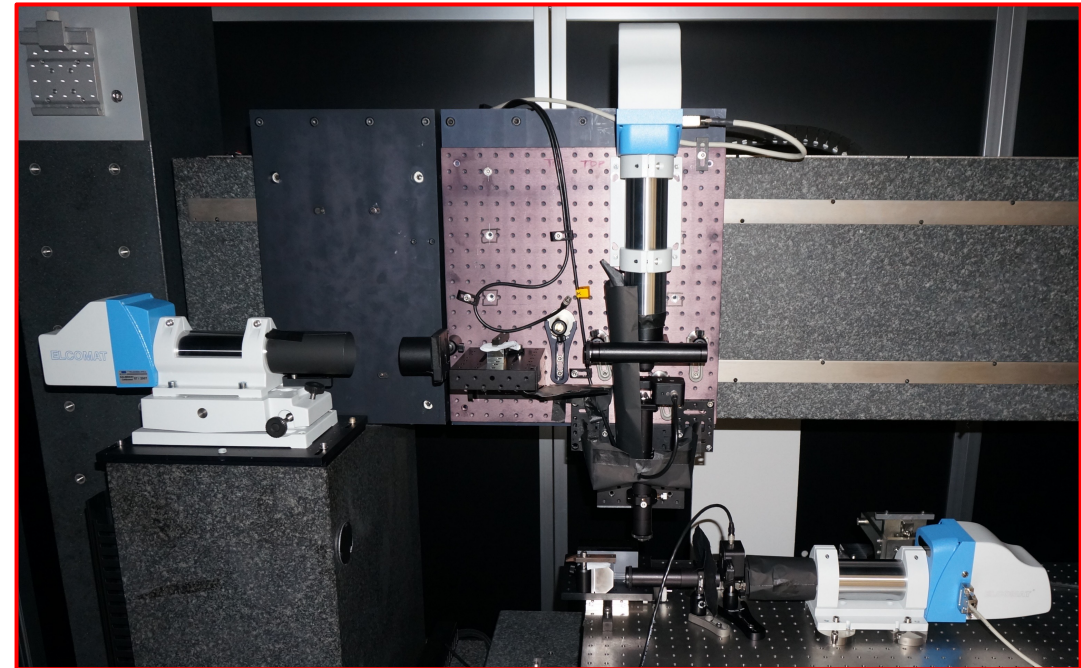
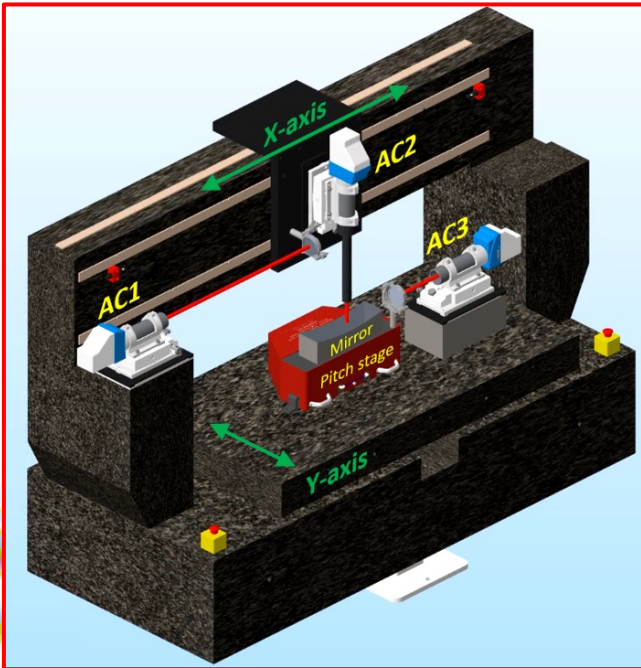


Diamond-VeNOM: a high-speed slope profiler for characterising X-ray mirrors

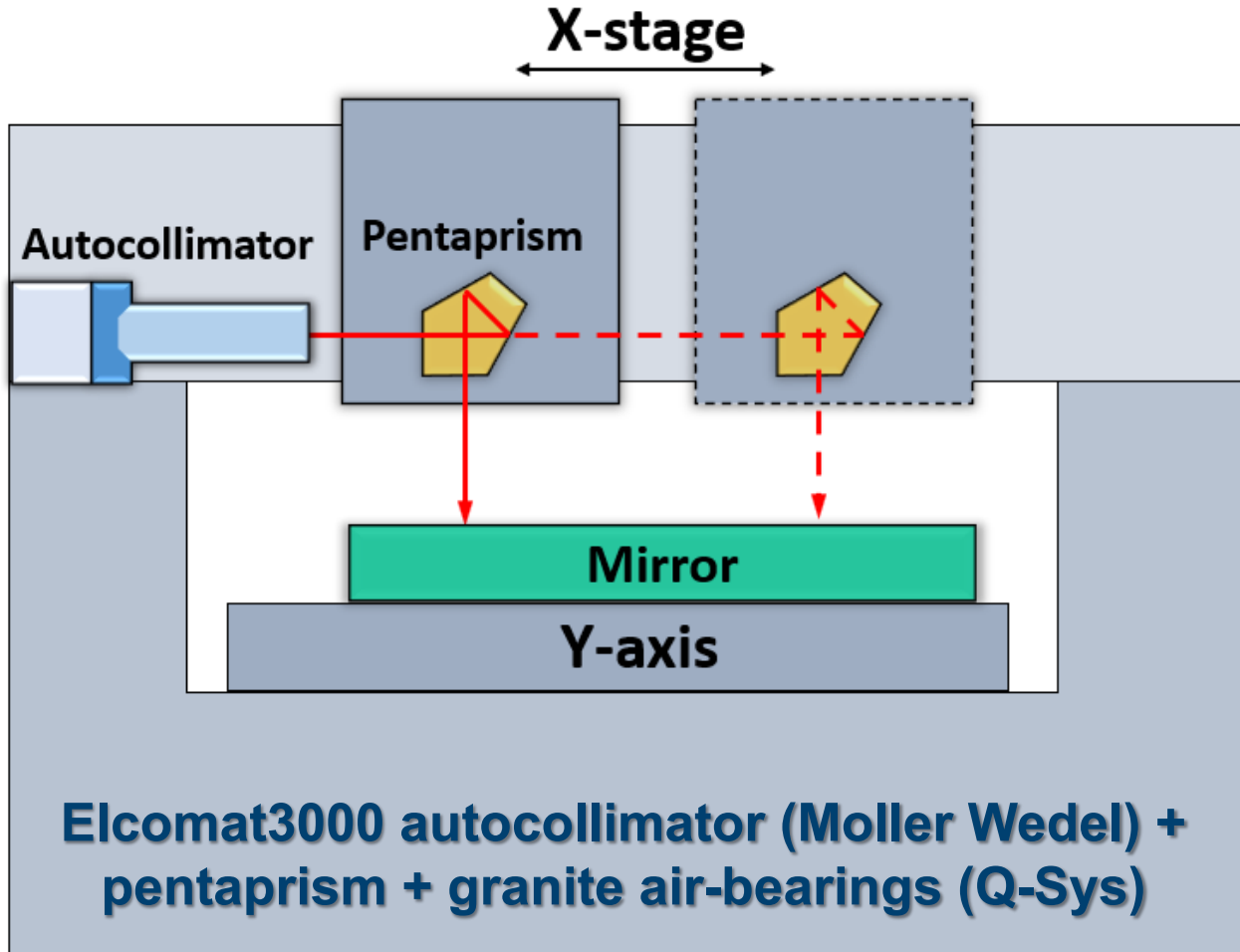


Simon G. Alcock, Ioana-Theodora Nistea, Murilo Bazan da Silva, Kawal Sawhney
Optics & Metrology group, Diamond Light Source, UK

Need for high-quality optical metrology

- ☀ Many scientific communities, including synchrotron & XFEL light sources, require curved or flat optics beyond current state-of-the-art (slope errors < 50 nrad & height errors < 1 nm).
- ☀ Deterministic correction of X-ray mirrors, such as EEM or IBF, requires **accurate** metrology data to guide polishing tool.
- ☀ Extensive work at many labs has created highly **repeatable** metrology instruments, including slope measuring profilers (LTP & NOM) & Fizeau- and micro-stitching interferometers.
- ☀ As demands for optical quality increases, measurement errors becoming increasingly problematic.
- ☀ How can we convert repeatability to accuracy? Calibration & minimisation of systematic errors
- ☀ **New instrument: Diamond-VeNOM, an accurate, high-speed, slope profiler of X-ray mirrors**

“Classical” NOM: scanning pentaprism



- ☀ Diamond-NOM [1], operational since 2007, has measured 100's of X-ray substrates & mirror systems
- ☀ Step-scan or fly-scan with Elcomat3000 autocollimator (AC) acquisition @ 25 Hz
- ☀ Highly repeatable ($\ll 20$ nrad)
- ☀ Inspired by BESSY-NOM [2]
- ☀ Concept by von Bieren, Qian, Takacs, Lammert, Siewert, et al, since 1980's
- ☀ Successfully replicated at many labs, with extensive developments

[1] S.G Alcock et al, "Diamond-NOM: A non-contact profiler capable of characterizing optical figure error with sub-nanometre repeatability" <https://doi.org/10.1016/j.nima.2009.10.137> (2010).

[2] F. Siewert et al, "Nanometer Optical Component Measuring Machine," in *Modern Developments in X-Ray and Neutron Optics* (Springer Berlin Heidelberg, 2008).

Instrument measurement errors

☀ Random errors

- Reduced by acquiring multiple values (guided by statistical analysis).

☀ Systematic errors

- Environment (temperature, humidity, pressure): varying over hours and days
- Path length (distance of mirror from AC)
- Autocollimator's linearity errors: pixel-effect ($\sim 11 \mu\text{rad}$) + other periods ($\sim 280 \mu\text{rad}$)
- Reflectivity & curvature of mirror under test
- Location & size (& shape) of autocollimator beam-defining apertures
- Parasitic angular errors of X-axis scan carriage
- Pentaprism's polishing errors and/or misalignment
- Vibrations ($< 1 \text{ sec}$)
- Air flow (seconds)
- Mechanical drifts

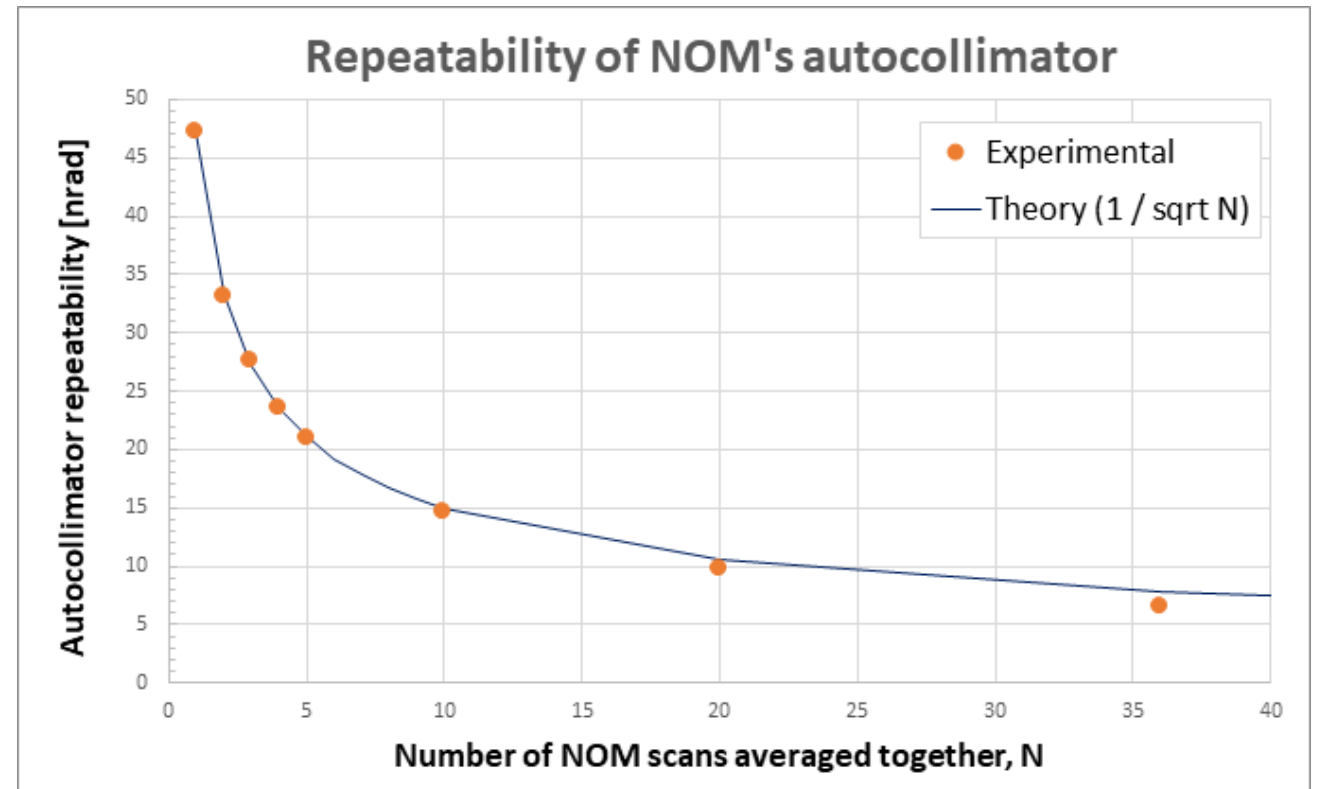
☀ Error minimisation includes:

- Thermal enclosure around instrument
- Humidity control
- Reduce stray light
- Acoustic damping
- High-quality optical components
- NMI calibration
- Cross-comparison between instruments
- Round-robin measurements between labs

Ralf Geckeler et al "Environmental influences on autocollimator-based angle and form metrology", Rev. Sci. Instrum. 90, 021705 (2019) <https://doi.org/10.1063/1.5057402>

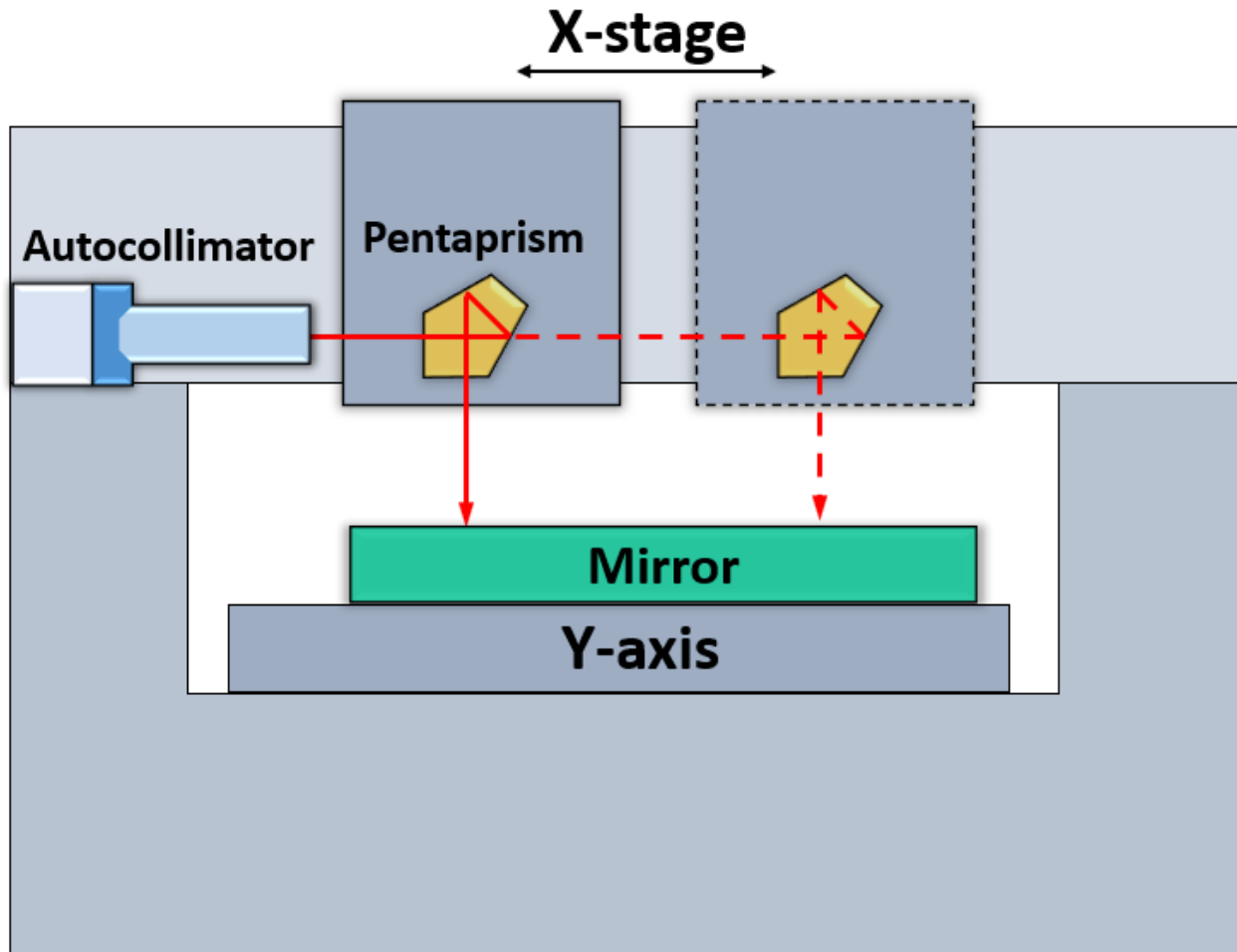
Random errors

- Statistical studies show noise of Elcomat3000 autocollimator is random with a Gaussian (Normal) distribution
- Repeatability = difference between N scans averaged together and average of ensemble
- Circles = AC measured data
- Line = $1/\sqrt{N}$ relationship



Predicts number of scans to be averaged to reduce repeatability to given level (e.g. 20 scans averaged < 10 nrad)

Problems with “Classical” NOM



☀ Problems

- Long, optical path length ($> 1\text{m}$) of AC beam is sensitive to environmental fluctuations
- Variable path length of AC beam

☀ Solutions

- Small-angle generators for self-calibration
- NMI calibration of AC (but only for limited number of parameters)

Ralf D. Geckeler et al, "Distance-dependent influences on angle metrology with autocollimators in deflectometry," Proc. SPIE 7077, 70770B (2008) <https://doi:10.1117/12.793742>

Strategies to reduce systematic errors

- ☀ Measure mirror in multiple configurations to **change** systematic errors
 - Vary path length of AC beam
 - Flip mirror by 180 degrees (AB vs. BA), or use combinations AB & BA (Yashchuk *et al*)
 - Pitch mirror: use different regions of AC's measurement range (pixel effect etc)
 - Change environmental conditions: add variable wait times between scans, or measure at different times of day, to vary influence of environmental periodicities (Yashchuk *et al*)
 - Monitor everything & compensate using NMI calibration of AC (e.g. PTB, Germany)

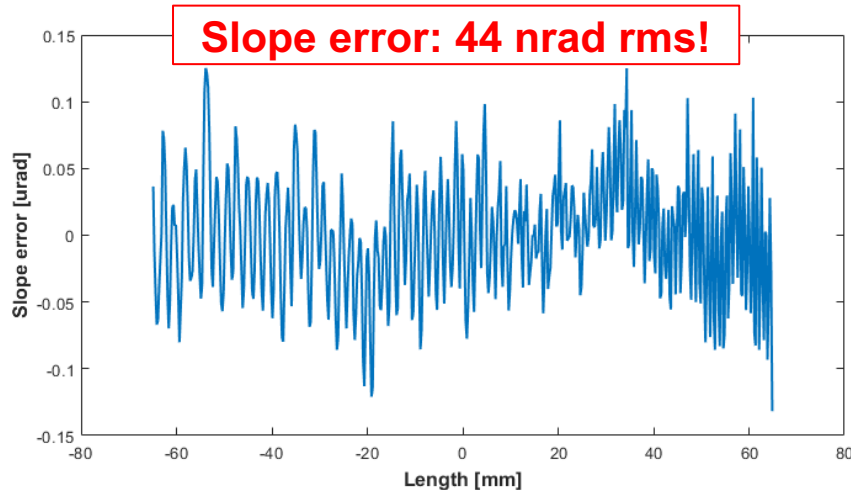
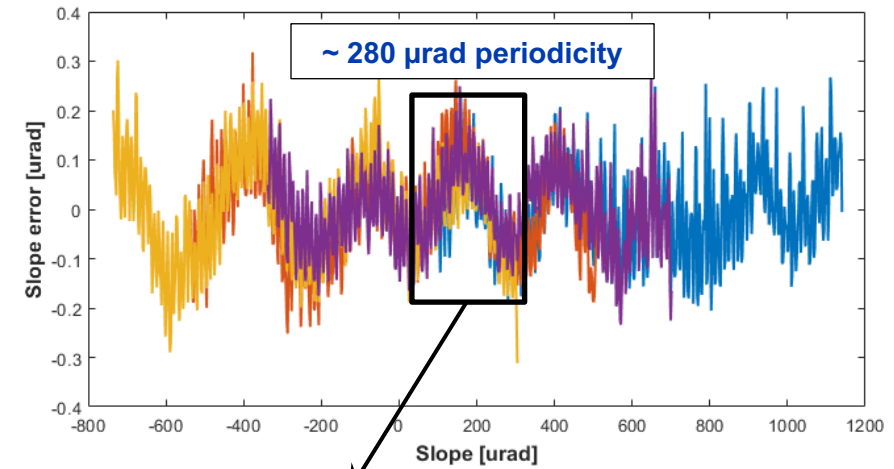
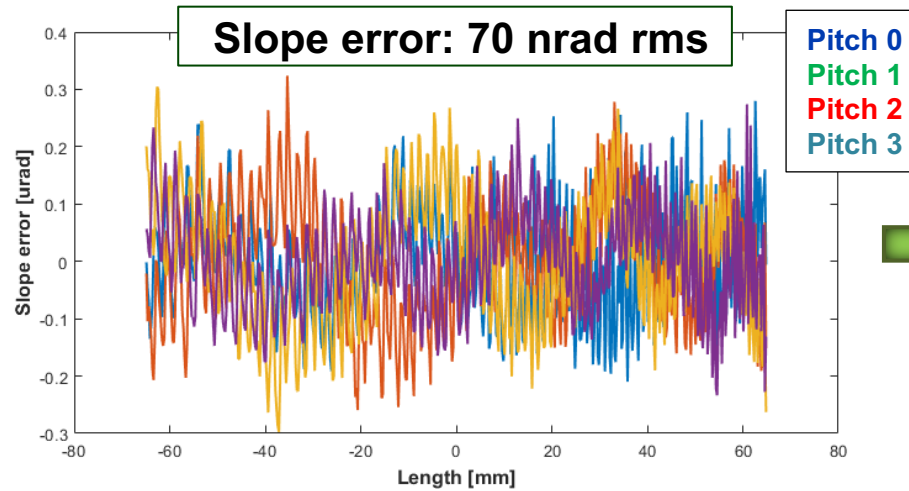
- ☀ Error reduction algorithms:
 - Average: Sum different types of scans together to “average away” systematic errors
 - Separate systematic errors from mirror's polishing marks:
 - L.E.E.P algorithm (Nicolas, Polack, Thomasset)
 - Angle shearing (Geckeler *et al*)

Works very well! But lots of scanning = lots of time

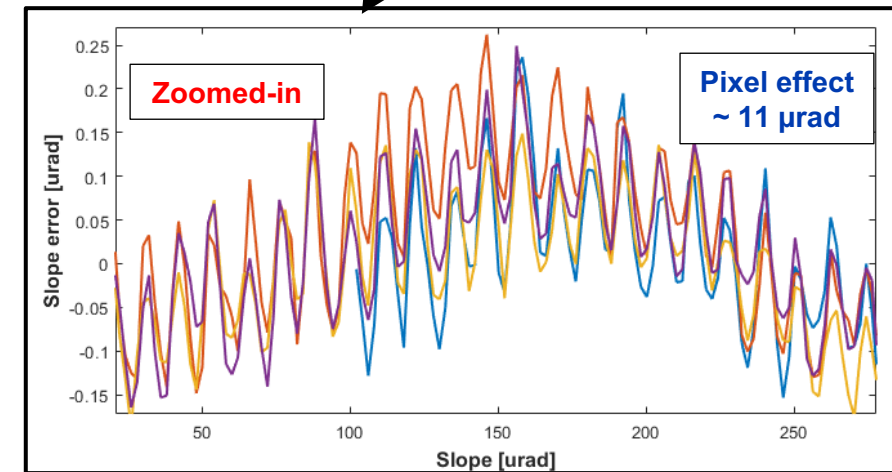
Measuring curved mirrors with slope errors < 50 nrad

- ☀ Super-polished, **strong ellipticity** mirror from JTEC (160 mm long, $R \sim 50$ m) for I14 nano-probe beamline

Measuring at multiple pitch angles reveals NOM autocollimator's errors → remove from data to reveal mirror's surface!



Subtract AC
systematic
errors



Systematic errors: nearly 20 years later...

The Nanometer Optical Component Measuring Machine: a new Sub-nm Topography Measuring Device for X-ray Optics at BESSY

Frank Siewert, Tino Noll, Thomas Schlegel, Thomas Zeschke, and Heiner Lammert

Citation: [AIP Conference Proceedings](#) **705**, 847 (2004) doi: 10.1063/1.1757928

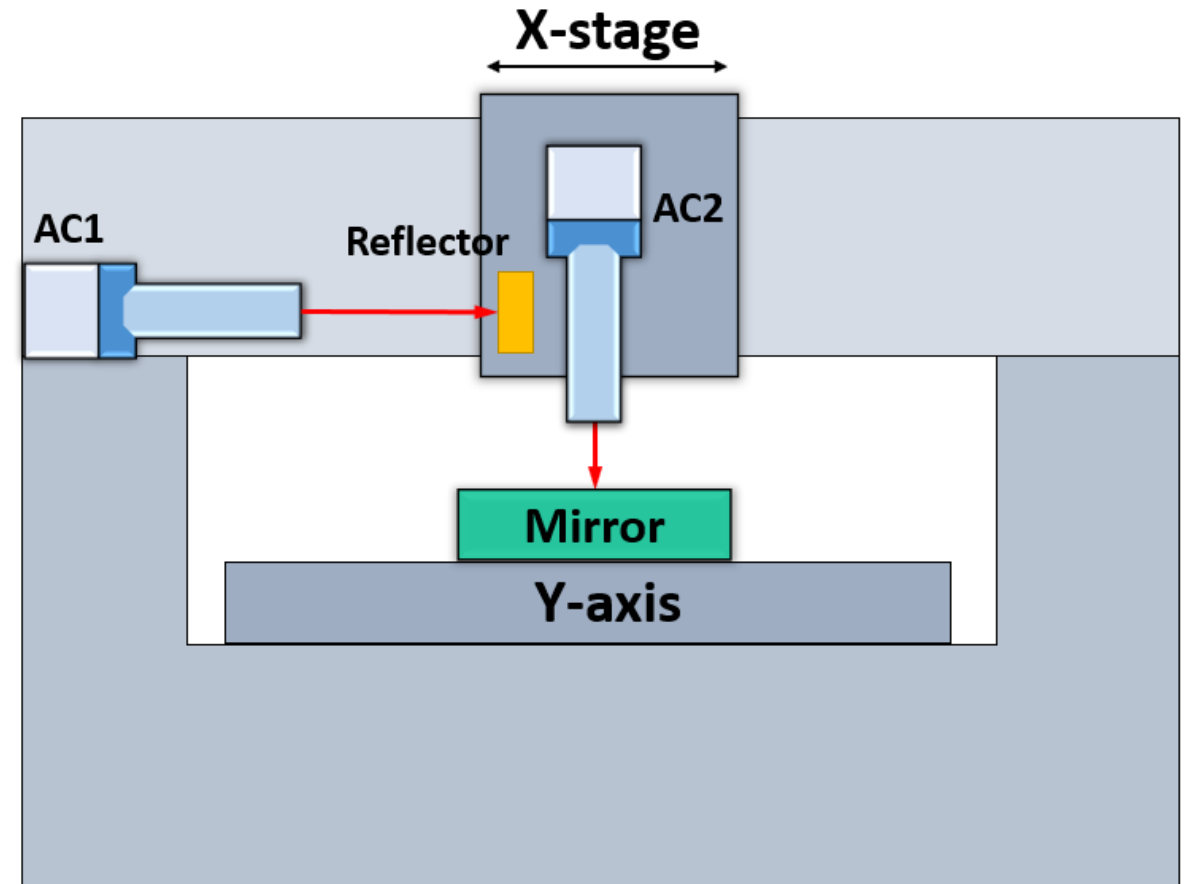
View online: <http://dx.doi.org/10.1063/1.1757928>

ENGINEERING CONCEPTION AND DESIGN OF THE NOM

The measurement uncertainty of the best surface measuring devices to day, like the Long Trace Profiler (LTP) [2] is limited to about 0.1 arcsec rms [3]. Only in special cases, could this 0.1 arcsec rms limit be improved [4]. The main problem is a multitude of systematic errors, which are not easily detected in the measurement result. To acquire a

Double AC setup

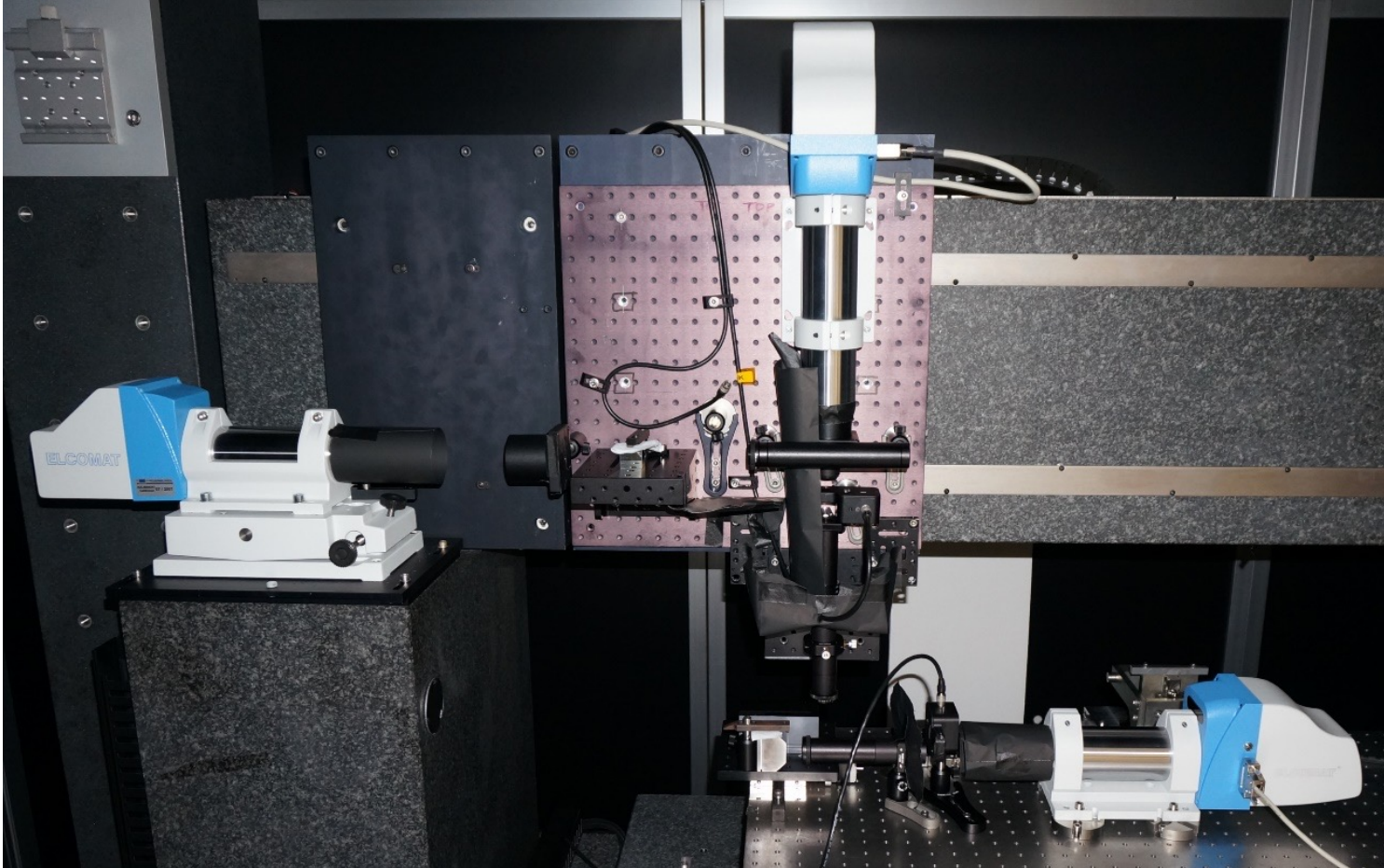
- ☀ To reduce path-length errors, & improve accuracy, double AC NOM's developed at Brookhaven & Lawrence Berkeley National Labs [3,4] + ?
- ☀ AC1 only sees small parasitic angles of X-axis variations: less sensitive to path length changes ✓
- ☀ Fixed path length for AC2 measuring optical surface ✓
- ☀ Reduces systematic errors, but doesn't address several systematic errors, including differences in reflectivity or curvature of mirror under test ✗



[3] Qian and Idir, "Innovative nano-accuracy surface profiler for sub-50 nrad rms mirror test," <https://doi.org/10.1117/12.2247575> (2016).

[4] Lacey et al, "Development of a high performance surface slope measuring system for two-dimensional mapping of x-ray optics," <https://doi.org/10.1117/12.2273029> (2017).

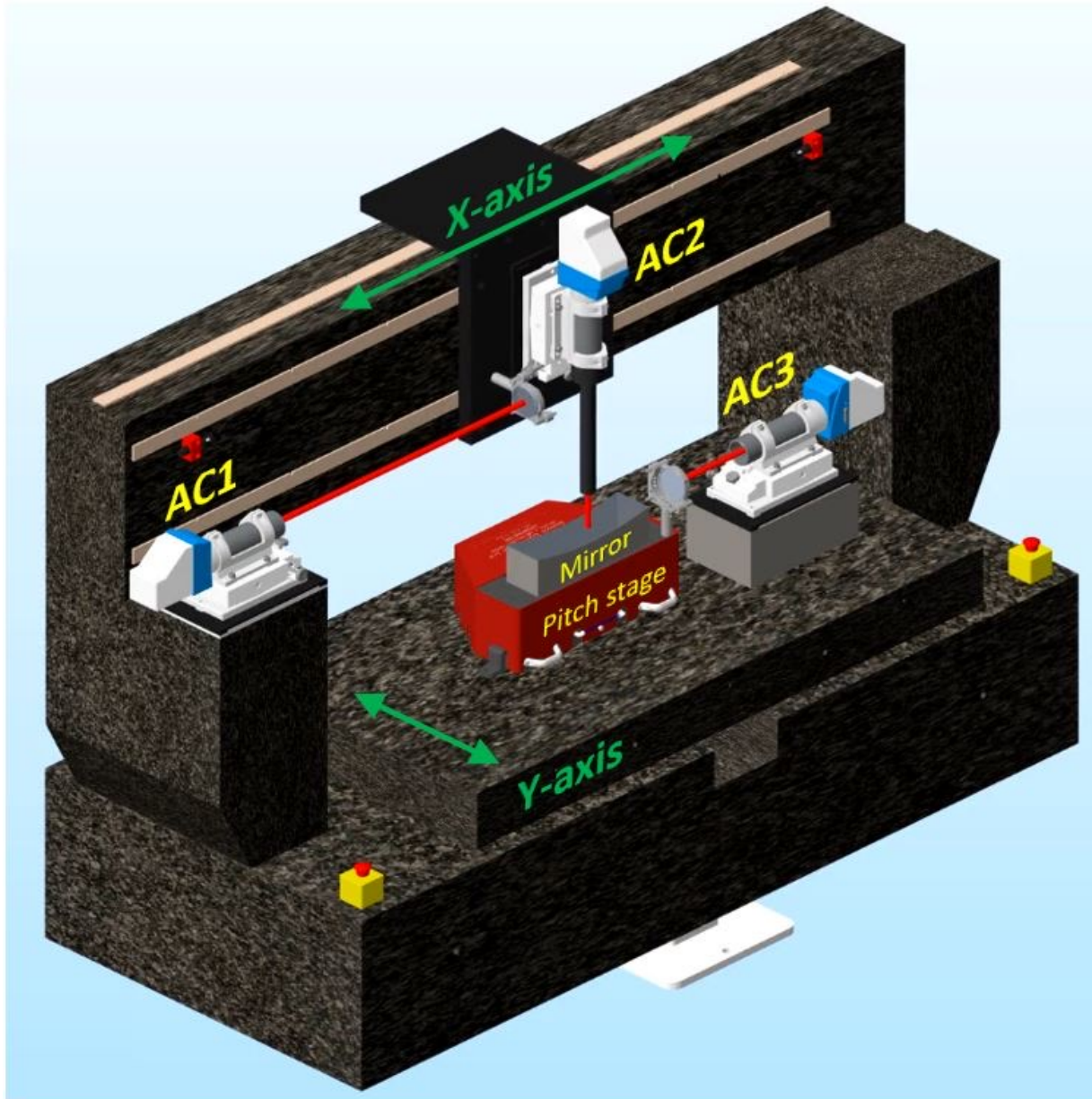
Diamond-VeNOM (velocity-NOM)



New VeNOM concept

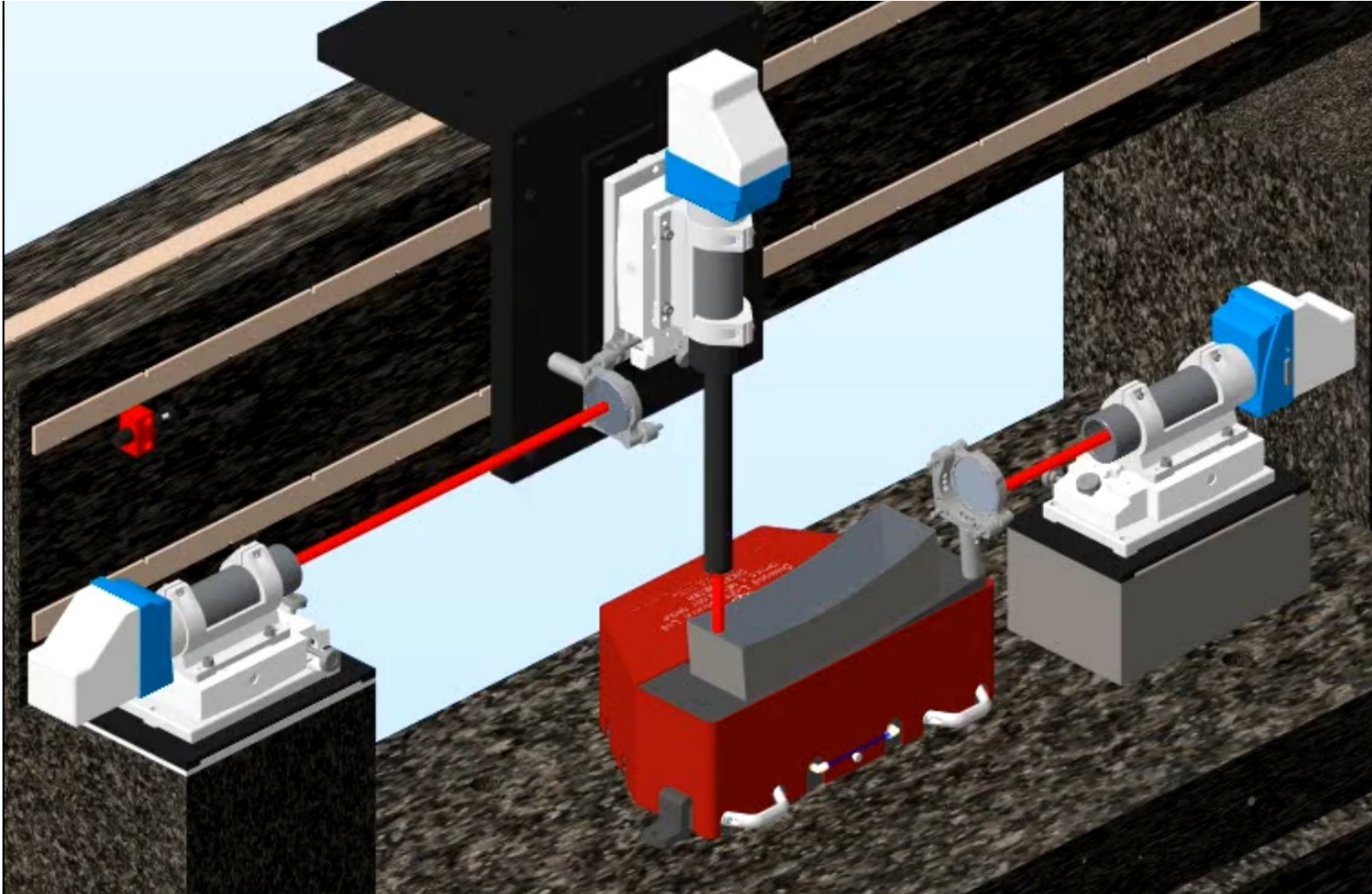
- ☀ Freeform motion of translation stage, synchronized with dynamic pitch changes of test optic **DURING** scanning
- ☀ All motion synchronised with autocollimator data via triggered shutters
- ☀ Combines metrology benefits of previous schemes & provides many new possibilities!

Diamond-VeNOM (velocity-NOM)



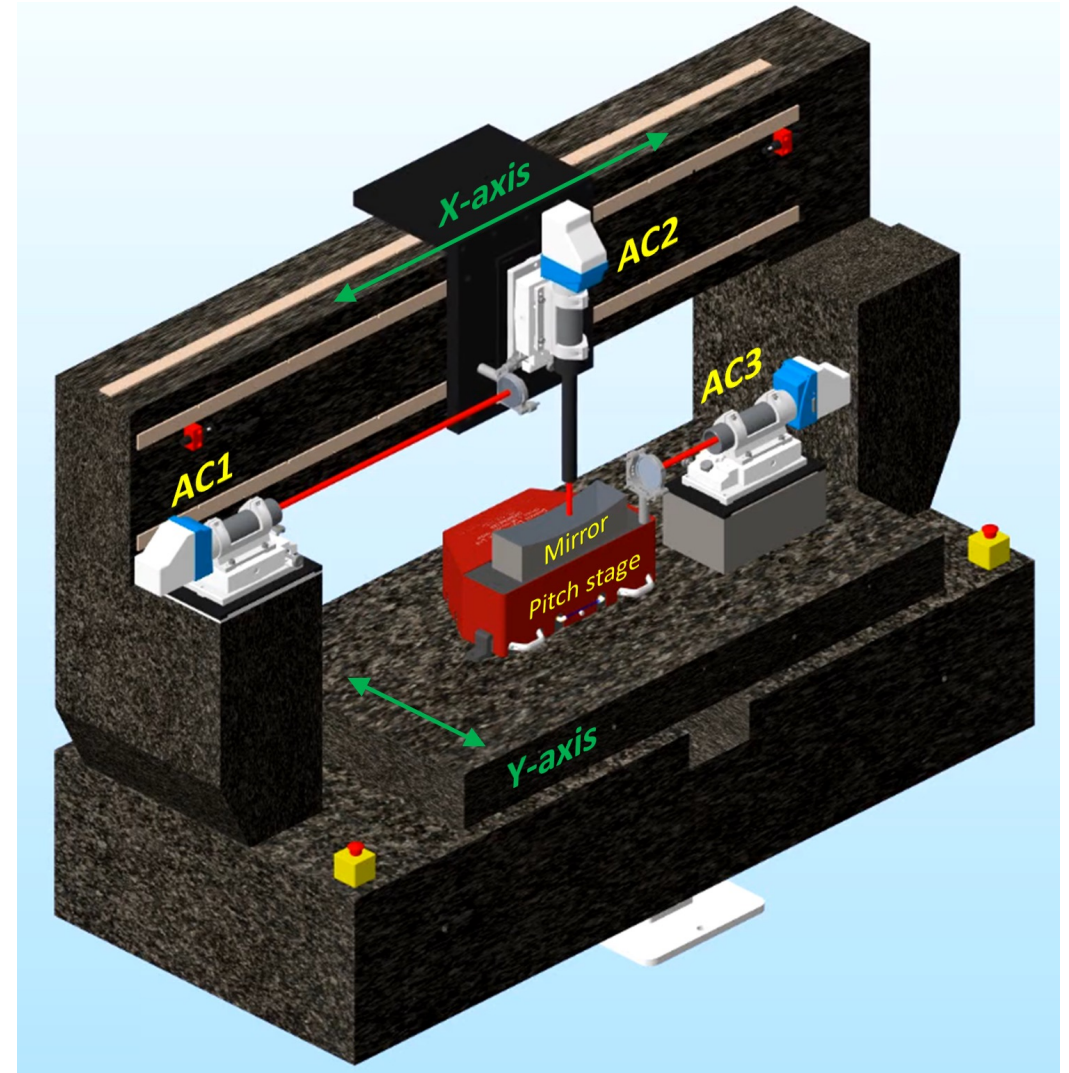
- ☀ Mirror under test placed on a motorized pitch stage which moves **DURING** scan
- ☀ AC1: mounted on side pillar, monitors parasitic angles during freeform motion of X-stage
- ☀ AC2 (Elcomat 5000): mounted on X-stage, directly measures optical surface
- ☀ AC3: monitors angle of a reflector located on motorized pitch stage

Diamond-VeNOM (velocity-NOM)



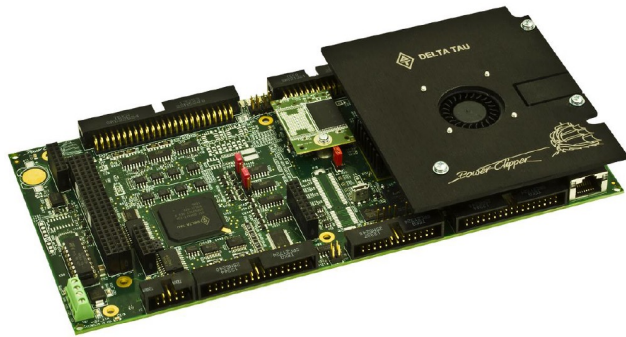
Improved accuracy

- ☀ AC1 = flat mirror on X-axis stage. Angles \sim few microrad, so length-dependent issues (affects single AC + pentaprism) are minimized
- ☀ AC2 = mirror under test & calibrated for fixed distance. Optical surface is continuously nulled for each measurement point. Systematic errors from curvature or reflectivity are negligible for small angles measured
- ☀ AC3 = flat mirror on pitch stage. Observes large angles, but always measures same flat mirror under fixed conditions (distance, curvature, reflectivity & larger aperture). **Can be calibrated for this specific case at NMI**

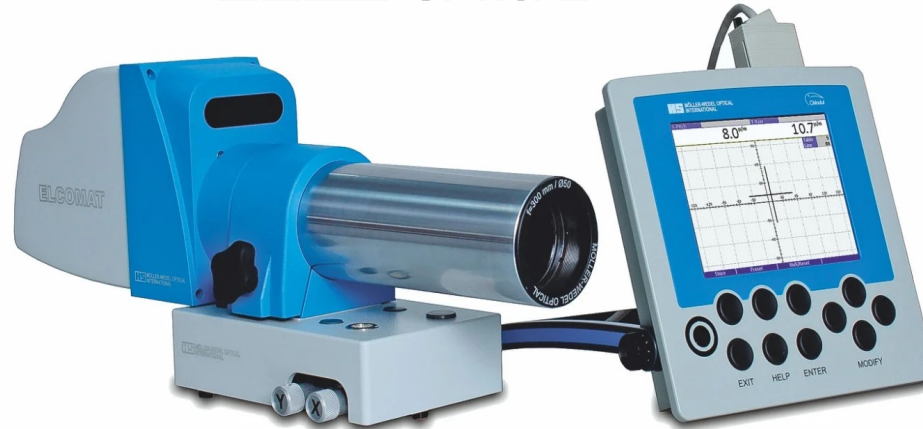


New hardware for VeNOM

- ☀ Synchronization rates required for VeNOM cannot be supported by NOM's old instrumentation hardware



New PMAC Power Clipper controller for NOM's motion stages (Q-Sys)



New Elcomat5000 autocollimator:
10x faster acquisition @ 250Hz



MOXA box serial server



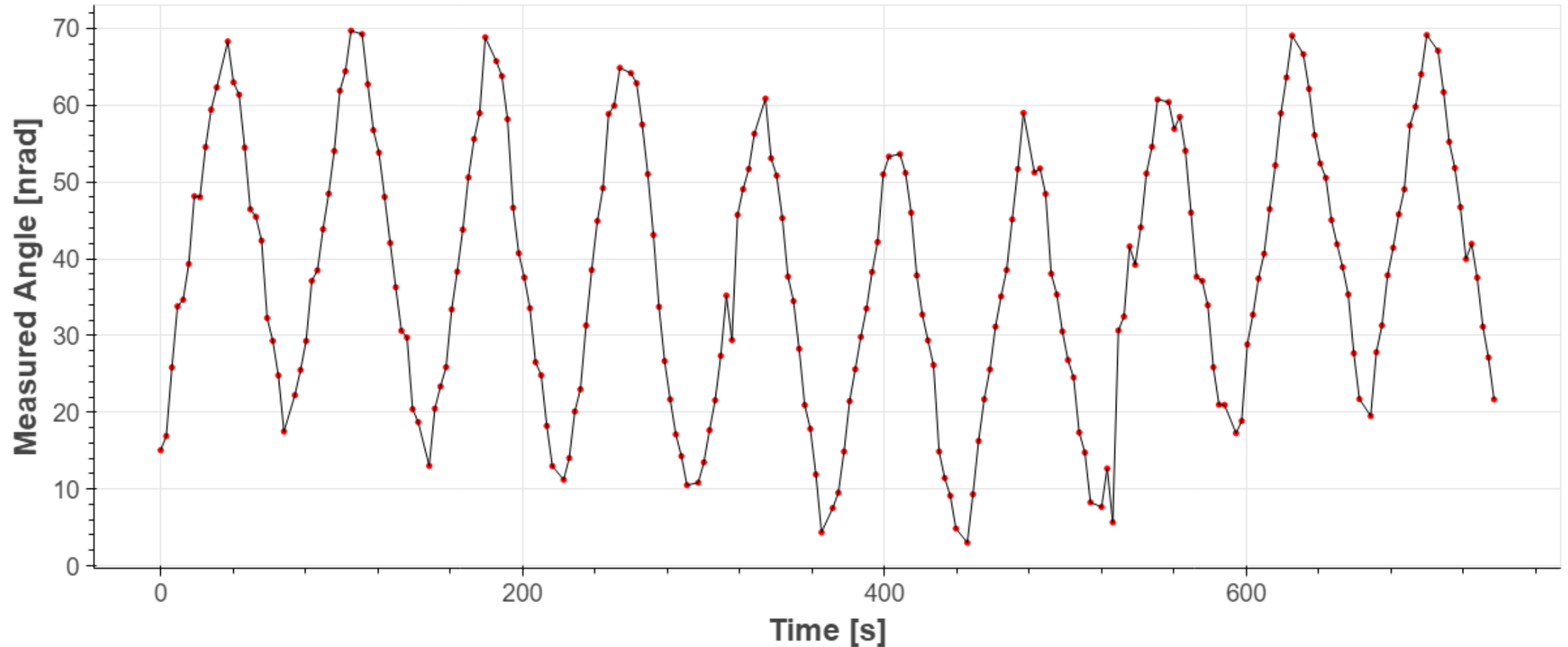
PandA box acquisition & control system
(encoder inputs & triggers fast
mechanical shutters)



Electro-mechanical rotary
shutters (Thorlabs SH-05)

Elcomat5000 performance: 5 nrad steps

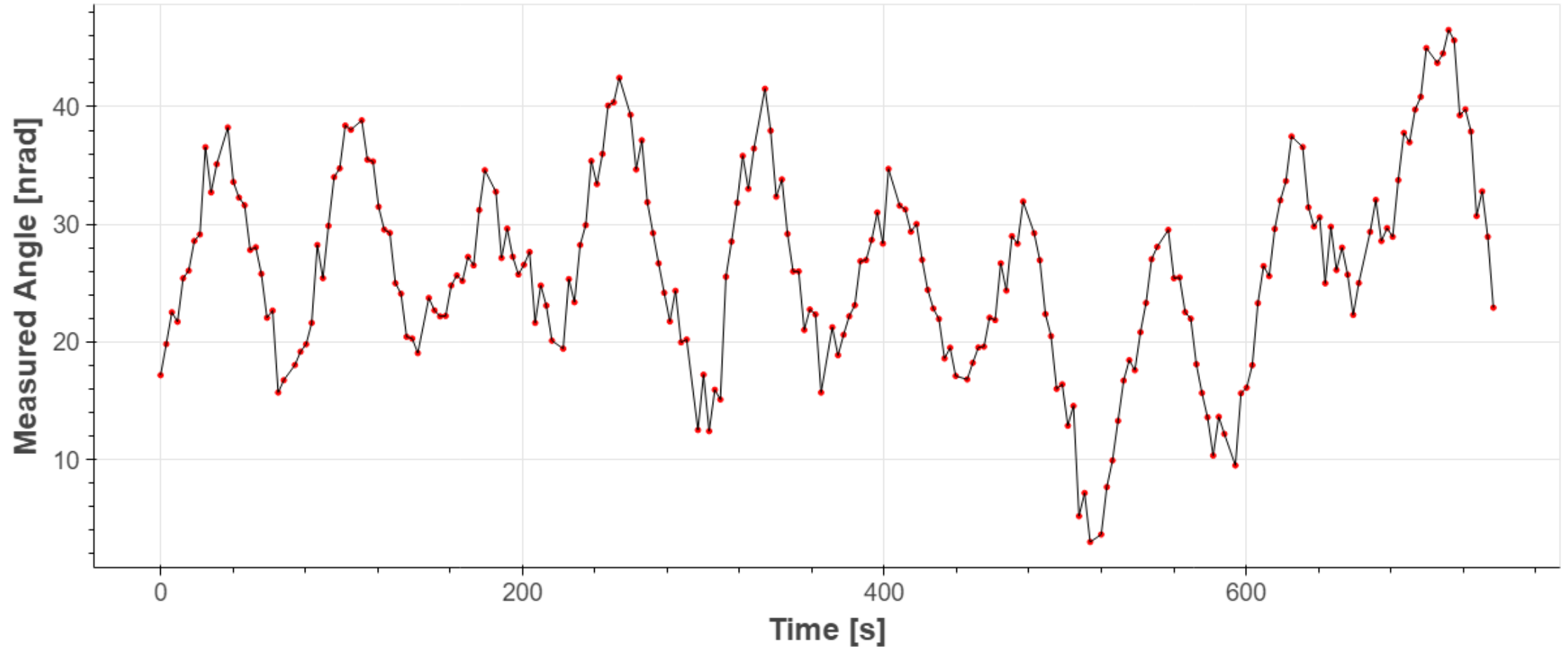
☀ Stair-case of ultra-small angle steps (generated by Diamond-NANGO) as viewed by E5k



S.G Alcock et al. "A novel instrument for generating angular increments of 1 nanoradian", Rev. Sci. Instr. 86, 125108 (2015) <https://doi.org/10.1063/1.4937352>

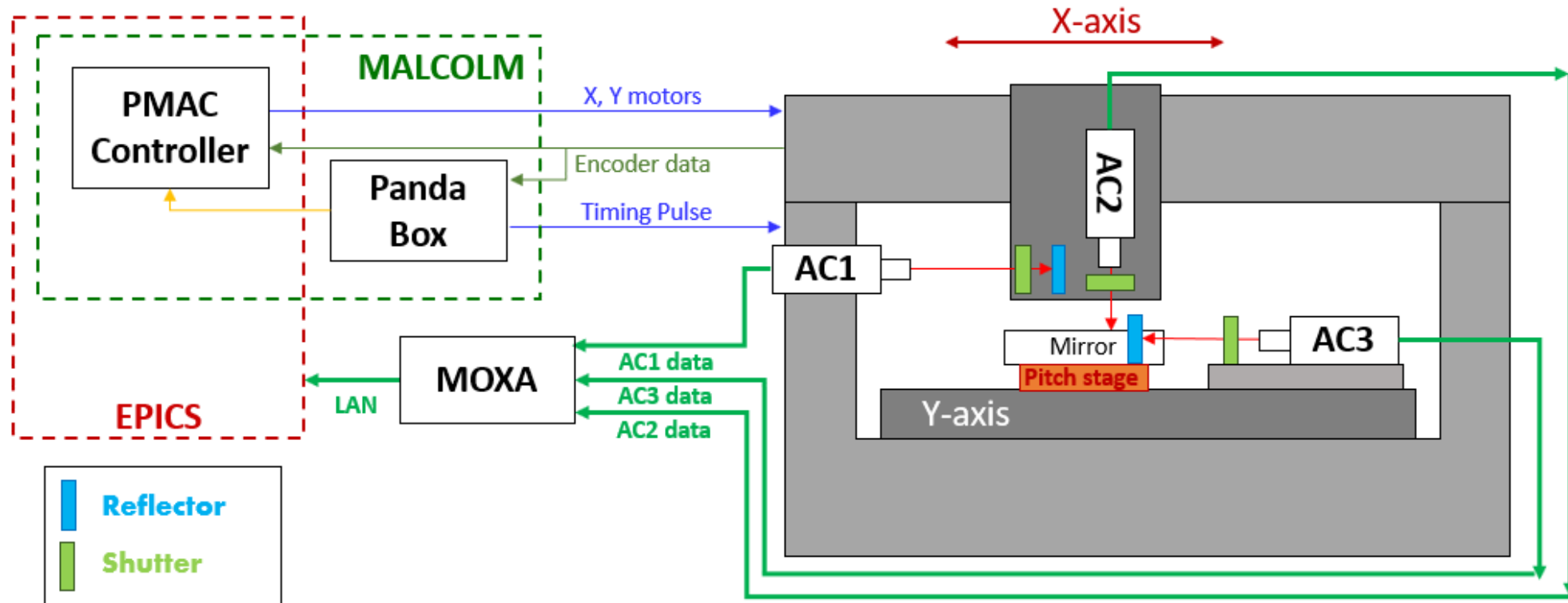
Elcomat5000 performance: **2 nrad steps!!!**

☀ Stair-case of ultra-small angle steps (generated by Diamond-NANGO) as viewed by E5k



S.G Alcock et al. "A novel instrument for generating angular increments of 1 nanoradian", Rev. Sci. Instr. 86, 125108 (2015) <https://doi.org/10.1063/1.4937352>

Co-ordination between X-axis, pitch stage & ACs

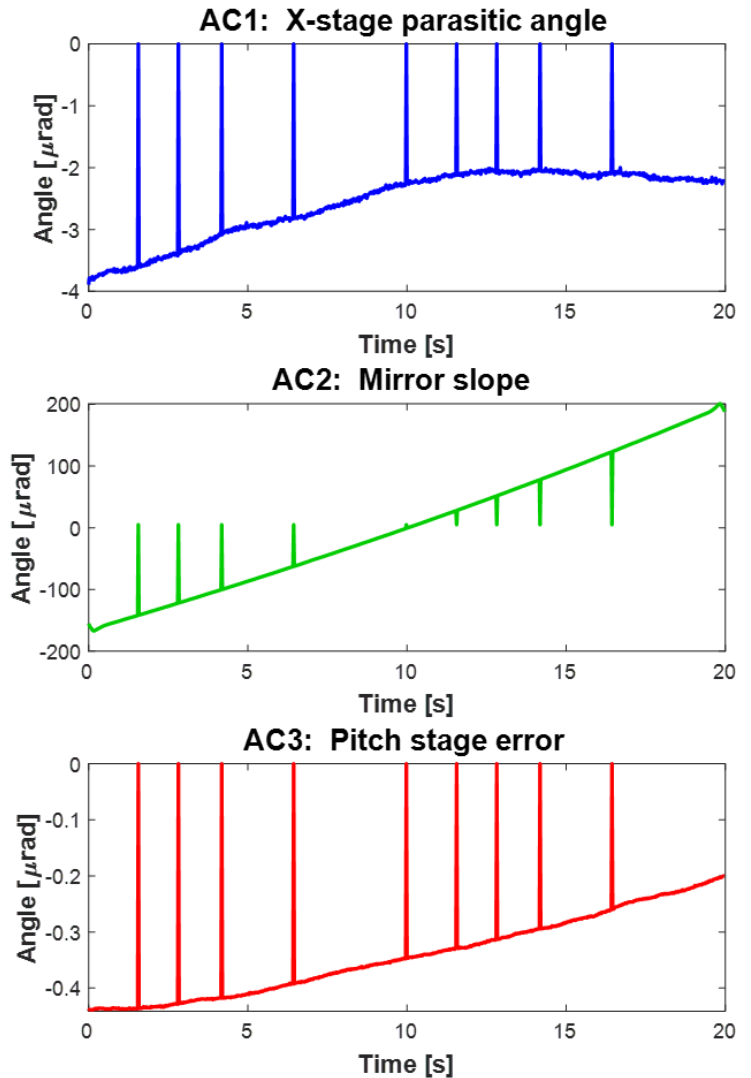
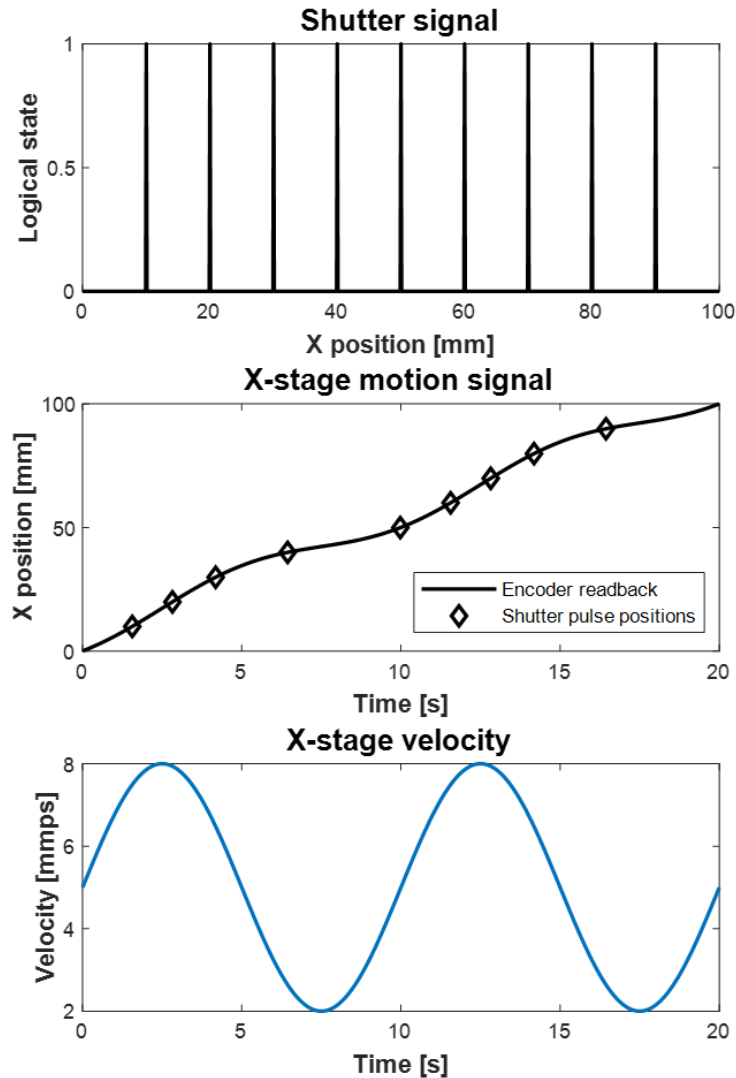


- ☀ New power PMAC controller + Panda I/O box → synchronisation of motion stages & shutters, via Malcolm framework & Mapping Project
- ☀ Powerful & adaptable UI with python scan & analysis scripts (enhanced automation & metadata logging)

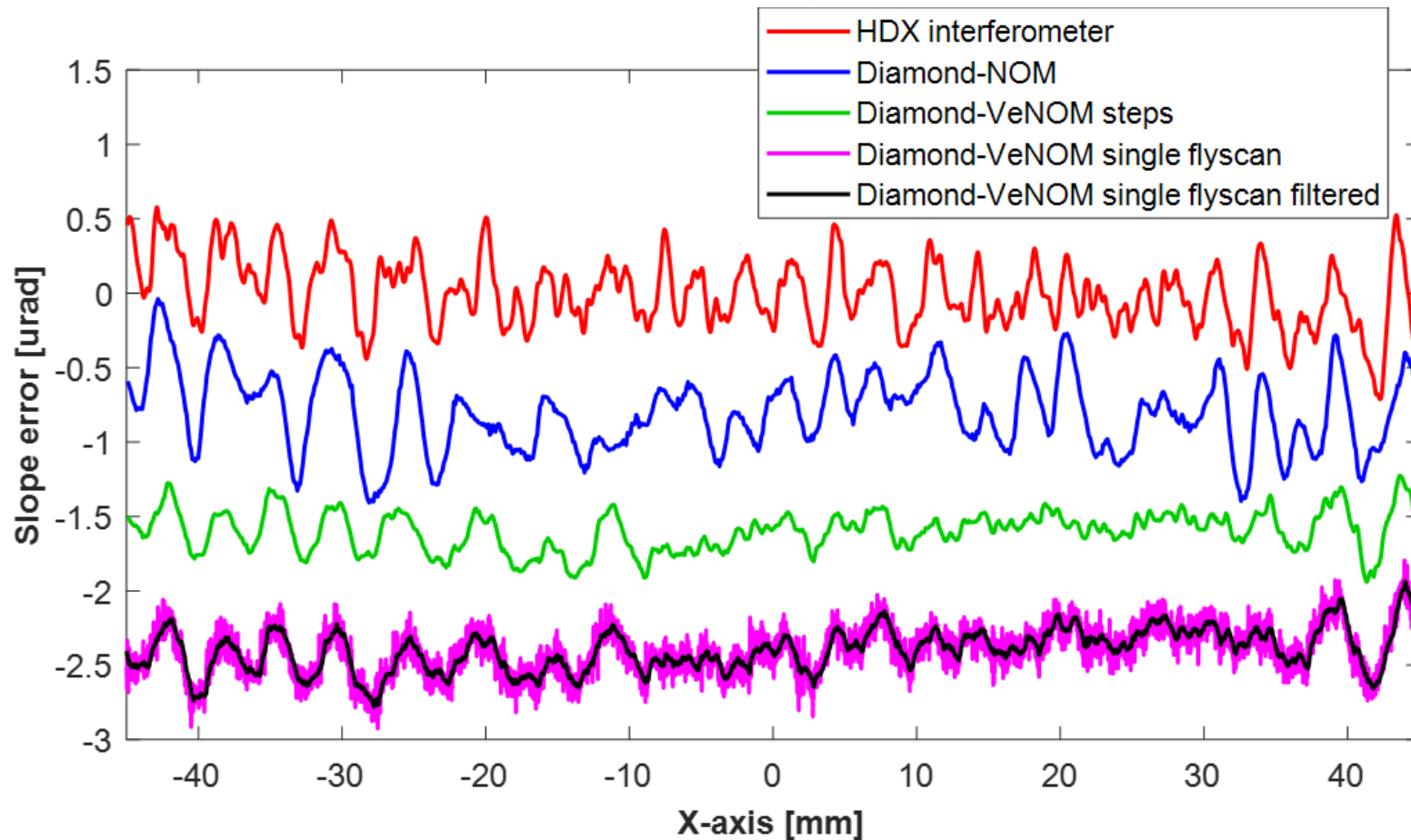
Enables dynamic, freeform trajectories for motion axes: Position, Velocity, Time (PVT)

Data synchronisation

- ☀ Shutter triggers once translation and/or pitch stage reach user-defined positions and/or angles
- ☀ Null values embedded within autocollimator data stream align with motion trajectories & location on optical surface



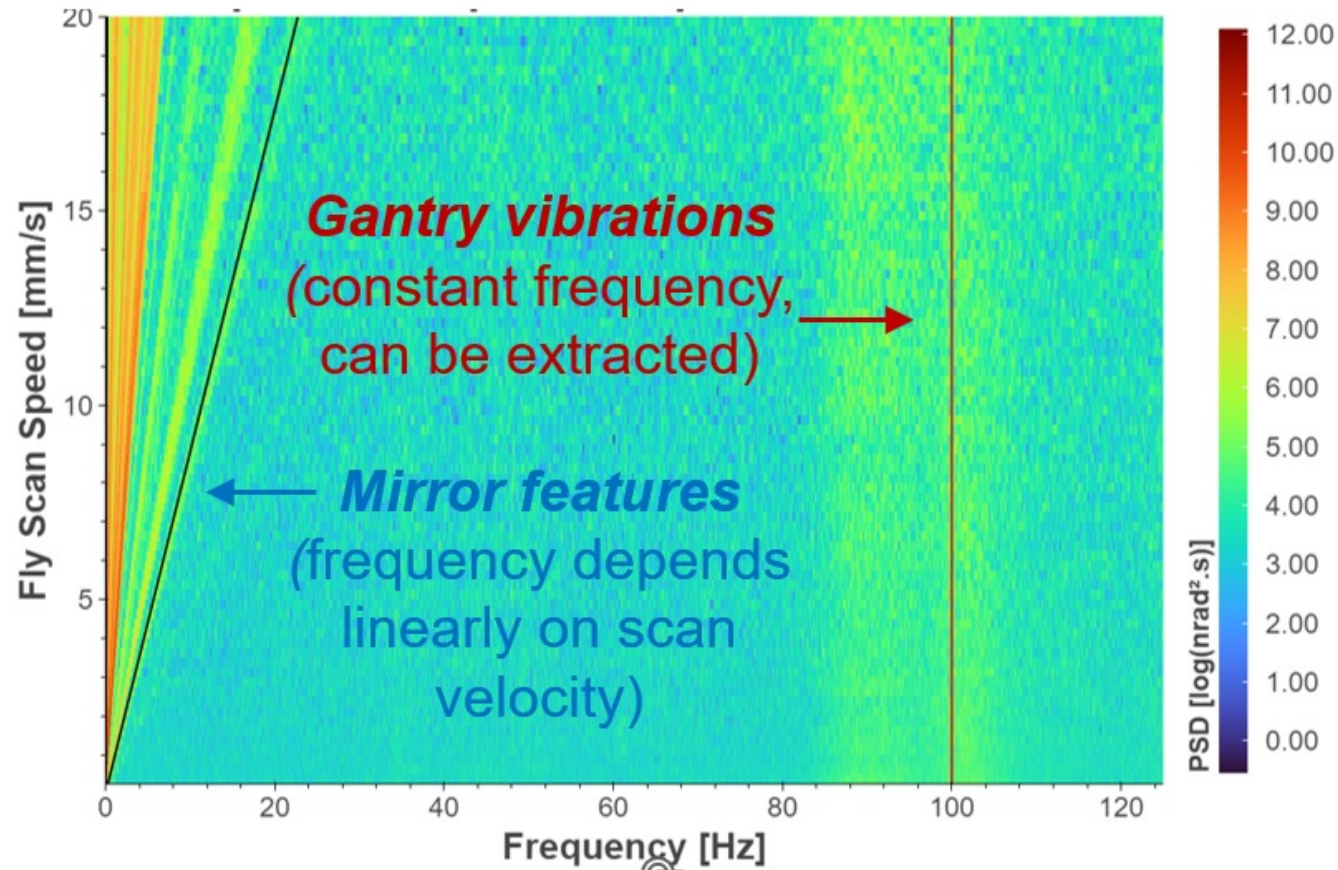
Cross-comparison



- ☀ Good agreement between instruments for 100 nrad X-ray mirror with elliptical profile
- ☀ Spatial filtering of single fly-scan in close agreement with average of multiple fly-scans

10x faster AC & fly-scan operation → 30 seconds / measurement!

Separating polishing marks from vibrations / noise



Concept

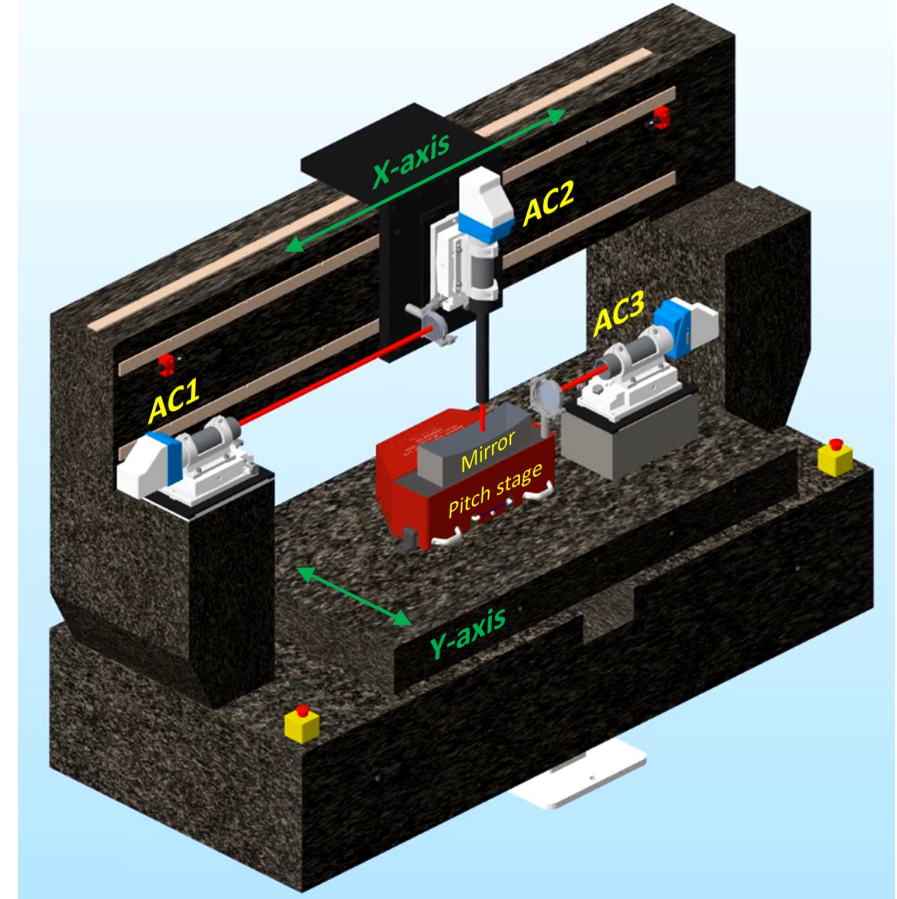
- ☀ Fly scan @ 80 different speeds
- ☀ Calculate PSD of measured AC angle as function of time ($1/f$)
- ☀ Plot Power Spectral Density (PSD) versus frequency & scan speed

- ☀ **Mirror's surface information:** spreads linearly as speed increases
- ☀ **Vibrations of NOM's gantry:** constant frequency

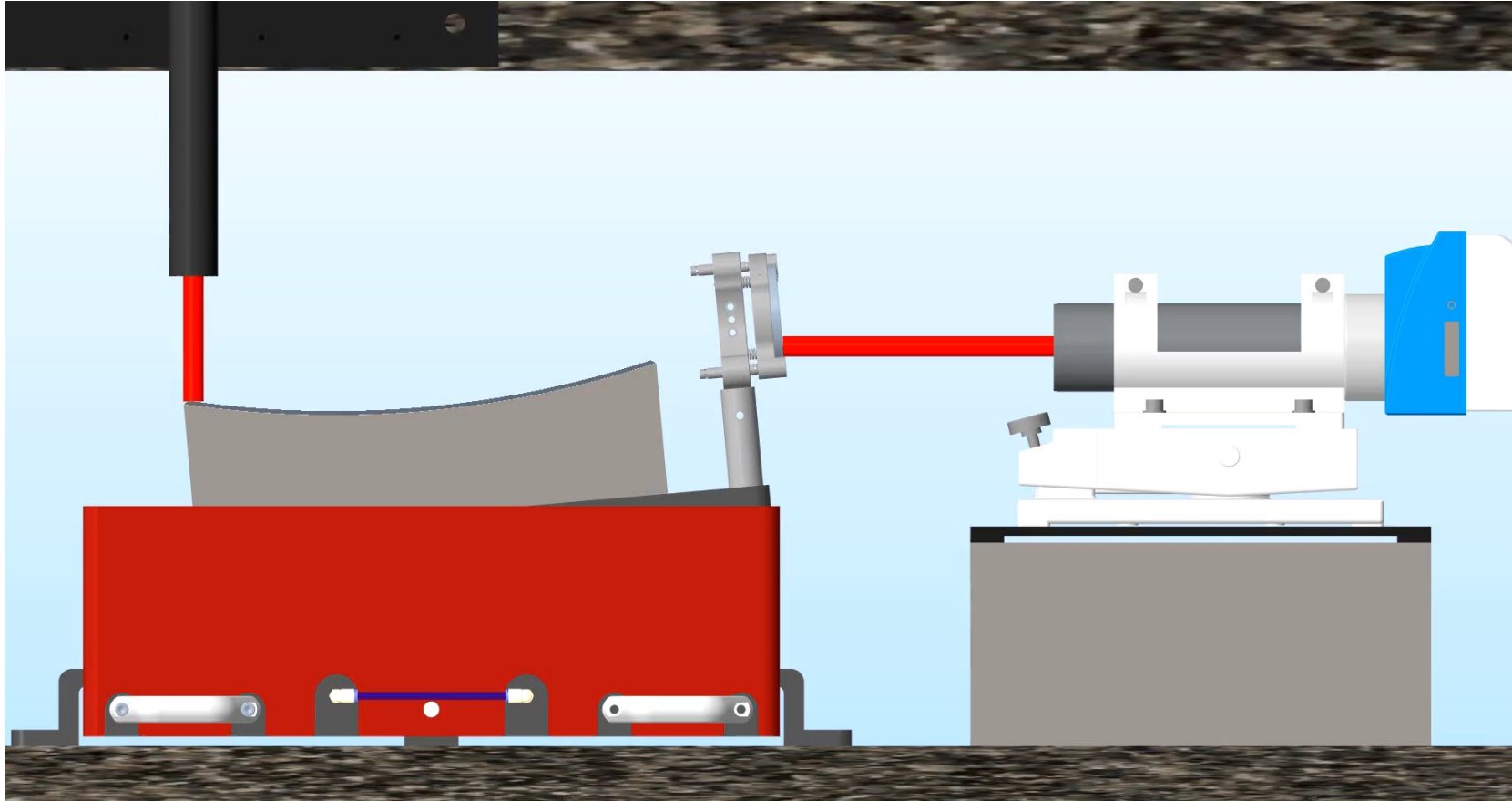
Dynamic nulling of optical surface

☀ Angle measured by AC2 is function of position (X-axis) & time:

$$\theta_{AC2}(x, t) = \begin{aligned} & \text{Mirror's slope profile } (x) \\ & + \text{Mirror's slope errors } (x) \\ & + \text{Parasitic angle of X-stage } (x) \\ & + \text{Pitch angle of goniometer } (t) \\ & + \text{AC's linearity errors } (\theta_{AC2}) \\ & + \text{Vibrations } (t) \\ & + \text{Mechanical \& environmental drifts } (t) \end{aligned}$$



Dynamic nulling of optical surface



- ☀ Principle: minimise angle seen by AC2 (to reduce systematic errors due to curvature, reflectivity, etc)
- ☀ Velocity of X-stage & pitch rate chosen to match mirror's slope profile

Dynamic nulling of optical surface

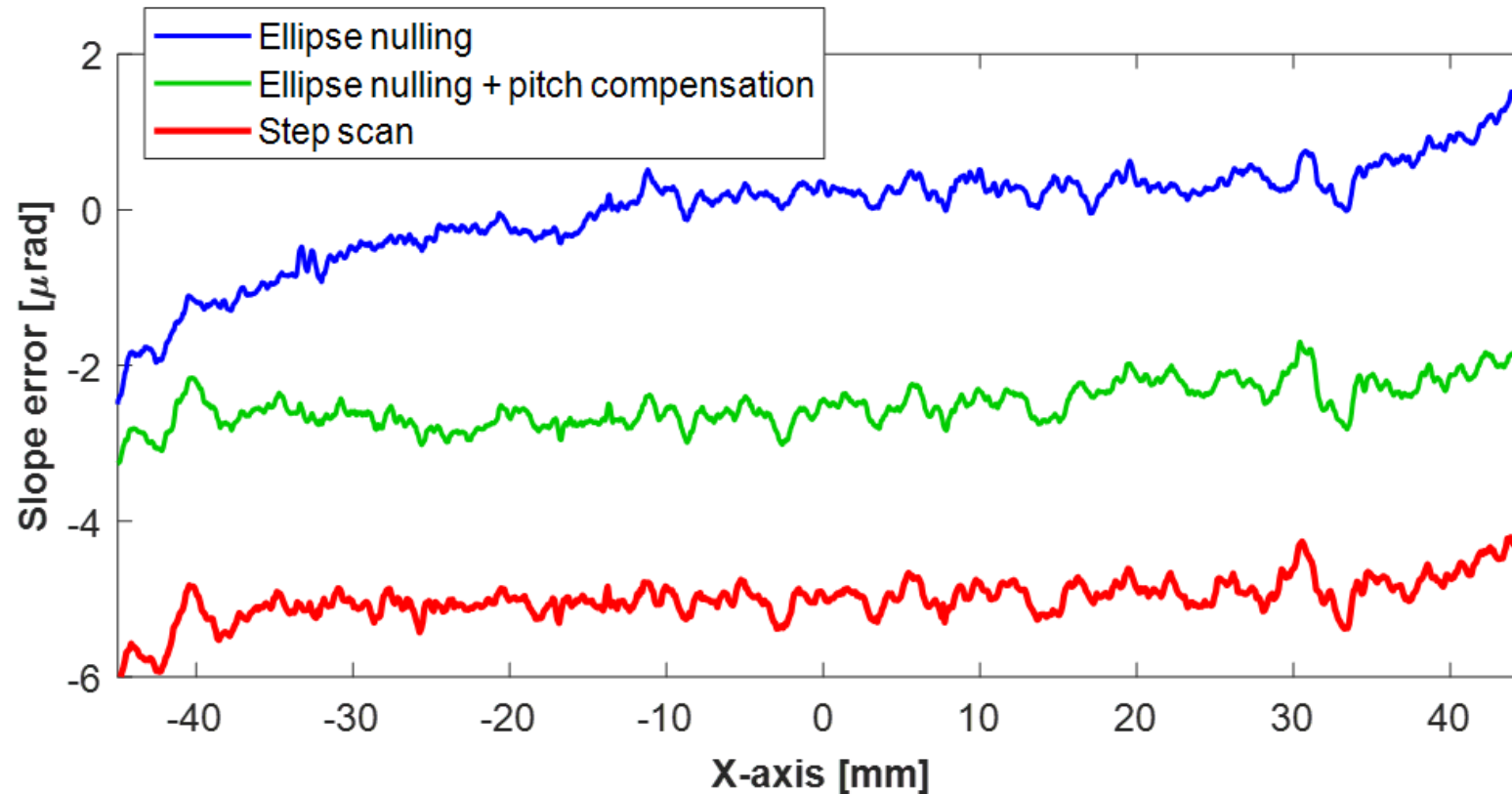
- ☀ Can set $\theta_{AC2}(x, t) \sim 0$ throughout scan by careful choice of X-axis speed + pitch stage angle rate
 - **Cylinder null** = constant velocity for X axis + constant rate of angle change for pitch stage
 - **Ellipse null** = variable velocity for X axis (elliptical profile) + constant rate of angle change for pitch stage
 - [**Ellipse null + correction of pitch stage's linearity errors** = add perturbation to variable velocity for X-axis]
- ☀ Can choose X-axis speed to match to **ANY** slope profile (freeform)

ADVANCED: beyond nulling of optical surface!

- ☀ **Deterministically** add angles to nulled measurement to purposefully change experiment during or between each scan:
 - Null the effects of slow-variations in environment (logged by weather station)
 - Apply LEEP algorithm (offset pitch angle) **DURING** scan
 - Move through specific sections of AC2's measurement range (and/or AC3) to apply an “anti-pixel effect” (or cancel other pseudo-periodicities such as $\sim 280 \mu\text{rad}$)
 - Apply analytical functions to slope profile (sine wave or chirp) which can be more efficiently extracted (“lock-in” principle)
 - Any crazy ideas to break time, spatial, or angle-dependent symmetries! ☺

**In the past, with a fixed curvature mirror, you only had a single slope profile to measure.
Now you can now controllably apply any slope profile you want!!!**

Experimental: nulling an elliptical profile



Slope measured during nulled fly-scan

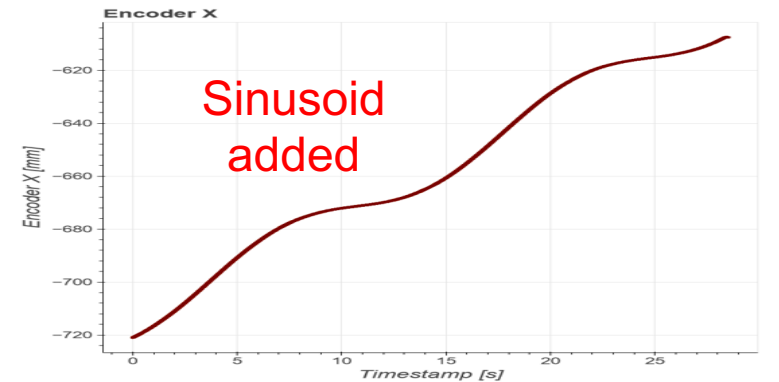
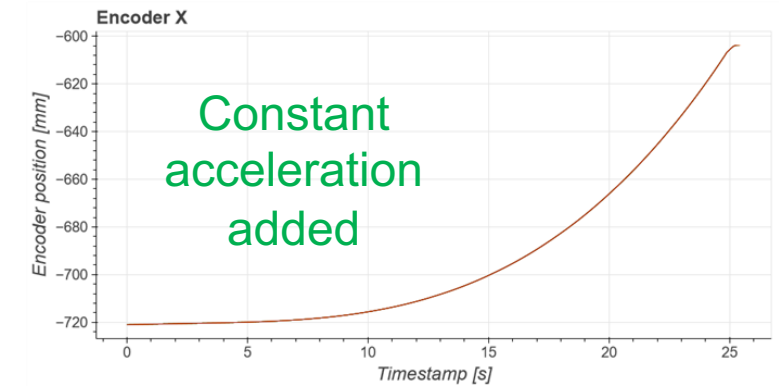
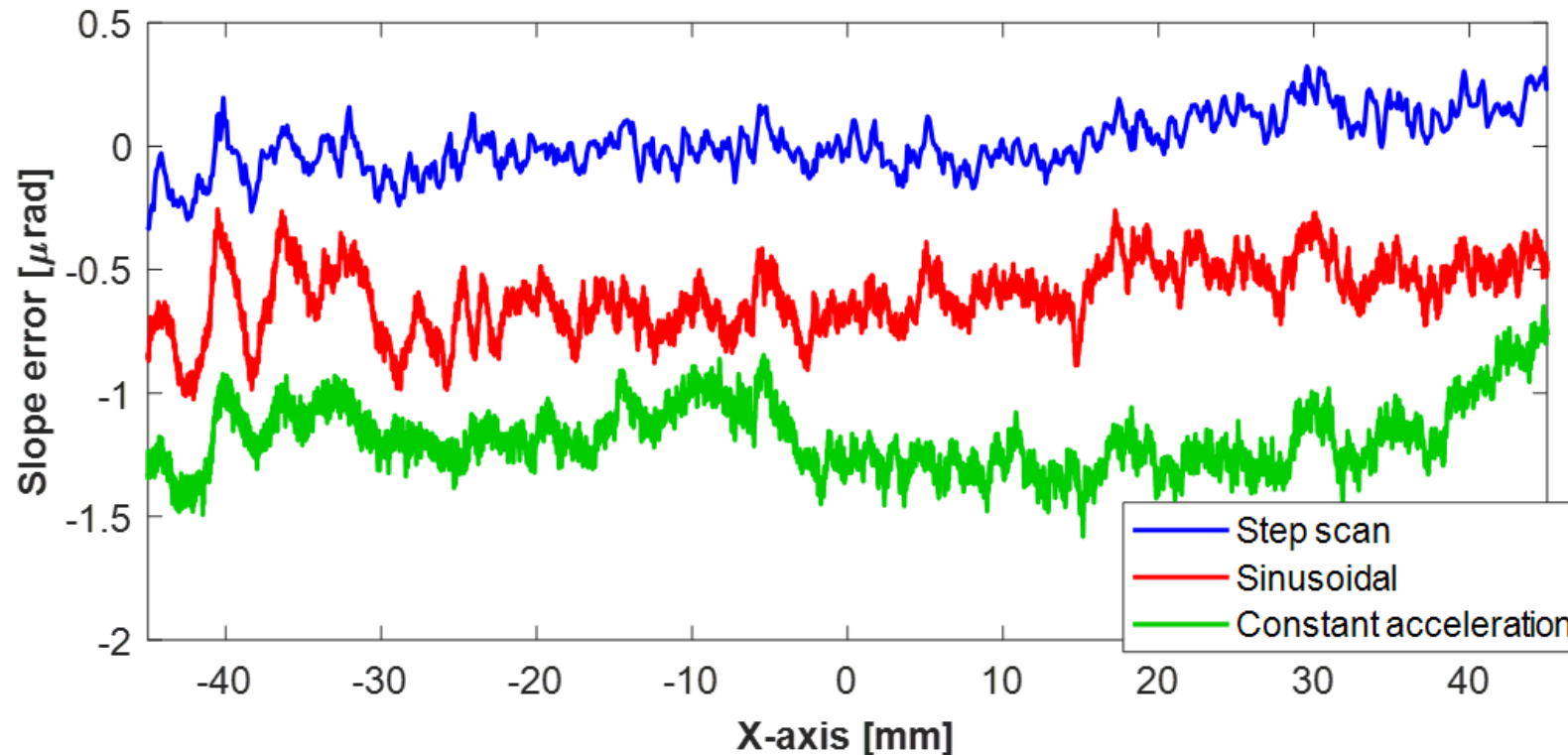
Slope measured during nulled fly-scan after compensating for pitch rate errors of goniometer

Slope error measured by step scan with no nulling

- ☀ To ensure that AC2 sees zero angle (to minimise systematic errors), linear velocity of X-axis & angular velocity of pitch stage were co-ordinated to null elliptical slope profile of 100 nrad X-ray mirror

Experimental: Deterministic trajectories

- ☀ Deterministically add sinusoid or constant acceleration to nulled measurement of 50 nrad X-ray mirror
- ☀ Principle proven ☒
- ☀ Further commissioning & development 😊



Summary

- ☀ Diamond-VeNOM: fast & versatile slope profilometer utilising multiple ACs with 250 Hz acquisition
- ☀ Combines advantages of several previous schemes
- ☀ **Adds a new concept: freeform, dynamic scanning of the mirror, including pitching the mirror DURING scan!**
- ☀ **All motions synchronised with autocollimator data via triggered shutters**
- ☀ Reduced systematic errors to improve accuracy
- ☀ Fly-scanning, combined with speed enhancement of new autocollimators, leads to a 20X time efficiency of VeNOM compared to Diamond-NOM, without loss of data quality
- ☀ VeNOM can accurately measure a 100 nrad mirror in 30 seconds using “Super-fly”!

Acknowledgements

- ☀ Emilio Perez-Juarez & Adam Howell (Diamond EPICS & Python scripts)
- ☀ Brian Nutter & Nico Rubies (Diamond Motion controls)
- ☀ Andy Malandain (Diamond Mech. Tech.)
- ☀ Henry Over & staff at Q-Sys, Netherlands (installation of new controller for NOM)
- ☀ Carsten Schlewitt at Möller-Wedel, Germany (Autocollimators)
- ☀ Ralf Geckeler & Andreas Just at PTB (Angle gods!)
- ☀ **Collaborators within our metrology community for sharing their great ideas!**