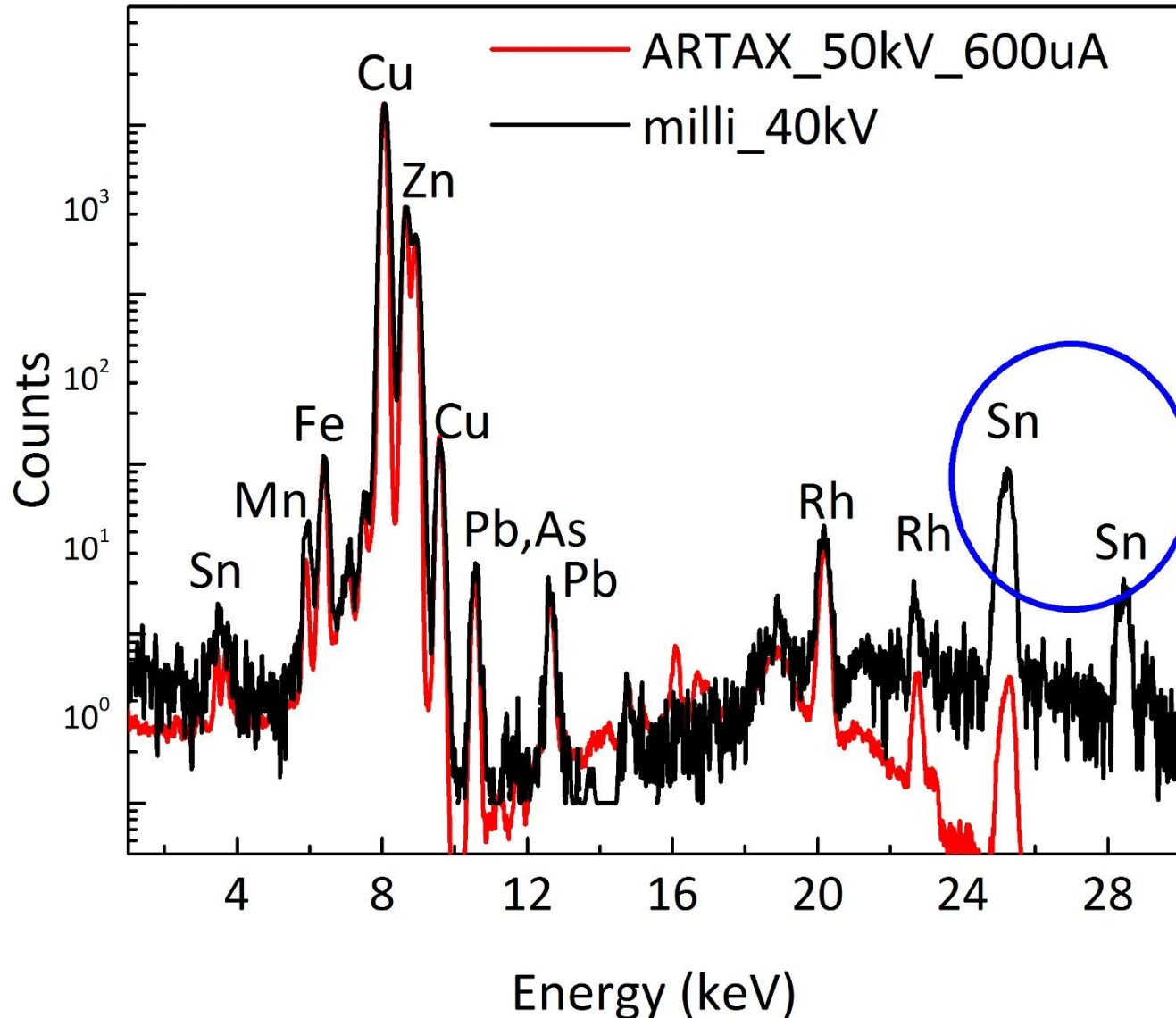
V. Kantarelou, A.G. Karydas,
XRS 2016, DOI 10.1002/xrs.2661

$$G(E) = \frac{\Phi_{lens}}{\Phi_{col}} = \frac{T(E) \cdot d_{in}^2 \cdot R^2}{[FWHM(E)]^2 \cdot f_1^2}$$



Characteristics of Polycapillary X-ray lenses

BCR_B: Cu 82.6%, Zn 14.8%, Sn 2.06%, Pb 0.39%, As 0.099%

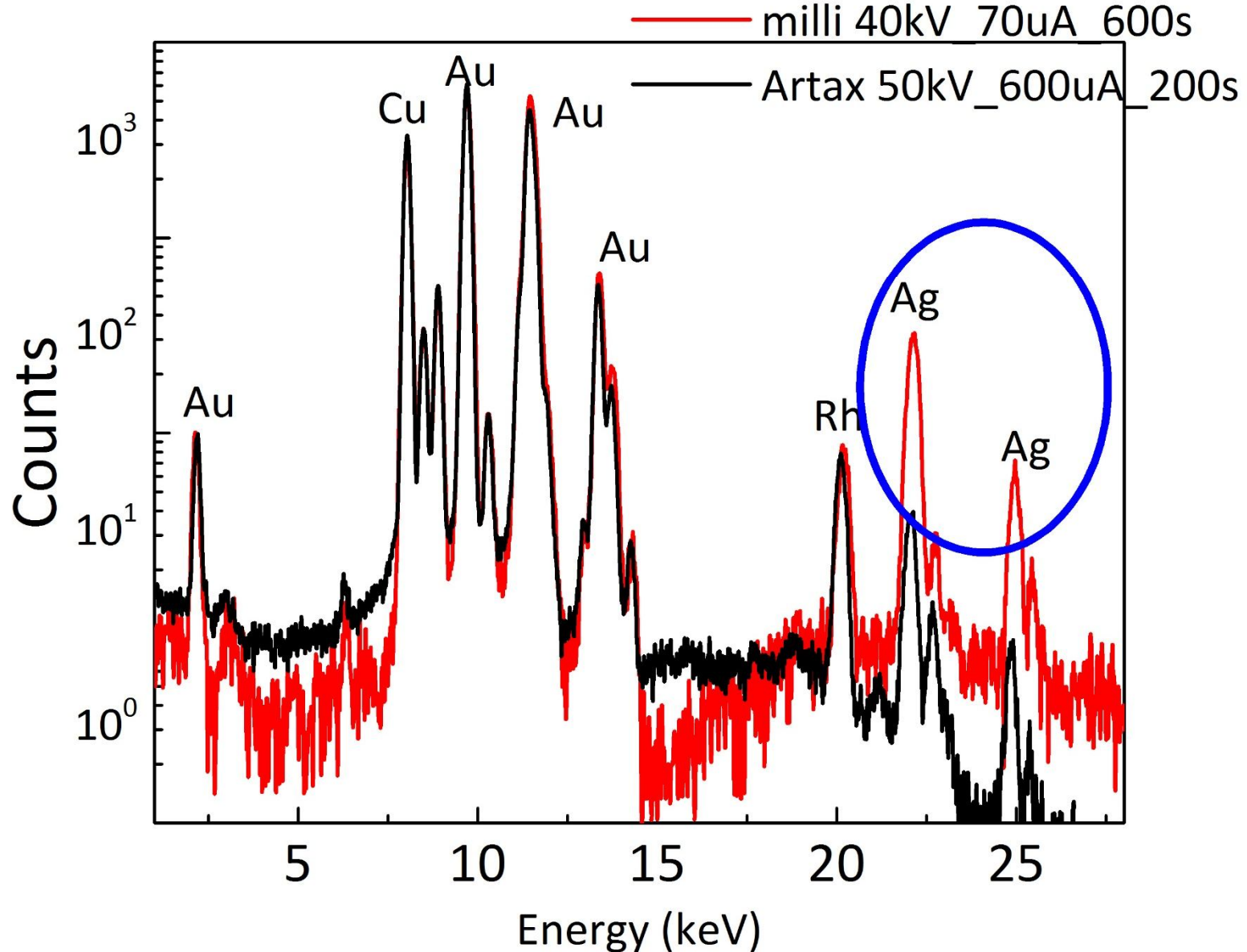


Andreas Karydas, ICTP, 24 September 2017



Characteristics of Polycapillary X-ray lenses

AB-LLI: Au 74.96%, Ag 5.04%, Cu 20.2%

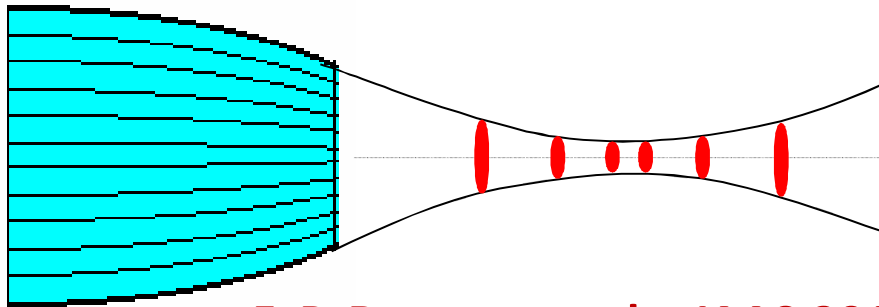


Andreas Karydas, ICTP, 24 September 2017

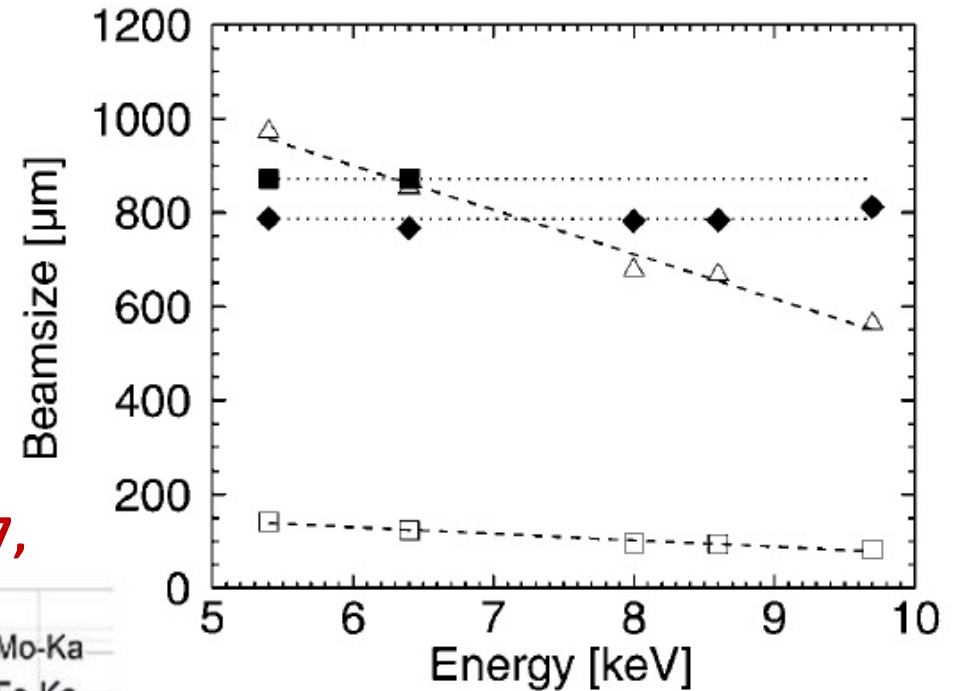
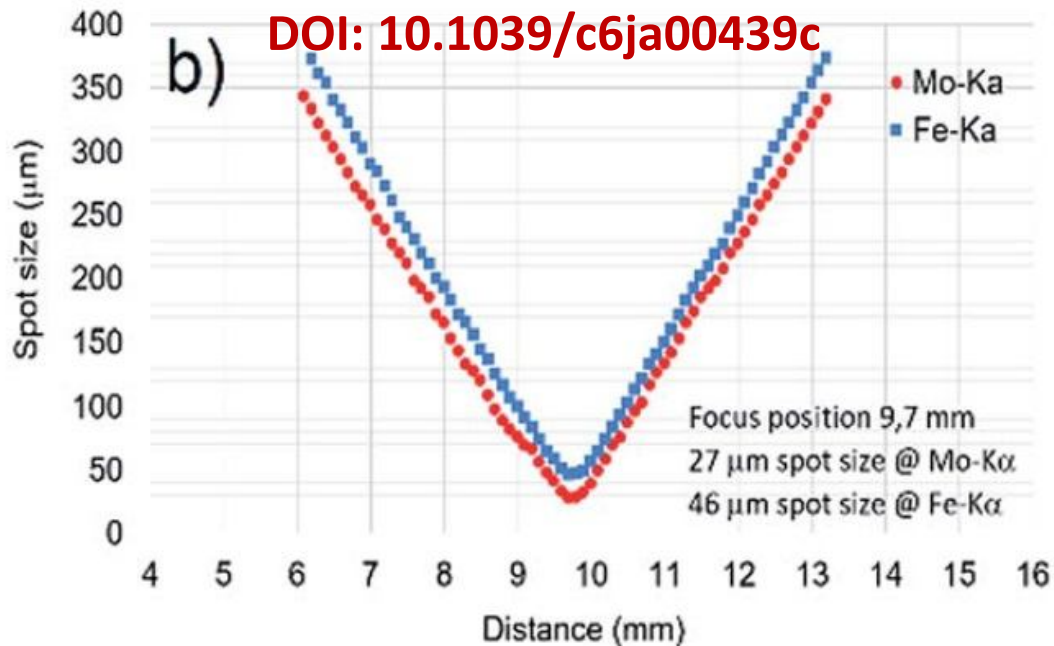


Characteristics of Polycapillary X-ray lenses

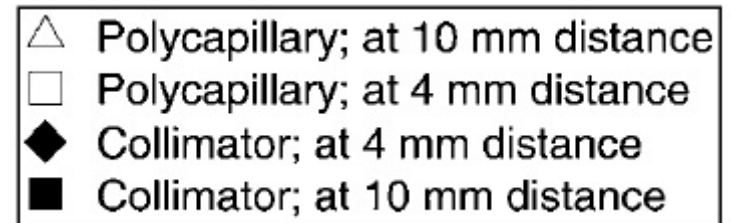
- Spot size –FWHM (E)
- Gain Factor – G(E)
- Focal distance



F. P. Romano et al., JAAS 2017,
DOI: 10.1039/c6ja00439c

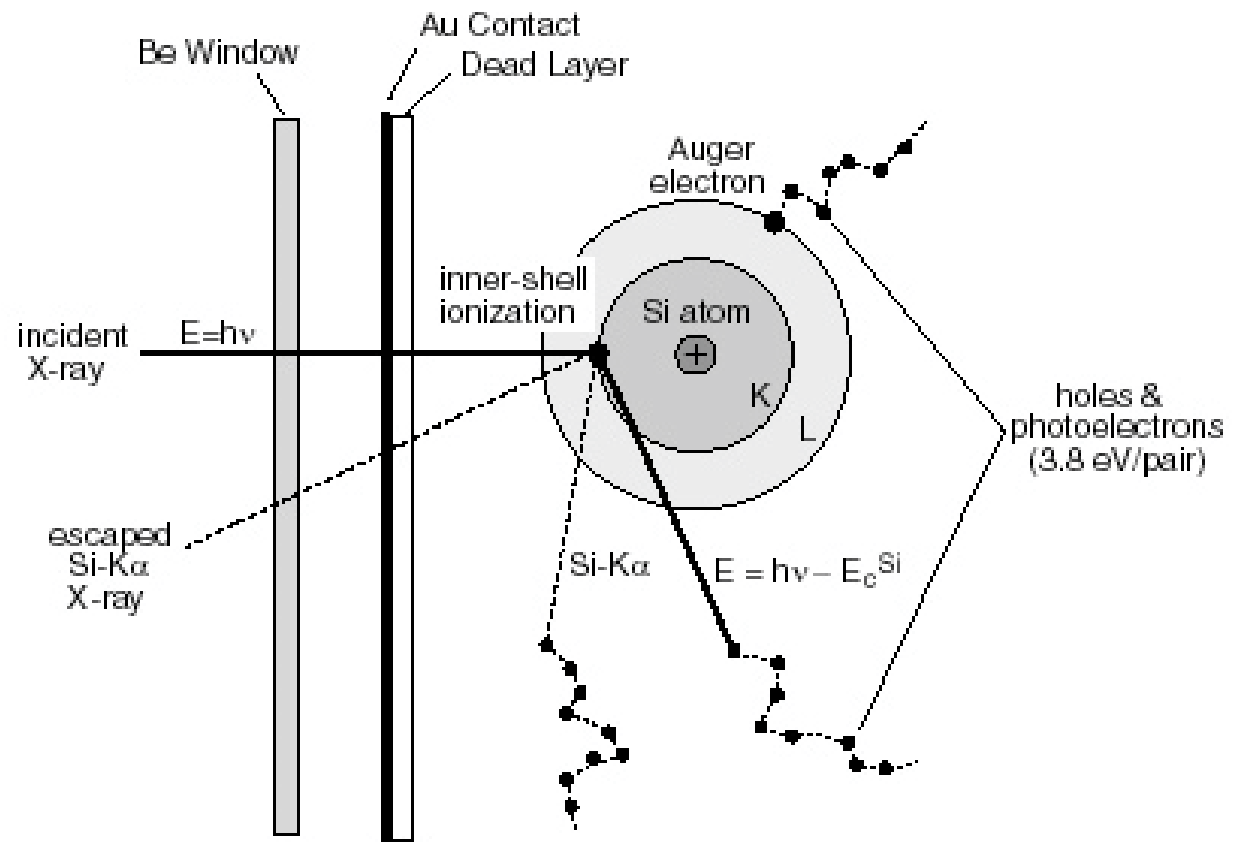
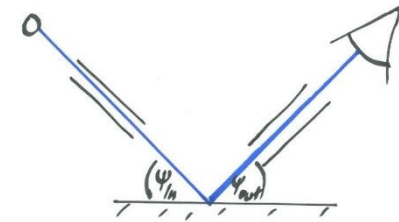


M. Alfeld et al., JAAS 2011, 26, 899



Physics & technology behind X-rays detection

- A photon produces pairs of free electrons and holes (energy needed to create an electron-hole pair=3.6 eV)
- Charge is collected from the depleted active region of the sensor and it is further amplified
- Signal strength is proportional to the detected photon energy

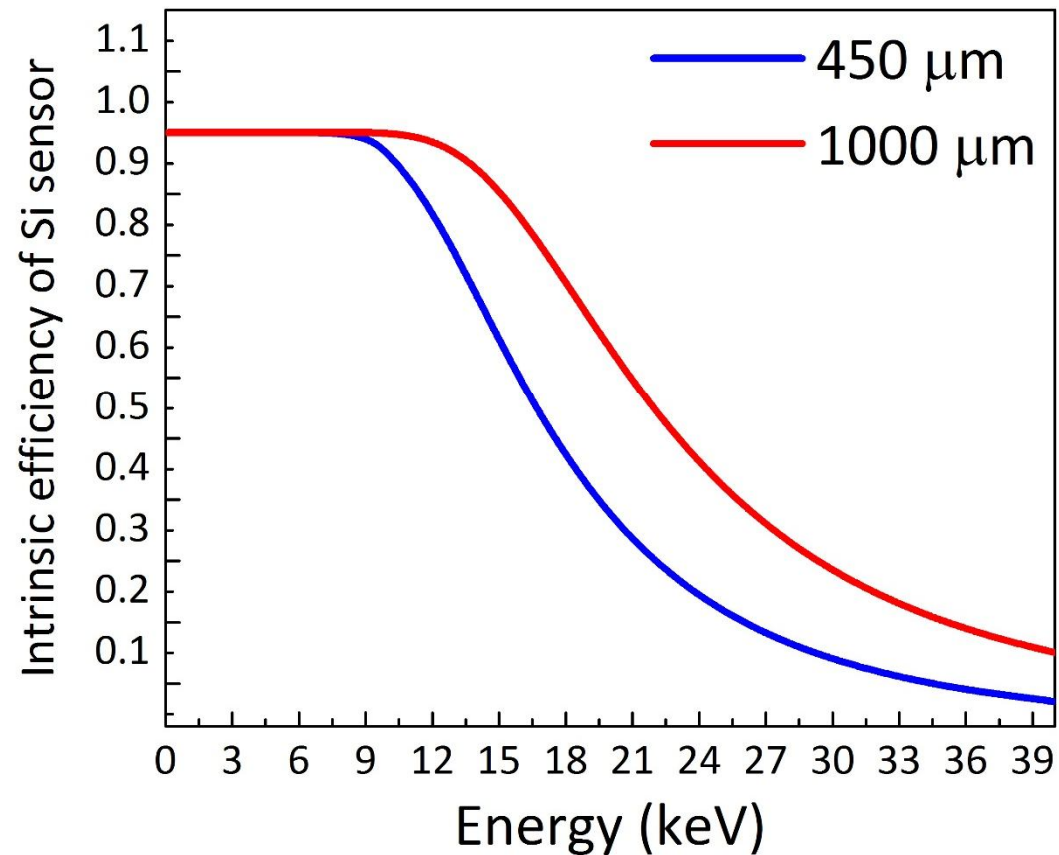
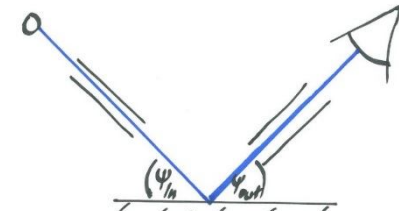


Physics & technology behind X-rays detection

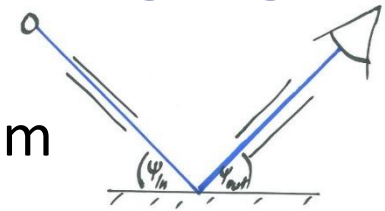
□ A photon produces pairs of free electrons and holes (energy needed to create an electron-hole pair=3.6 eV)

□ Charge is collected from the depleted active region of the sensor and it is further amplified

□ Signal strength is proportional to the detected photon energy



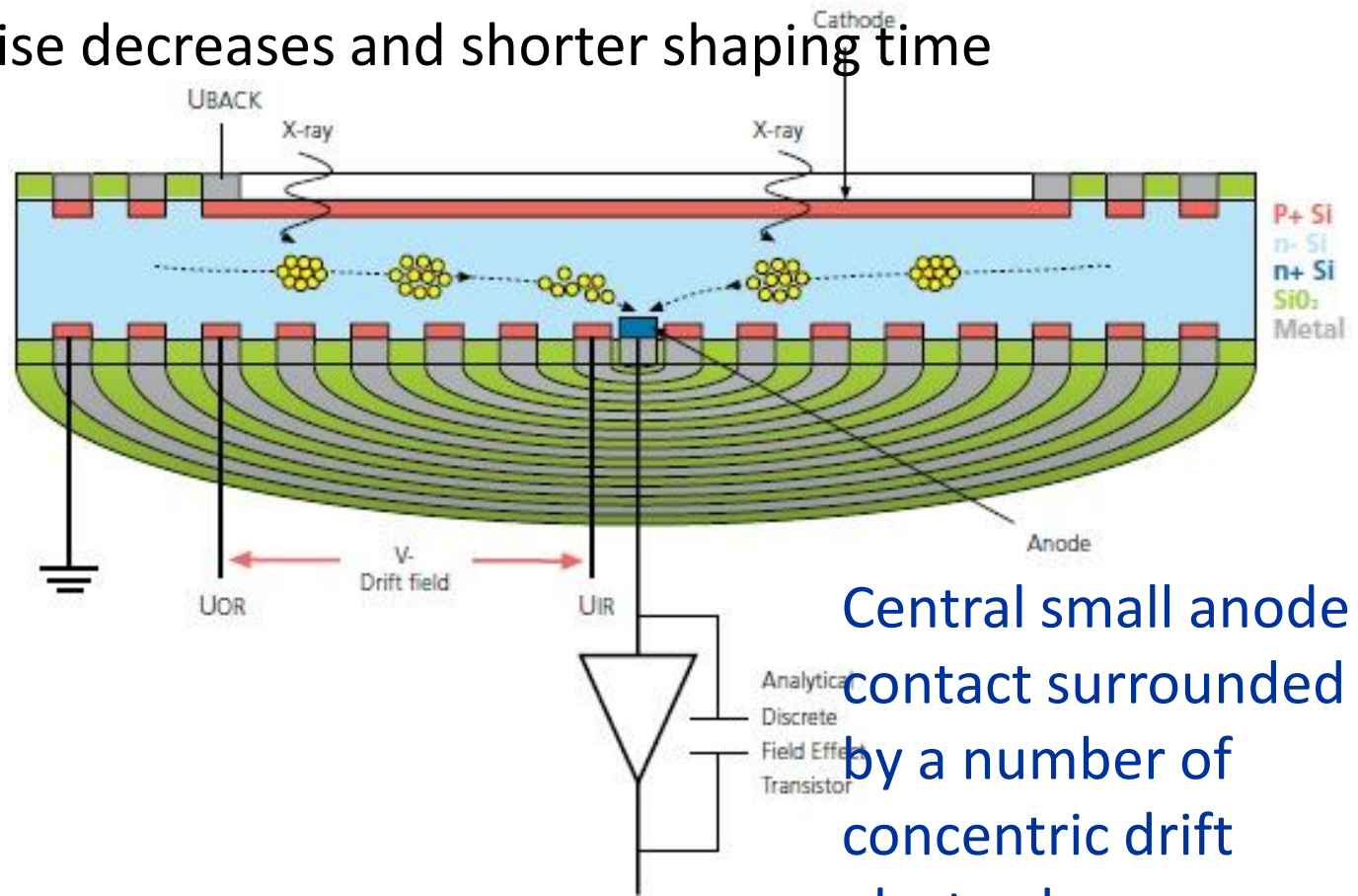
Physics & technology behind MAXRF imaging



Silicon Drift Detector - Principle: The charge is drifted from a large area into a small read-out node with low capacitance, independent of the active area of the sensor. Thus, the serial noise decreases and shorter shaping time can be used

Two advantages:

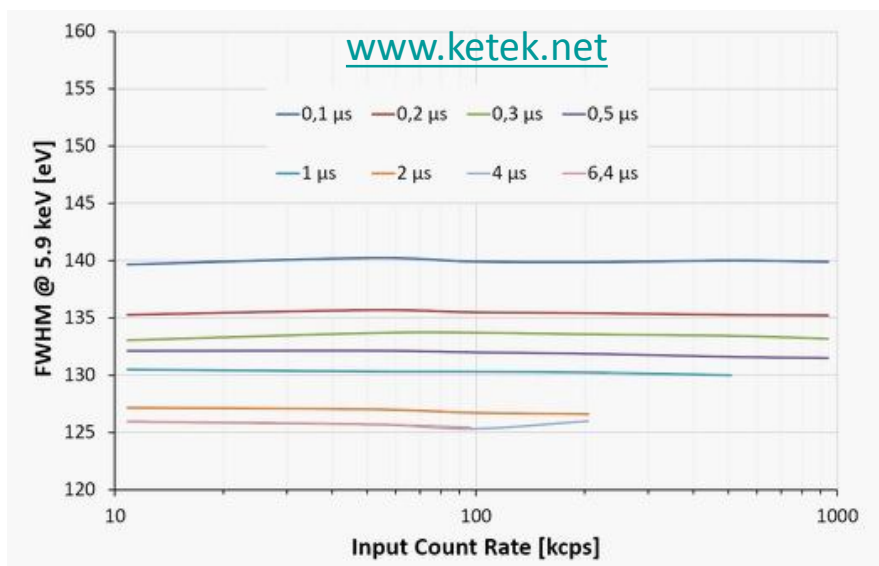
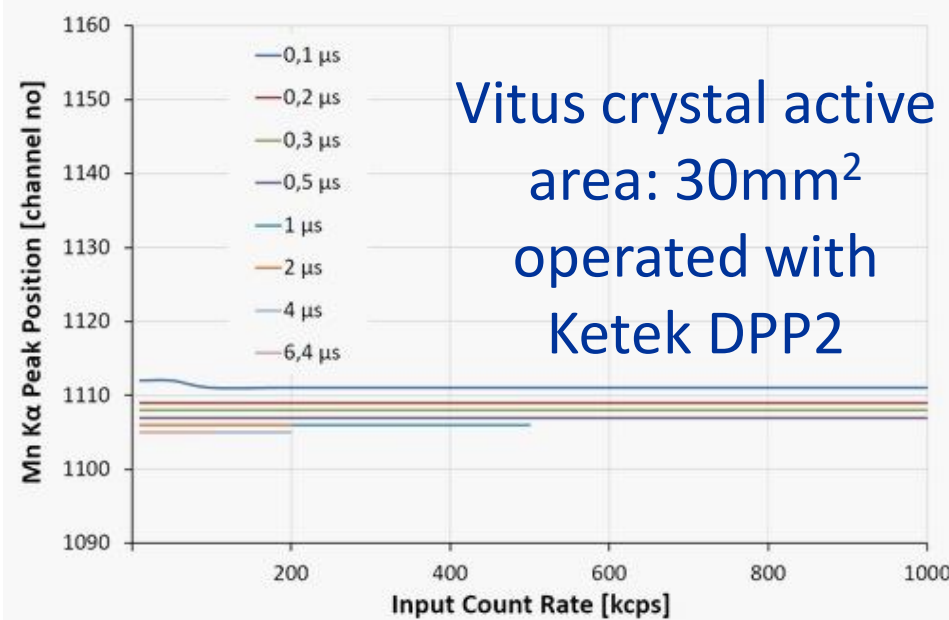
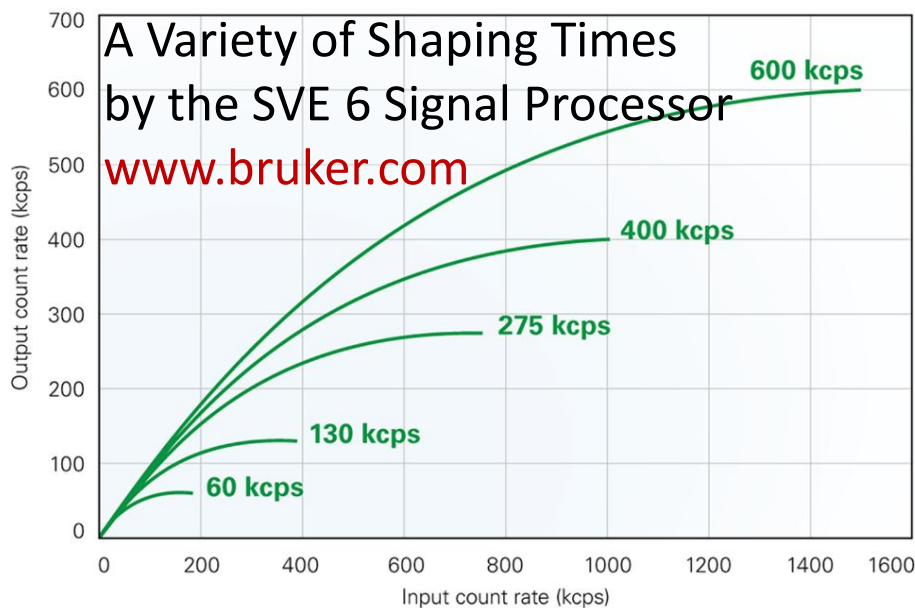
- 1) Faster counting is enabled
- 2) Higher leakage current can be accepted, drastically reducing the need for cooling



Central small anode contact surrounded by a number of concentric drift electrodes

Figure from Oxford Instruments Manual

Performance Figures of Silicon Drift Detectors



CUBE preamplifier supports high-rate spectroscopy in XRF mapping applications while preserving enough energy resolution at shorter shaping times.

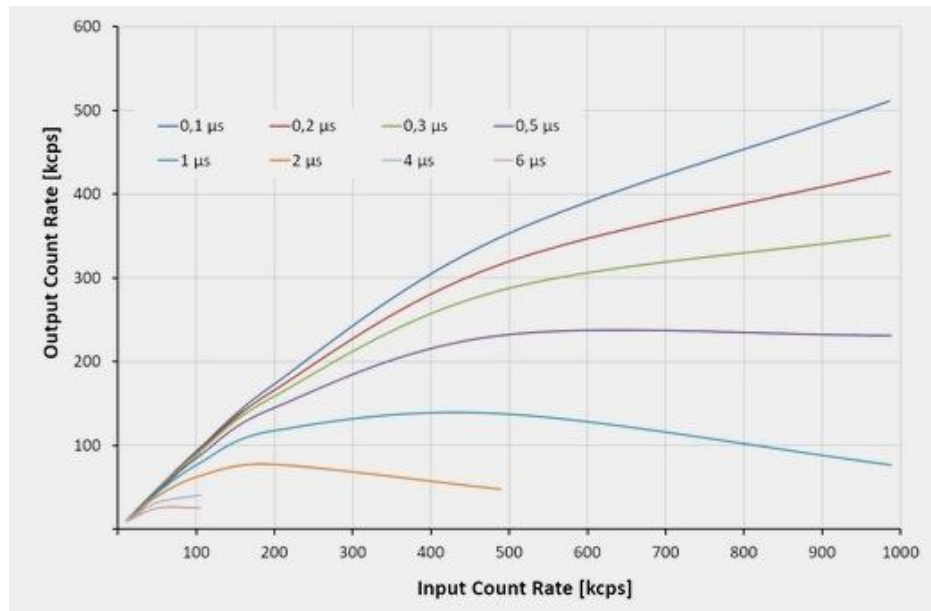
Bombelli et al, DOI: 10.1109/NSSMIC.2012.6551138, 2012

The use of short peaking times further reduces the impact of the detector leakage current on the total noise. Room temperature operation!

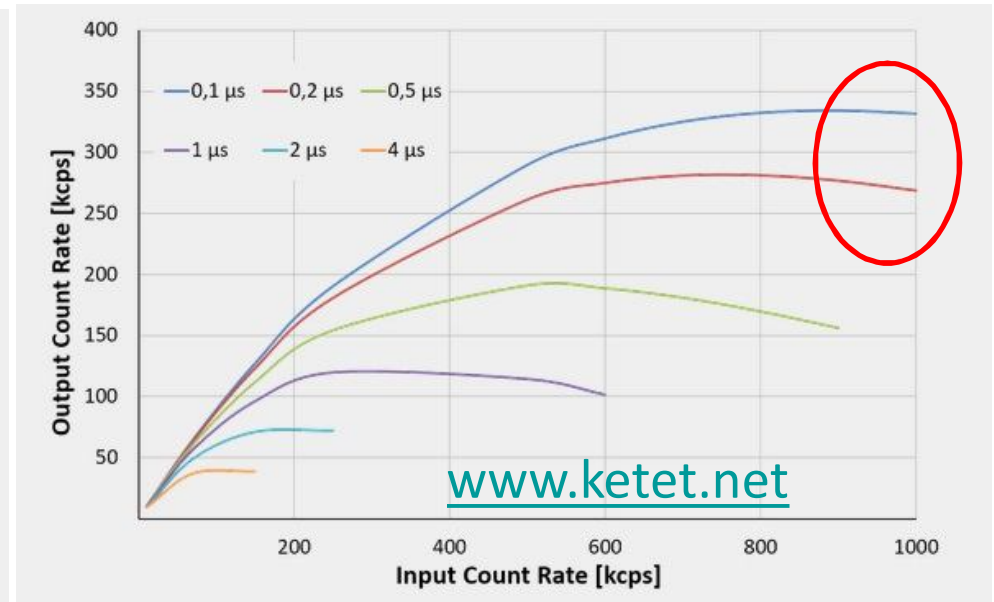
Andreas Karydas, ICTP, 24 September 2017

Performance Figures of Silicon Drift Detectors

Vitus crystal active area: 30mm²
operated with Ketek DPP2



Vitus crystal active area: 80mm²
operated with Ketek DPP2



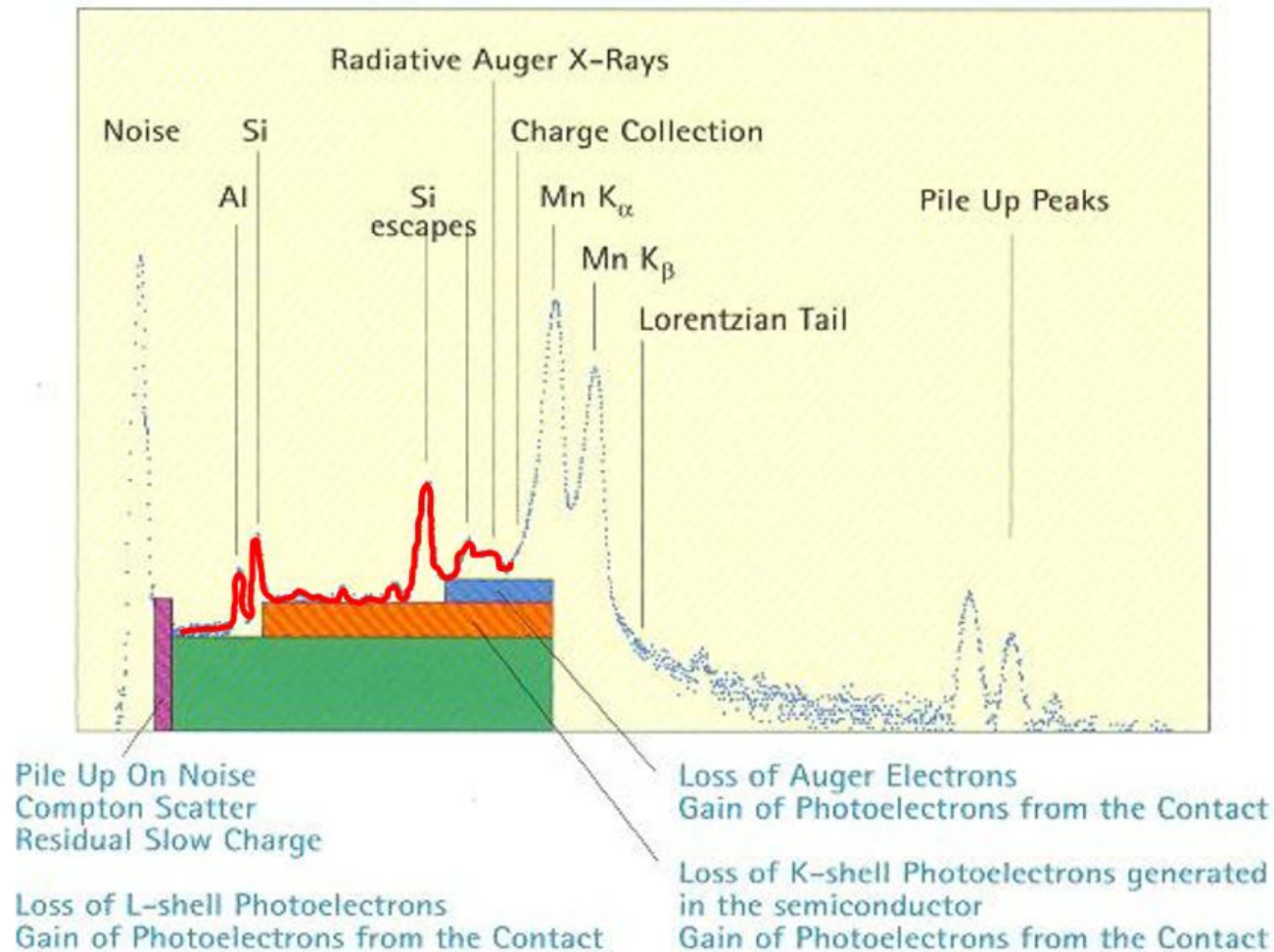
Larger area SDD's do not lead necessarily to proportional increase of detected intensities in high count rate applications due to increased dead time. Coupling detector operation with suitable signal processing unit is a key elements for fully exploiting larger areas SDD's



Energy Response of X-Ray Detectors

Fe-55 spectrum

Spectral Components

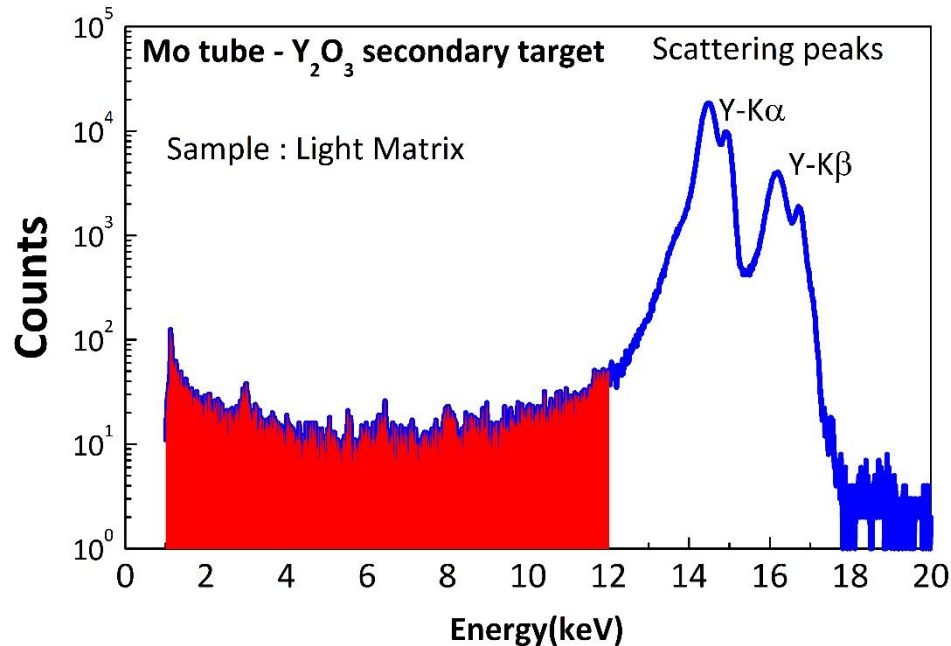


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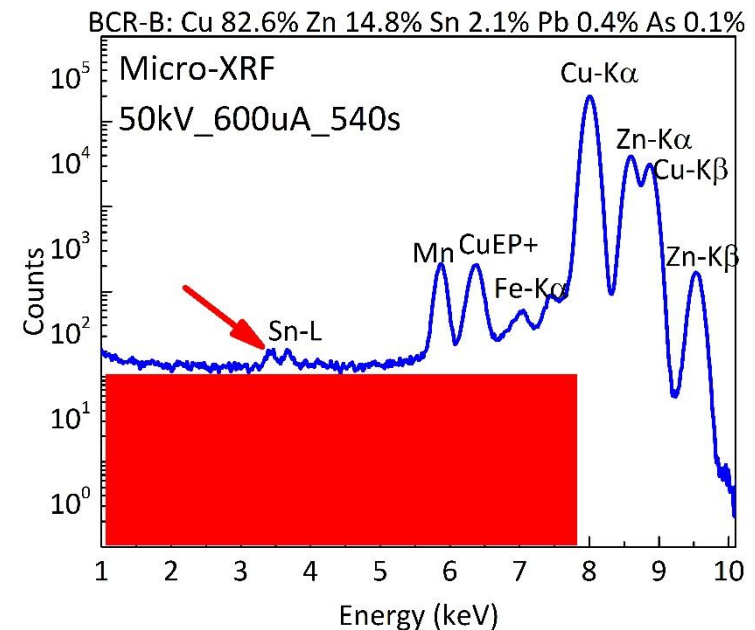
Energy Response of X-Ray Detectors

Background generated in XRF spectrum



Background in light matrices:

➤ Scattered Source radiation

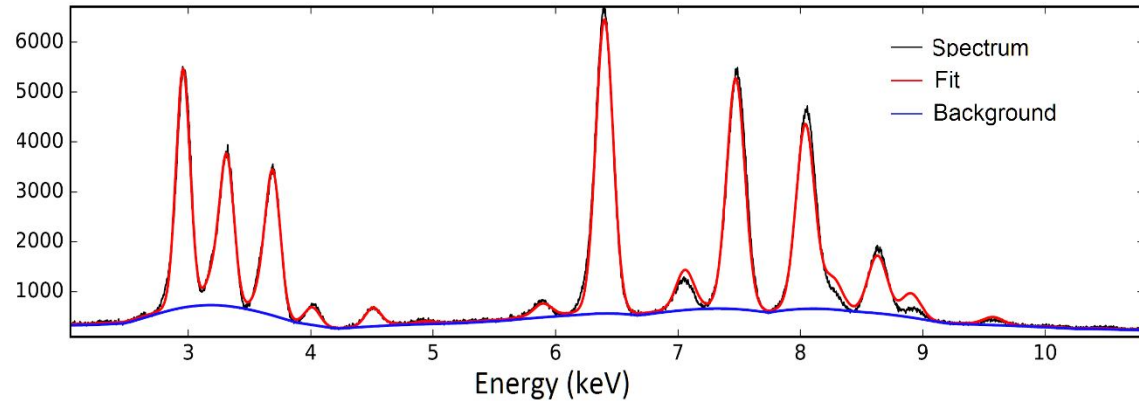
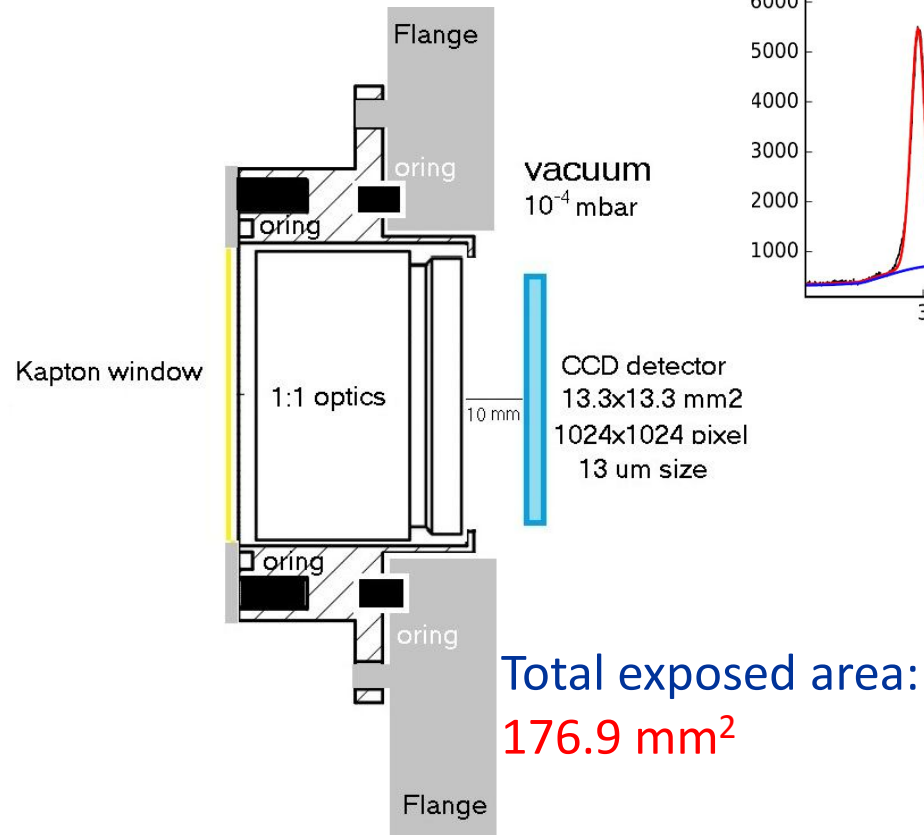


Metallic matrices:

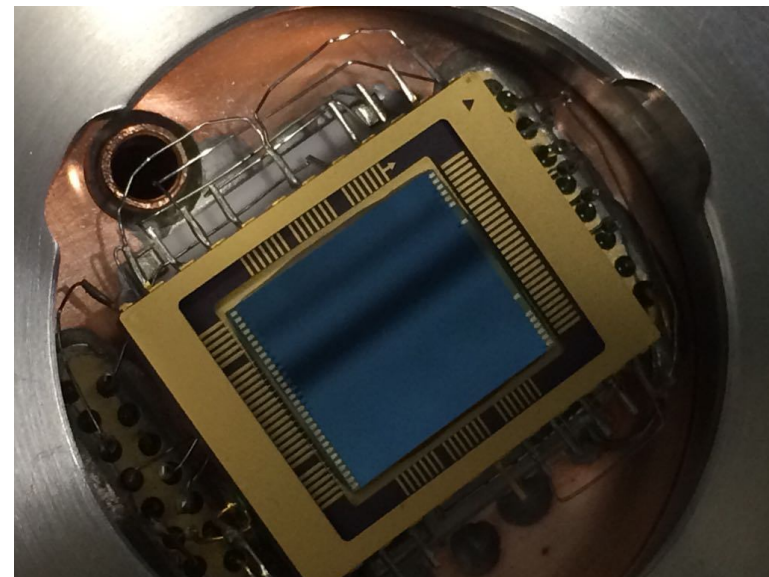
➤ Fluorescent peaks of the major alloy elements



X-ray Detection in Full Field Geometry



FWHM < 155 eV @ MnK α



The CCD sensor is composed of 1024 \times 1024 pixels with 13 μ m lateral size and 40 μ m thickness, readout speed of the CCD can be programmed from 50kHz to 5 MHz

F.P. Romano et. al. Anal. Chem.
2016, 88, 9873–9880



XRF Analytical Sensitivity

LoD: Limit of Detection

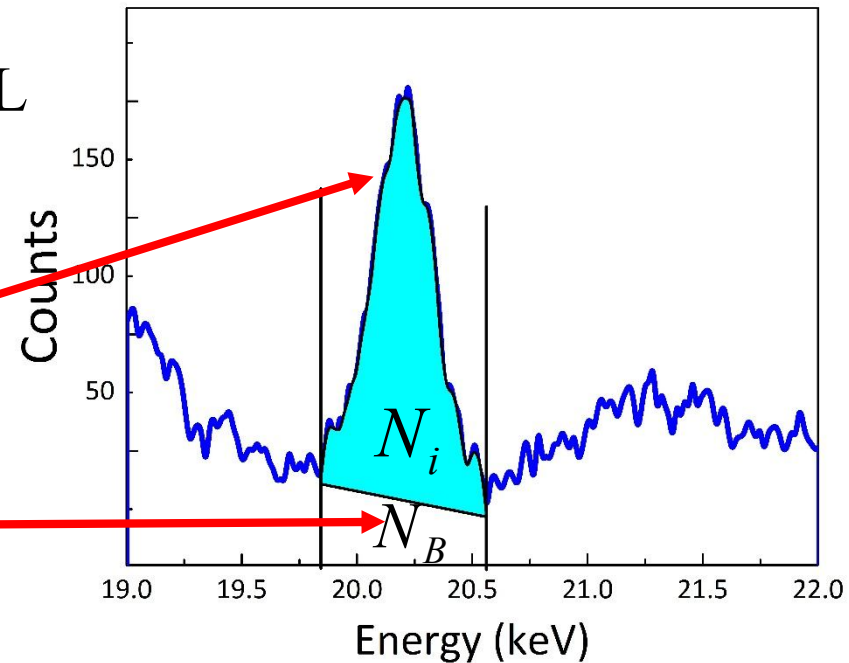
$$(LoD)_i \approx 3 \cdot c_i \cdot \frac{\sigma_i}{N_i} \quad (95\%) \text{ CL}$$

$$\sigma_i \approx \sqrt{N_B}$$

I_i Fluorescent intensity (cps)

I_B Background intensity (cps)

c_i Analyte concentration



$$(LoD)_i \approx 3 \cdot \frac{\sqrt{I_B / t}}{(I_i / c_i)} \propto \frac{\sqrt{t}}{t}$$

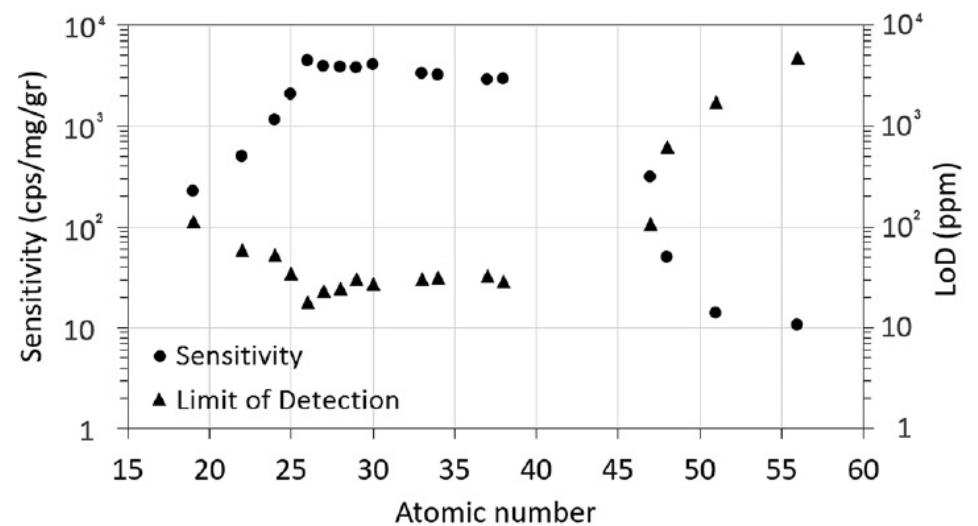
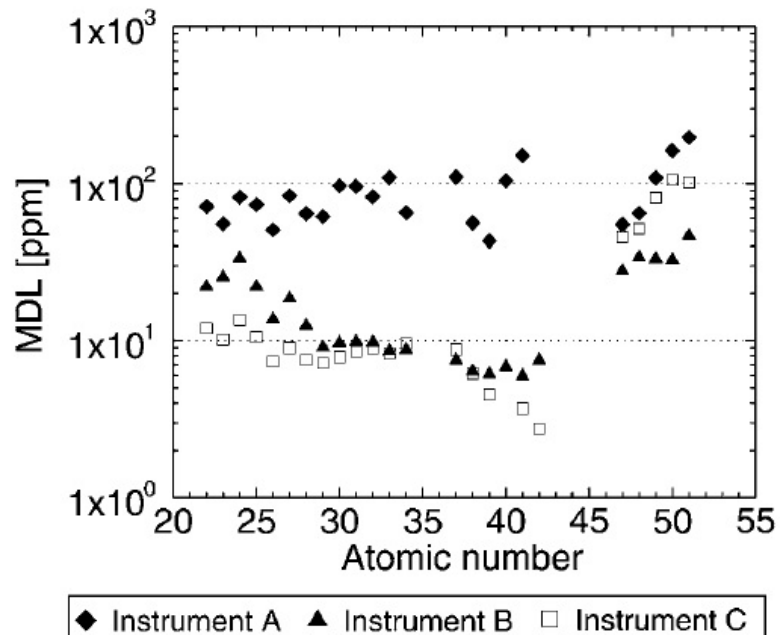
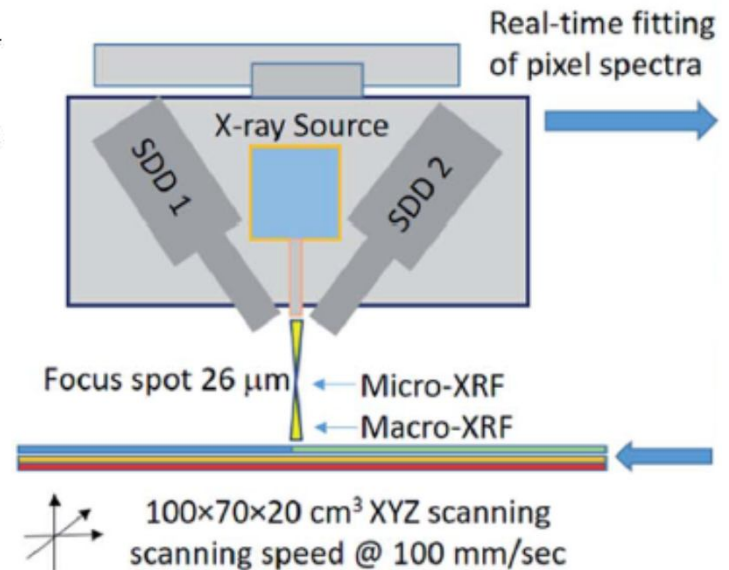
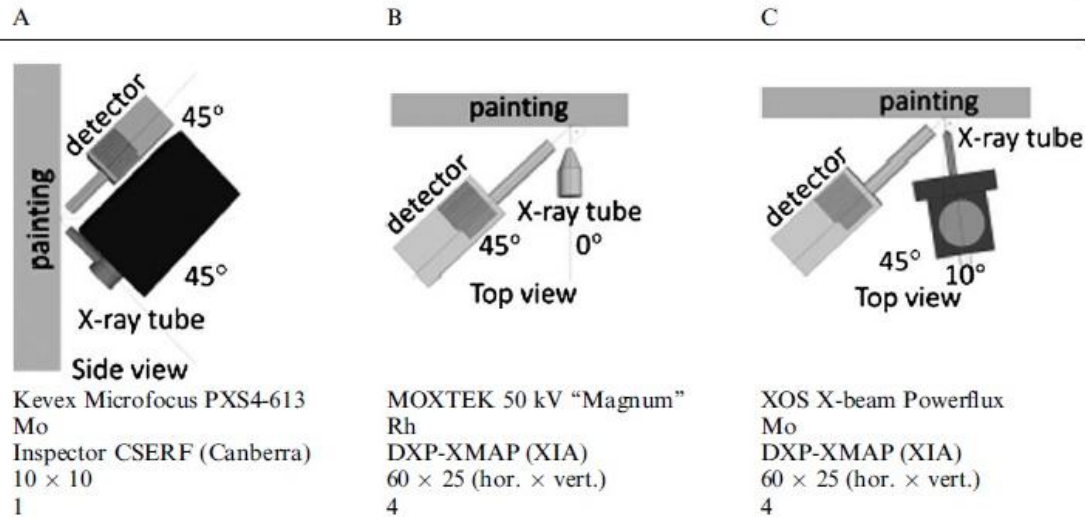
$$LoQ \approx 3.3 \cdot LoD$$



Figures of merit: Tube based MA-XRF

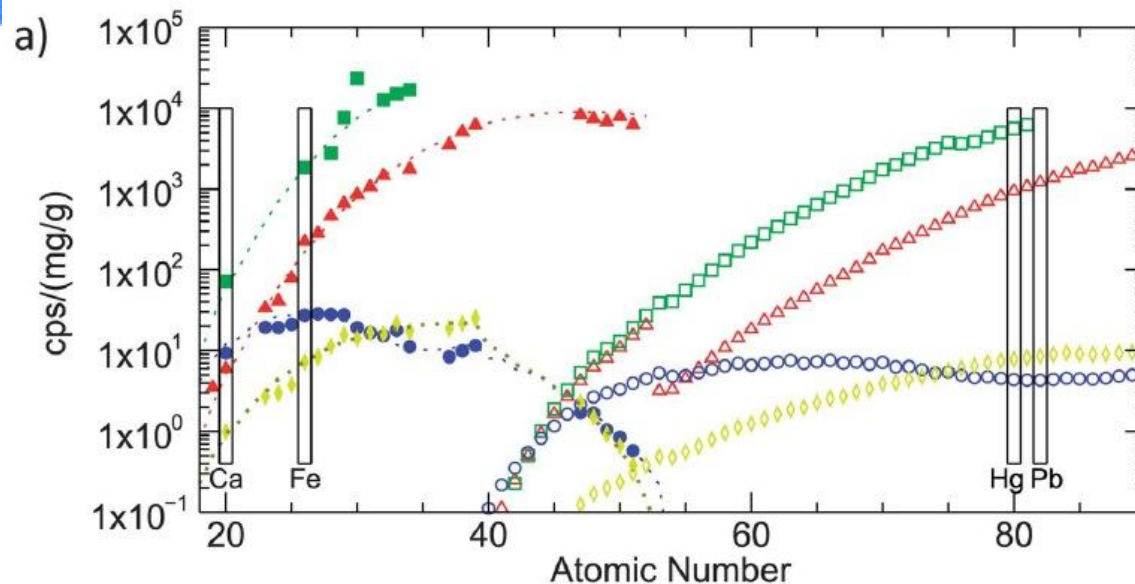
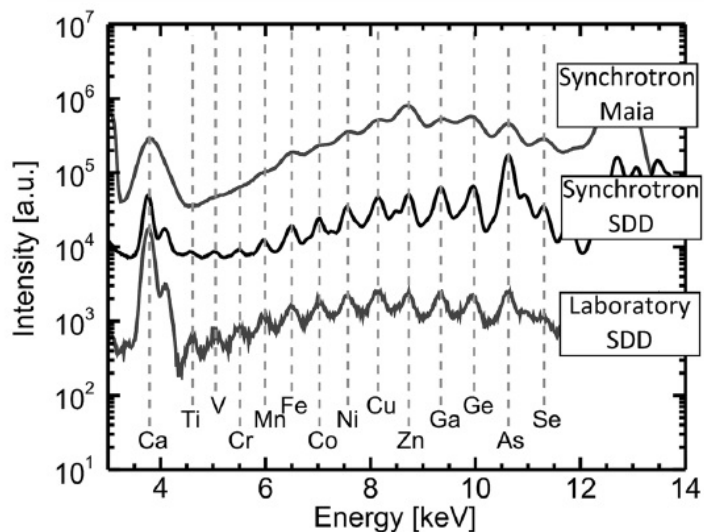
M. Alfeld et. al., JAAS 2011

F.P. Romano et. al. JAAS 2017



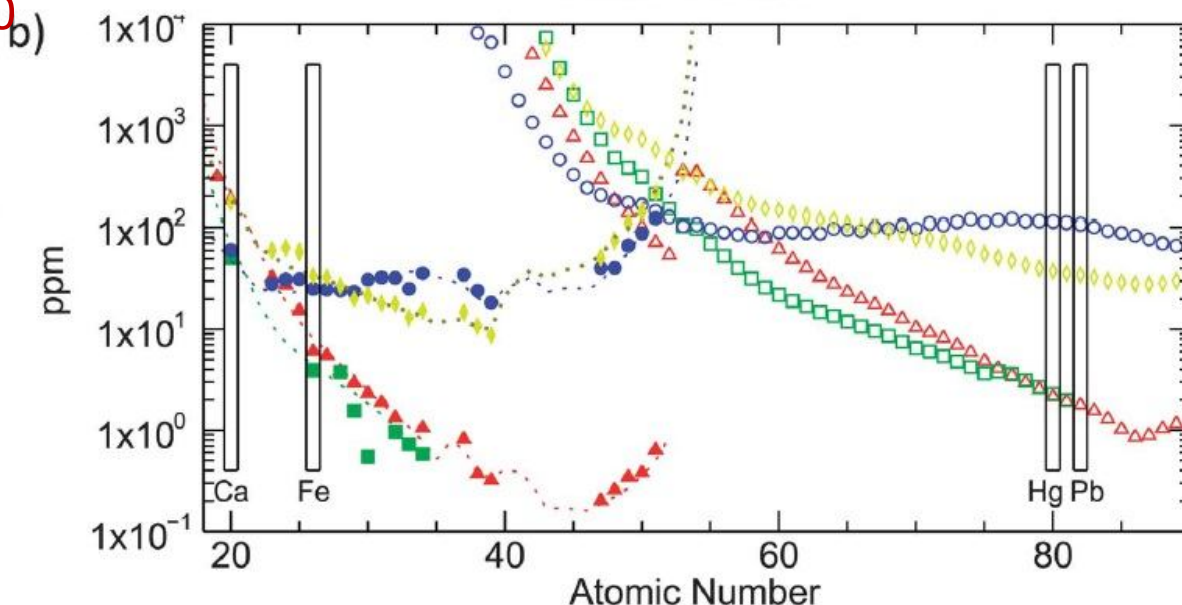


Figures of merit: Synchrotron based MA-XRF



M. Alfeld et al. JAAS, 2013, 20, 40

- ▲ K-Lines, 32.0 keV (Syn.), SDD
- K-Lines, 12.7 keV (Syn.), Maia
- K-Lines, X-ray tube, Polycapillary
- ◆ K-Lines, X-ray tube, Collimator
- ▲ L-Lines, 32.0 keV (Syn.), SDD
- L-Lines, 12.7 keV (Syn.), Maia
- L-Lines, X-ray tube, Polycapillary
- ◆ L-Lines, X-ray tube, Collimator





XRF Information depth

Material	X-ray line	D (μm)
Bronze 95% Cu, 5% Sn	Cu-Kα	10
	Sn-Kα	32
Gold 95% Au, 4.5 % Ag, 0.5% Cu	Cu-Kα	1.4
	Au-Lα	2
	Ag-Kα	5
Egyptian Blue 20% + 80% binder	Cu-Kα	270
	Ca-Kα	37
	Si-Kα	6

The information depth depends on:

- the sample matrix composition
- analyte energy
- incident beam energy (spectrum)
- geometry (incident/outgoing angles)

Critical thickness

$$D = \frac{1}{\rho \cdot \mu_T(E_i)}$$

$$\mu_T(E_o, E_i) = \mu_s(E_o) / \sin \vartheta_1 + \mu_s(E_i) / \sin \vartheta_2$$



XRF Information depth

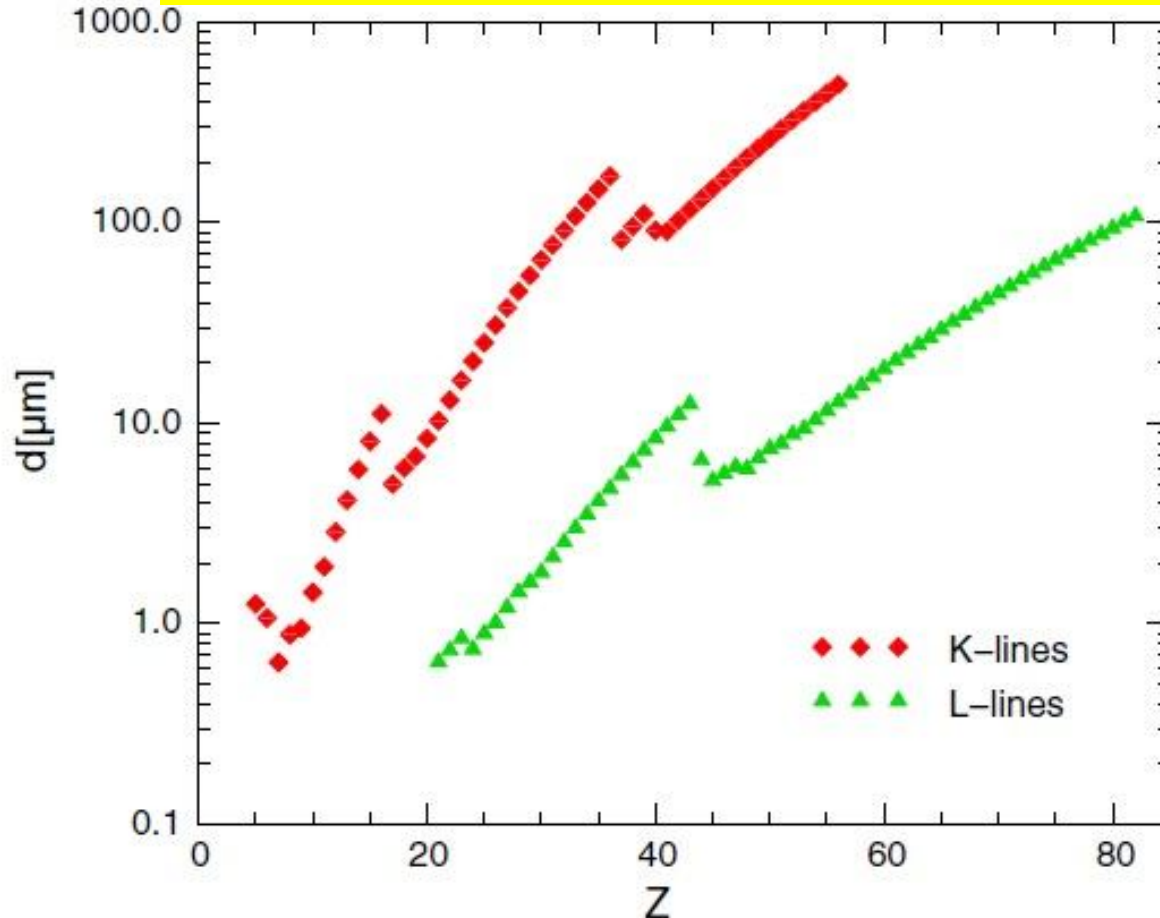
80%Pb+20% alpha-linolenic acid as organic medium absorbing 90% of the emitted radiation

Material	Composition
Bronze	95% Cu, 5% Sn
Gold	95% Au, 4.5% Ag, 0.5% Cu
Egyptian Blue	20% + 80% binder

Critical thickness

$$D = \frac{1}{\rho \cdot \mu_T(E_i)}$$

$$\mu_T(E_o, E_i) = \mu_s(E_o) / \sin \theta_1 + \mu_s(E_i) / \sin \theta_2$$



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M. Alfeld,
J.A.C.
Broekaert,
SAB 88
(2013) 211

Overview - Conclusions

- ✓ The advanced MA-XRF imaging capabilities are supported by the improved performance of X-ray detectors, digital signal processors, and X-ray focusing devices, but also by the availability of brilliant sources and fast spectrum analysis packages
- ✓ The underlying physics in MAXRF imaging are common amongst the different XRF variants. However, the integration of multiple and variant geometry detection systems helps to acquire XRF signals which incorporate improved information regarding the sample morphology and even in-depth elemental distributions
- ✓ State of the art MAXRF spectrometers achieve remarkable figures, almost 100ppm/1sec LoDs for the optimum detected elements
- ✓ Precise quantification is hampered by the need to characterize optical components, however elemental associations can be revealed by statistical treatment of the generated large datasets



Thank you for your attention!!

Acknowledgements

**Paolo Romano, Claudia Caliri, Vasilike Kantarelou and
Ch. Zarkadas**